

# K-THEORY OF CONES OF SMOOTH VARIETIES

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ABSTRACT. Let  $R$  be the homogeneous coordinate ring of a smooth projective variety  $X$  over a field  $k$  of characteristic 0. We calculate the  $K$ -theory of  $R$  in terms of the geometry of the projective embedding of  $X$ . In particular, if  $X$  is a curve then we calculate  $K_0(R)$  and  $K_1(R)$ , and prove that  $K_{-1}(R) = \oplus H^1(C, \mathcal{O}(n))$ . The formula for  $K_0(R)$  involves the Zariski cohomology of twisted Kähler differentials on the variety.

Let  $R = k \oplus R_1 \oplus \dots$  be the homogeneous coordinate ring of a smooth projective variety  $X$  over a field  $k$  of characteristic 0. In this paper we compute the lower  $K$ -theory ( $K_i(R)$ ,  $i \leq 1$ ) in terms of the Zariski cohomology groups  $H^*(X, \mathcal{O}(t))$  and  $H^*(X, \Omega_X^*(t))$ , where  $\mathcal{O}(1)$  is the ample line bundle of the embedding and  $\Omega_X^*$  denotes the Kähler differentials of  $X$  relative to  $\mathbb{Q}$ . We also obtain computations of the higher  $K$ -groups  $K_n(R)/K_n(k)$ , especially for curves. A complete calculation for the conic  $xy = z^2$  is given in Theorem 4.3. These calculations have become possible thanks to the new techniques introduced in [1], [2] and [4].

Here, for example, is part of Theorem 2.1;  $R^+$  is the seminormalization of  $R$ .

**Theorem.** *Let  $R$  be the homogeneous coordinate ring of a smooth  $d$ -dimensional projective variety  $X$  in  $\mathbb{P}_k^N$ . Then  $\text{Pic}(R) \cong (R^+/R)$  and*

$$K_0(R) \cong \mathbb{Z} \oplus \text{Pic}(R) \oplus \bigoplus_{i=1}^d \bigoplus_{t=1}^{\infty} H^i(X, \Omega_X^i(t)), \quad \text{and}$$

$$K_{-m}(R) \cong \bigoplus_{i=0}^{d-m} \bigoplus_{t=1}^{\infty} H^{m+i}(X, \Omega_X^i(t)), \quad m > 0.$$

We have  $K_{-m}(R) = 0$  for  $m > d$ , and  $K_{-d}(R) = \bigoplus_{t \geq 1} H^d(X, \mathcal{O}(t))$ .

If  $k$  has finite transcendence degree over  $\mathbb{Q}$  then  $K_0(R)/\mathbb{Z}$  and each  $K_{-m}(R)$  are finite-dimensional  $k$ -vector spaces.

For example, if  $X = \text{Proj}(R)$  is a smooth curve over  $k$  which is definable over a number field contained in  $k$ , we show that  $\Omega_k^1 \otimes \mathcal{O}(t) \rightarrow \Omega_X^1(t)$  induces:

$$(0.1) \quad K_0(R) = \mathbb{Z} \oplus \text{Pic}(R) \oplus (\Omega_k^1 \otimes K_{-1}(R)), \quad K_{-1}(R) \cong \bigoplus_{t=1}^{\infty} H^1(X, \mathcal{O}_X(t)).$$

We also have  $K_n^{(n+2)}(R) \cong \Omega_k^{n+1} \otimes K_{-1}(R)$  for all  $n \geq 1$ . (See Proposition 3.2(d).)

When  $R$  is normal, (0.1) implies that  $K_0(R) = \mathbb{Z}$  holds if and only if either (a)  $k$  is algebraic over  $\mathbb{Q}$ , or (b)  $K_{-1}(R) = 0$ . Case (a) was discovered by Krishna and Srinivas [9, 1.2], while parts of case (b) were discovered in [23]. By Riemann-Roch, the vanishing of  $K_{-1}(R)$  is equivalent to the vanishing of the vector spaces  $H^0(X, \Omega_{X/k}^1(-t))$  for  $t > 0$ , which is a delicate arithmetic question (unless, for

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example, the embedding has degree  $d \geq 2g - 2$ ). Note that case (b) clarifies Srinivas' theorem in [15] that when  $k = \mathbb{C}$  and  $H^1(X, \mathcal{O}(1)) \neq 0$  we have  $K_0(R) \neq \mathbb{Z}$ .

Still assuming that  $X$  is a curve, suppose in addition that  $k$  is a number field; then  $\Omega_k^1 = 0$  and hence  $K_0(R) = \mathbb{Z} \oplus (R^+/R)$ . We also establish (in 1.17 and 2.11) the previously unknown calculations that

$$(0.2) \quad K_1(R) = k^\times \oplus \left[ \bigoplus_{t=1}^{\infty} H^0(X, \Omega_{X/k}^1(t)) \right] / \Omega_{R/k}^1, \quad K_2(R) = K_2(k) \oplus \text{tors } \Omega_{R/k}^1,$$

$$(0.3) \quad K_n(R) = K_n(k) \oplus HC_{n-1}(R)/HC_{n-1}(k), \quad n \geq 3.$$

The  $K_1$  formula (0.2) is a clarification of a result of Srinivas [17]. When  $k$  is not algebraic over  $\mathbb{Q}$ , formulas (0.1), (0.2) and (0.3) need to be altered to involve the arithmetic Gauss-Manin connection; see Proposition 3.5 and Example 3.6.

For any smooth  $d$ -dimensional variety  $X$ ,  $K_0(R)/\mathbb{Z}$  is the direct sum of the eigenspaces  $K_0^{(i)}(R)$  of the Adams operation,  $1 \leq i \leq d + 1 = \dim R$ , and we give a formula for these eigenspaces. For example, the top eigenspace,  $K_0^{(d+1)}(R)$ , may be identified with the Chow group of smooth zero-cycles in  $\text{Spec}(R)$ ; we show that

$$K_0^{(d+1)}(R) \cong \bigoplus_{t=1}^{\infty} H^d(X, \Omega_X^d(t)).$$

As pointed out in [9], the normal domain  $R_k = k[x, y, z]/(x^n + y^n + z^n)$  has  $K_0(R_{\mathbb{Q}}) = \mathbb{Z}$  but if  $n \geq 4$  then  $H^1(X, \mathcal{O}(1))$  is nonzero while  $K_0(R_{\mathbb{C}})/\mathbb{Z}$  is a very big  $\mathbb{C}$ -vector space; by (0.1), it is the direct sum of the  $\Omega_{\mathbb{C}}^1 \otimes H^1(X, \mathcal{O}(t))$ ,  $t \geq 1$ .

We also obtain reasonably nice formulas for the eigenspaces  $K_n^{(i)}(R)$  when  $n > 0$  and  $i \geq n$ ; see Theorem 1.13. To illustrate the range of our cohomological results, consider  $K_1(R)$  when  $X$  is a smooth curve and  $R$  is normal; we have  $K_1(R) = k^\times \oplus K_1^{(2)}(R) \oplus K_1^{(3)}(R)$ , where

$$(0.4) \quad K_1^{(2)}(R) \cong \Omega_{\text{cdh}}^1(R)/\Omega_R^1 = \left( \bigoplus_{t=1}^{\infty} H^0(X, \Omega_X^1(t)) \right) / \Omega_R^1, \quad \text{and}$$

$$K_1^{(3)}(R) = \bigoplus_{t=1}^{\infty} \text{coker} \{ \Omega_k^1 \otimes H^0(X, \Omega_{X/k}^1(t)) \xrightarrow{\nabla} \Omega_k^2 \otimes H^1(X, \mathcal{O}_X(t)) \}.$$

The map  $\nabla$  in (0.4) is a twisted Gauss-Manin connection (see Lemma 3.4). In Section 3, we prove that if  $n \geq 1$  then  $K_n^{(n+1)}(R)$  contains  $\Omega_k^{n-1} \otimes_{\mathbb{Q}} k^{d+g-1}$  as a direct summand provided that either

- (a)  $X$  has genus  $g$  and is embedded in  $\mathbb{P}_k^N$  by a complete linear system of degree  $d$ , with  $d \geq 2g - 1$ , or
- (b)  $X$  is induced by base change to  $k$  from a curve defined over a number field contained in  $k$ .

(See Theorem 3.8 and Example 3.9.) In particular  $K_1^{(2)}(R) \neq 0$ , and in general,  $K_n^{(n+1)}(R) \neq 0$  if  $n - 1 \leq \text{tr. deg}(k/\mathbb{Q})$ . Observe that the case  $n = 1$  improves the result of Srinivas in [17, §1] that there is a surjection from  $\tilde{K}_1(R) = K_1(R)/K_1(k)$  to  $H^0(X, \Omega_{X/k}^1(1))$  and hence that  $\tilde{K}_1(R) \neq 0$  if  $d \geq 2g + 1$ .

Finally, in Theorem 4.3 we give a complete calculation of the  $K$ -theory of the homogeneous coordinate ring of the plane conic,  $R = k[x, y, z]/(xy - z^2)$ .

This paper is organized as follows. In Section 1, we reduce the calculation of  $K_n(R)$  to a *cdh*-cohomology computation and knowledge of  $HC_{n-1}(R)$ . This relies

on the basic observation that cones are  $\mathbb{A}^1$ -contractible, so that the reduced  $K$ -theory  $\tilde{K}_n(R) = K_n(R)/K_n(k)$  can be calculated in terms of  $NK_n(R)$ , making our previous calculations (see [1], [2], [4]) applicable. Several of the formulas we obtain are valid for general graded algebras of the form  $R = k \oplus R_1 \oplus \cdots$ . We also specialize these formulas to the case when  $\dim R = 2$ , and obtain an expression for  $\tilde{K}_n(R)$  in terms of  $cdh$  cohomology and cyclic homology ( $n \geq 1$ ).

In Section 2 we compute the  $cdh$  terms in the formulas of the previous sections for the case when  $R$  is the affine cone of a smooth variety. In Section 3, we return to the case when the graded coordinate ring has dimension 2, that is, we investigate cones over smooth projective curves. Finally, in Section 4 we apply the techniques of this paper to completely determine the  $K$ -theory of  $R = k[x, y, z]/(xy - z^2)$ .

*Notations:* Throughout this paper we consider (commutative, unital) algebras over a fixed ground field  $k$ , which we assume has characteristic zero. Undecorated tensor products  $\otimes$  and differential forms  $\Omega^*$  are taken over  $\mathbb{Q}$ ; we write  $\otimes_k$  and  $\Omega_k^*$  for tensor product and forms relative to  $k$ . Similarly, cyclic homology is always taken over  $\mathbb{Q}$ . If  $F$  is a functor defined on schemes over  $k$ , we will write  $F(R)$  for  $F(\text{Spec}(R))$ . If  $R$  is an augmented  $k$ -algebra (for example, the homogeneous coordinate ring of a variety), and  $F$  is a functor from rings to some abelian category, then we write  $\tilde{F}(R)$  for the (split) quotient  $F(R)/F(k)$ .

## 1. $K$ -THEORY OF GRADED ALGEBRAS

Throughout this section, we let  $R = R_0 \oplus R_1 \oplus \cdots$  be a finitely generated graded algebra over a field  $k$  of characteristic 0 such that  $R_0$  is a local, artinian  $k$ -algebra whose residue field is isomorphic to  $k$ . These conditions ensure that the map  $K_n(R) \rightarrow K_n(k)$  induced by the composition of  $R \rightarrow R_0 \rightarrow k$  is a split surjection. For example,  $R_0$  might be  $k$  itself, and indeed for most of the calculations in this paper, one may as well assume  $R_0 = k$ . Let  $\mathfrak{m}_R$  denote the unique graded maximal ideal of  $R$ ; that is,  $\mathfrak{m}_R$  is the kernel of the split surjection  $R \rightarrow k$ .

We let  $R_{red}$  denote the reduced ring associated to  $R$ . It is a graded ring whose degree 0 piece is the field  $k$ . We let  $\tilde{R}$  denote the normalization of  $R_{red}$  (i.e., the integral closure of  $R_{red}$  in its ring of total quotients). It is well known that  $\tilde{R} = \tilde{R}_0 \oplus \tilde{R}_1 \oplus \cdots$  is graded, that  $\tilde{R}_0$  is a product of fields, and that  $\text{Pic}(\tilde{R}) = 0$ .

We let  $R^+$  denote the semi-normalization of  $R_{red}$ , that is, the maximal extension of  $R_{red}$  inside its total quotient ring  $Q$  such that for all  $x \in Q$ ,  $x^2, x^3 \in R^+$  implies  $x \in R^+$ ; see [18]. Alternatively,  $\text{Spec}(R^+) \rightarrow \text{Spec}(R_{red})$  is a universal homeomorphism.

We are interested in computing the kernel  $\tilde{K}_n(R)$  of the split surjection  $K_n(R) \rightarrow K_n(k)$ , for  $n = 1, 0, -1, \dots, 1 - d$ . (By [1],  $K_n(R) = NK_n(R) = 0$  for  $n \leq -d$ .) In general, for any graded ring  $R = R_0 \oplus R_1 \oplus R_2 \oplus \cdots$ , the groups  $\tilde{K}_n(R)$  are known to be  $R_0$ -modules (see [20]), and hence (since  $R_0$  contains  $\mathbb{Q}$ ) they are uniquely divisible as abelian groups. Thus there is a decomposition  $\tilde{K}_n(R) \cong \bigoplus_i \tilde{K}_n^{(i)}(R)$  according to the eigenvalues  $k^i$  of the Adams operations  $\psi^k$ .

*Remark 1.1.* Suppose that the punctured spectrum,  $\text{Spec}(R_{red}) \setminus \{\mathfrak{m}_R\}$ , is non-singular. Then the conductor  $\mathfrak{c}$  to the normalization  $\tilde{R}$  of  $R_{red}$  is  $\mathfrak{m}_R$ -primary. An easy calculation shows that the seminormalization of  $R_{red}$  is

$$R^+ = k \oplus \tilde{R}_1 \oplus \tilde{R}_2 \oplus \cdots,$$

with  $\tilde{R}/R^+ = \tilde{R}_0/k$  and  $R^+/R_{red} = \tilde{R}/(\tilde{R}_0 + R_{red})$ . Then  $K_n^{(i)}(R) \cong \tilde{K}_n^{(i)}(R)$  for  $n \leq 1$ , with two exceptions:  $\tilde{K}_0^{(0)}(R) = 0$ , and  $\tilde{K}_1^{(1)}(R) \cong \text{nil}(R)/\text{nil}(R_0)$ . The problem of computing  $\tilde{R}/R_{red}$  (and hence  $R^+/R_{red}$ ) is hard.

The main results of this section, Theorems 1.2 and 1.13, are formulated in terms of the *cdh* cohomology groups  $H_{\text{cdh}}^*(R, \Omega^i)$  introduced in [1] and [2], where the Kähler differentials,  $\Omega^i = \Omega_{-\mathbb{Q}}^i$ , are taken relative to the base field  $\mathbb{Q}$ . By [4, 2.5], we have that  $H_{\text{cdh}}^0(R, \mathcal{O}) = R^+$ . For simplicity, we write  $H_{\text{cdh}}^m(R, \Omega^i)/dH_{\text{cdh}}^m(R, \Omega^{i-1})$  for the cokernel of the map  $d : H_{\text{cdh}}^m(R, \Omega^{i-1}) \rightarrow H_{\text{cdh}}^m(R, \Omega^i)$  induced by the Kähler differential. Theorem 1.2 will follow from Proposition 1.5 and Theorem 1.12 below.

**Theorem 1.2.** *Let  $R = R_0 \oplus R_1 \oplus \dots$  be a finitely generated graded algebra over a field  $k$  of characteristic 0. Assume  $R_0$  is local artinian with residue field  $k$ . Then the Adams operations induce an eigenspace decomposition:*

$$K_0(R) = \mathbb{Z} \oplus R^+/R_{red} \oplus \bigoplus_{i=1}^{\dim R-1} H_{\text{cdh}}^i(R, \Omega^i)/dH_{\text{cdh}}^i(R, \Omega^{i-1}).$$

The negative  $K$ -groups are given by

$$K_{-m}(R) = H_{\text{cdh}}^m(R, \mathcal{O}) \oplus \bigoplus_{i=1}^{\dim R-m-1} H_{\text{cdh}}^{m+i}(R, \Omega^i)/dH_{\text{cdh}}^{m+i}(R, \Omega^{i-1}).$$

for  $m > 0$ . The groups indexed by  $i$  are  $K_0^{(i+1)}$  and  $K_{-m}^{(i+1)}(R)$ , respectively.

By [21, 1.2] we have  $KH_*(R) \cong KH_*(R_0) \cong K_*(k)$ , and thus by [2, 1.6], we have

$$(1.3) \quad \tilde{K}_n(R) \cong \pi_n \mathcal{F}_K(R) \cong \pi_{n-1} \mathcal{F}_{HC}(R) \quad \text{for all } n.$$

Here,  $\mathcal{F}_{HC}(R) = \mathcal{F}_{HC}(R/\mathbb{Q})$  is the homotopy fiber of  $HC(R) \rightarrow \mathbb{H}_{\text{cdh}}(R, HC)$ , with cyclic homology taken relative to the subfield  $\mathbb{Q}$  of  $k$ , so that there is a long exact sequence

$$\dots \rightarrow HC_n(R) \rightarrow \mathbb{H}_{\text{cdh}}^{-n}(R, HC) \rightarrow \tilde{K}_n(R) \rightarrow HC_{n-1}(R) \rightarrow \dots$$

These groups all have  $\lambda$ -decompositions and the maps in this sequence are compatible with these decompositions (see [3]), but there is a weight shift in that  $\tilde{K}_n^{(i)}(R)$  maps to  $HC_{n-1}^{(i-1)}(R)$ . We have  $\tilde{K}_n^{(0)}(R) = 0$  for all  $n$  because  $\mathcal{F}_{HC}^{(-1)} \simeq 0$ . Moreover, by [2, 2.2] we have  $\mathbb{H}_{\text{cdh}}^m(R, HC^{(i)}) \cong \mathbb{H}_{\text{cdh}}^{2i+m}(R, \Omega^{\leq i})$ , so the long exact sequence becomes

$$(1.4) \quad \dots HC_n^{(i-1)}(R) \rightarrow \mathbb{H}_{\text{cdh}}^{2i-n-2}(R, \Omega^{\leq i}) \rightarrow \tilde{K}_n^{(i)}(R) \rightarrow HC_{n-1}^{(i-1)}(R) \dots$$

The general picture is given by the following proposition.

**Proposition 1.5.** *Let  $R = R_0 \oplus R_1 \oplus \dots$  be as in Theorem 1.2. Then  $\tilde{K}_n^{(0)}(R) = 0$  for all  $n$ . For  $n \leq 0$ , or for  $n > 0$  and  $i \geq n + 2$ , we have*

$$\tilde{K}_n^{(i)}(R) \cong \mathbb{H}_{\text{cdh}}^{2i-n-2}(R, \Omega^{\leq i}), \quad \text{except for } (n, i) = (0, 1),$$

In the exceptional case,  $\tilde{K}_0^{(1)}(R) = \text{Pic}(R) = R^+/R_{red}$ .

*Proof.* The group  $HC_n(R)$  vanishes for  $n < 0$  and is  $R$  for  $n = 0$ . Similarly,  $HC_n^{(i)}(R)$  vanishes for  $i > n > 0$ . The proposition now follows from (1.4) and the fact that  $H_{\text{cdh}}^0(R, \mathcal{O}) = R^+$  by [4, 2.5].  $\square$

To go further, it is useful to invoke the following trick, using the standard  $\mathbb{A}^1$ -contraction of a cone to its vertex.

*Standard Trick 1.6.* If  $R$  is a positively graded algebra, there is an algebra map  $\nu : R \rightarrow R[t]$  sending  $r \in R_n$  to  $rt^n$ . If  $F$  is a functor on algebras, then the composition of  $\nu$  with evaluation at  $t = 0$  factors as  $R \rightarrow R_0 \rightarrow R$ , so  $F(R) \xrightarrow{\nu} F(R[t]) \xrightarrow{t=0} F(R)$  is zero on the kernel  $\tilde{F}(R)$  of  $F(R) \rightarrow F(R_0)$ . Similarly, the composition of  $\nu$  with evaluation at  $t = 1$  is the identity. That is,  $\nu$  maps  $\tilde{F}(R)$  isomorphically onto a summand of  $NF(R)$ , and  $\tilde{F}(R)$  is in the image of the map  $(t = 1) : NF(R) \rightarrow F(R)$ .

The following technical result is crucial for our calculations; it asserts that many SBI sequences decompose into split short exact sequences. We write  $\mathcal{F}_{HH}$  and  $\mathcal{F}_{HC}$  for the homotopy fibers of  $HH(R) \rightarrow \mathbb{H}_{\text{cdh}}(R, HH)$  and  $HC(R) \rightarrow \mathbb{H}_{\text{cdh}}(R, HC)$ , respectively. Then we have distinguished cohomological triangles

$$\begin{aligned} \mathcal{F}_{HC}[-1] &\xrightarrow{S} \mathcal{F}_{HC}[1] \xrightarrow{B} \mathcal{F}_{HH} \xrightarrow{I} \mathcal{F}_{HC}, \\ \mathbb{H}_{\text{cdh}}(R, HC)[-1] &\xrightarrow{S} \mathbb{H}_{\text{cdh}}(R, HC)[1] \xrightarrow{B} \mathbb{H}_{\text{cdh}}(R, HH) \xrightarrow{I} \mathbb{H}_{\text{cdh}}(R, HC). \end{aligned}$$

**Lemma 1.7.** *If  $R = R_0 \oplus R_1 \oplus \dots$  is a graded algebra then for each  $m$  the map  $\pi_m \mathcal{F}_{HC}(R) \xrightarrow{S} \pi_{m-2} \mathcal{F}_{HC}(R)$  is zero, and there is a split short exact sequence:*

$$0 \rightarrow \pi_{m-1} \mathcal{F}_{HC}(R) \xrightarrow{B} \pi_m \mathcal{F}_{HH}(R) \xrightarrow{I} \pi_m \mathcal{F}_{HC}(R) \rightarrow 0.$$

Similarly, there are split short exact sequences:

$$0 \rightarrow \tilde{\mathbb{H}}_{\text{cdh}}^{m+1}(R, HC) \xrightarrow{B} \tilde{\mathbb{H}}_{\text{cdh}}^m(R, HH) \xrightarrow{I} \tilde{\mathbb{H}}_{\text{cdh}}^m(R, HC) \rightarrow 0.$$

and

$$0 \rightarrow \tilde{\mathbb{H}}_{\text{cdh}}^{n-1}(R, \Omega^{<i}) \xrightarrow{B} \tilde{H}_{\text{cdh}}^{n-i}(R, \Omega^i) \xrightarrow{I} \tilde{\mathbb{H}}_{\text{cdh}}^n(R, \Omega^{\leq i}) \rightarrow 0.$$

*Proof.* The third sequence is obtained from the second one by taking the  $i^{\text{th}}$  component in the Hodge decomposition, described in [2, 2.2], and setting  $n = 2i + m$ . For the first two sequences to split, it suffices to show that  $I$  is onto and split.

By [2, 2.4],  $\mathcal{F}_{HH}(k) = \mathcal{F}_{HC}(k) = 0$ , so  $\tilde{\mathcal{F}}_{HH} = \mathcal{F}_{HH}$  and  $\tilde{\mathcal{F}}_{HC} = \mathcal{F}_{HC}$ . By the standard trick 1.6, it suffices to show that the maps  $N\pi_m \mathcal{F}_{HH}(R) \rightarrow N\pi_m \mathcal{F}_{HC}(R)$  and  $N\mathbb{H}_{\text{cdh}}^m(R, HH) \rightarrow N\mathbb{H}_{\text{cdh}}^m(R, HC)$  are onto and split. But they are split surjections, as is evident from the respective decompositions of their terms in [4, 3.2] and [4, 2.2], such as:  $\mathbb{H}_{\text{cdh}}(R, NHC^{(i)}) \simeq H_{\text{cdh}}(R, \Omega^i)[i] \otimes_R tR[t]$ ,  $N\mathcal{F}_{HC}^{(i)}(R) \simeq \mathcal{F}_{HH}^{(i)}(R) \otimes_R tR[t]$  and  $N\mathcal{F}_{HC} \simeq \mathcal{F}_{HH} \otimes_R tR[t]$ . (Note that for any presheaf  $F$ ,  $H_{\text{cdh}}(-, NF) = NH_{\text{cdh}}(-, F)$ .)  $\square$

Splicing the final sequences of Lemma 1.7 together, we see that the de Rham complexes are exact in *cdh*-cohomology:

**Proposition 1.8.** *The following sequences are exact:*

$$(1.8a) \quad 0 \rightarrow k \rightarrow R^+ \xrightarrow{d} \tilde{H}_{\text{cdh}}^0(R, \Omega^1) \xrightarrow{d} \tilde{H}_{\text{cdh}}^0(R, \Omega^2) \rightarrow \dots$$

$$(1.8b) \quad 0 \rightarrow H_{\text{cdh}}^m(R, \mathcal{O}) \xrightarrow{d} H_{\text{cdh}}^m(R, \Omega^1) \xrightarrow{d} H_{\text{cdh}}^m(R, \Omega^2) \rightarrow \dots, \quad m > 0.$$

*Note that the first complex is the *cdh* reduced de Rham complex.*

An analogous exact sequence

$$\cdots \rightarrow \pi_{m-1}\mathcal{F}_{HH}(R) \xrightarrow{d} \pi_m\mathcal{F}_{HH}(R) \xrightarrow{d} \pi_{m+1}\mathcal{F}_{HH}(R) \rightarrow \cdots$$

is obtained by splicing the other sequences in 1.7. Using the interpretation of their Hodge components, described in [4, 3.4], produces two more exact sequences:

**Proposition 1.9.** *The following sequences are exact:*

$$(1.9a) \quad 0 \rightarrow \text{nil}(R) \rightarrow \text{tors } \Omega_R^1 \rightarrow \text{tors } \Omega_R^2 \rightarrow \text{tors } \Omega_R^3 \rightarrow \cdots$$

$$(1.9b) \quad 0 \rightarrow (R^+/R) \rightarrow \Omega_{\text{cdh}}^1(R)/\Omega_R^1 \rightarrow \Omega_{\text{cdh}}^2(R)/\Omega_R^2 \rightarrow \cdots$$

Here we have used the following notation

$$(1.10) \quad \Omega_{\text{cdh}}^i(R) = H_{\text{cdh}}^0(R, \Omega^i)$$

$$(1.11) \quad \text{tors } \Omega_R^i = \ker(\Omega_R^i \rightarrow \Omega_{\text{cdh}}^i(R))$$

If  $R$  is reduced then  $\text{tors } \Omega_R^i$  is the usual torsion submodule, by [4, 5.4].

We can now make the calculations necessary to deduce Theorem 1.2.

**Theorem 1.12.** *Let  $R = R_0 \oplus R_1 \oplus \cdots$  be a graded algebra, finitely generated over a field  $k$  of characteristic 0. Assume  $R_0$  is local artinian with residue field  $k$ . Then we have*

$$\mathbb{H}_{\text{cdh}}^{q+i}(R, \Omega^{\leq i}) = \begin{cases} H_{dR}^{q+i}(k), & q < 0; \\ \text{coker}\{H_{\text{cdh}}^q(R, \Omega^{i-1}) \xrightarrow{d} H_{\text{cdh}}^q(R, \Omega^i)\}, & q \geq 0; \\ 0, & q \geq \dim(R). \end{cases}$$

*Proof.* The Cartan-Eilenberg spectral sequence for  $\Omega^{\leq i}$  is

$${}^I E_1^{p,q} = H_{\text{cdh}}^q(R, \Omega^p) \implies \mathbb{H}_{\text{cdh}}^{p+q}(R, \Omega^{\leq i}) \quad (0 \leq p \leq i, q \geq 0).$$

(See [22, 5.7.9].) Since  $H_{\text{cdh}}^0(R, \Omega^p) = \Omega_k^p \oplus \tilde{H}_{\text{cdh}}^0(R, \Omega^p)$ , the row  $q = 0$  is the brutal truncation of the direct sum of the de Rham complex of  $k$  over  $\mathbb{Q}$  and the complex (1.8a). which is acyclic by Proposition 1.8. Since  $H_{\text{cdh}}^q(R, \Omega^p) = \tilde{H}_{\text{cdh}}^q(R, \Omega^p)$  for  $q > 0$ , the other rows on the  $E_1$ -page are the truncations of the complex (1.8b), which is also acyclic by 1.8. Hence the spectral sequence degenerates at  $E_2$ , yielding the calculation. Note that the last possible nonzero group is  $\mathbb{H}_{\text{cdh}}^{i+\dim R-1}(R, \Omega^{\leq i}) = H_{\text{cdh}}^{\dim R-1}(R, \Omega^i)$  by the cohomological bound in [2, 2.6].  $\square$

*Proof of Theorem 1.2.* Simply plug the calculations of Theorem 1.12 into those of Proposition 1.5 to get the asserted result.  $\square$

We conclude the section with a calculation of the higher  $K$ -theory of  $R$  in terms of Kähler differentials, the cyclic homology of  $R$  and the  $cdh$ -cohomology of  $\text{Spec}(R)$ . In the next section, we will reinterpret Theorems 1.13 and 1.15 in terms of the Zariski cohomology of  $X = \text{Proj}(R)$ .

**Theorem 1.13.** *Let  $R = R_0 \oplus R_1 \oplus \cdots$  be a finitely generated graded algebra over a field  $k$  of characteristic 0. Assume  $R_0$  is local artinian with residue field  $k$ . Then for  $n \geq 1$  we have:*

- (a)  $K_n^{(i)}(R) \cong HC_{n-1}^{(i-1)}(R)$  whenever  $0 < i < n$ ;
- (b)  $\tilde{K}_n^{(n)}(R) \cong \text{tors } \Omega_R^{n-1}/d \text{tors } \Omega_R^{n-2}$ . In particular,  $\tilde{K}_1^{(1)}(R) \cong \text{nil}(R)$  and  $\tilde{K}_2^{(2)}(R) \cong \text{tors } \Omega_R^1/d \text{nil}(R)$ .

- (c)  $K_n^{(n+1)}(R) \cong \text{coker}\{\Omega_{\text{cdh}}^{n-1}(R) \xrightarrow{d} \Omega_{\text{cdh}}^n(R)/\Omega_R^n\}$ .  
 (d)  $K_n^{(i)}(R) \cong \text{coker}\{H_{\text{cdh}}^{i-(n+1)}(R, \Omega^{i-2}) \xrightarrow{d} H_{\text{cdh}}^{i-(n+1)}(R, \Omega^{i-1})\}$  when  $i \geq n+2$ .

*Proof.* By Theorem 1.12, we have  $\widetilde{\mathbb{H}}_{\text{cdh}}^m(R, \Omega^{\leq i}) = 0$  whenever  $m < i$  (i.e.,  $q < 0$ ). Substituting this into (1.4) gives assertion (a), because  $HC_n^{(i)}(k) \xrightarrow{\cong} H_{dR}^{2i-n}(k/\mathbb{Q})$  also holds. Taking  $m = i$ , it also gives exactness of the top row in the diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \widetilde{K}_n^{(n)}(R) & \longrightarrow & \widetilde{HC}_{n-1}^{(n-1)}(R) & \longrightarrow & \widetilde{\Omega}_{\text{cdh}}^{n-1}(R)/d\Omega_{\text{cdh}}^{n-2}(R) \\ & & \downarrow & & B \downarrow \text{into} & & B \downarrow \text{into} \\ 0 & \longrightarrow & \text{tors } \Omega_R^n & \longrightarrow & \Omega_R^n/\Omega_k^n & \longrightarrow & \Omega_{\text{cdh}}^n(R)/\Omega_k^n \\ & & d \downarrow & & d \downarrow & & d \downarrow \\ 0 & \longrightarrow & \text{tors } \Omega_R^{n+1} & \longrightarrow & \Omega_R^{n+1}/\Omega_k^{n+1} & \longrightarrow & \Omega_{\text{cdh}}^{n+1}(R)/\Omega_k^{n+1}. \end{array}$$

The other two rows are exact by definition, see (1.11). The two right columns are exact by [22, 9.9.1] and (1.8a), respectively. By a diagram chase,  $\widetilde{K}_n^{(n)}(R)$  is the kernel of  $\text{tors } \Omega_R^n \rightarrow \text{tors } \Omega_R^{n+1}$ . Part (b) now follows from (1.9a).

Part (c) is immediate from (1.4), given the following information:  $\mathbb{H}_{\text{cdh}}^n(R, \Omega^{\leq n})$  is the cokernel of  $d : \Omega_{\text{cdh}}^{n-1}(R) \rightarrow \Omega_{\text{cdh}}^n(R)$  by Theorem 1.12,  $HC_n^{(n)}(R) = \Omega_R^n/d\Omega_R^n$  and  $HC_{n-1}^{(n)}(R) = 0$ . Part (d) follows from Theorem 1.12 and the formula  $\widetilde{K}_n^{(i)}(R) \cong \mathbb{H}_{\text{cdh}}^{2i-n-2}(R, \Omega^{\leq i})$  for  $i \geq n+2$ , which is Proposition 1.5.  $\square$

**Corollary 1.14.** *If  $i > n$ , the map  $K_n^{(i)}(R) \rightarrow K_n^{(i)}(R^+)$  is an isomorphism.*

If the dimension of  $R$  is 2 (for example, if  $R$  is the cone over a projective curve), then the calculations of Theorem 1.13 apply to compute the higher  $K$ -groups of  $R$ , but here the more dominant role is played by Kähler differentials. As in (1.10), we write  $\Omega_{\text{cdh}}^i(R)$  for  $H_{\text{cdh}}^0(R, \Omega^i)$ .

**Theorem 1.15.** *Assume  $\dim(R) = 2$ . Then we have:*

- (1)  $K_1(R) = k^\times \oplus K_1^{(2)}(R) \oplus K_1^{(3)}(R)$  with  $K_1^{(i)}(R) = 0$  for all  $i \geq 4$ , with:

$$K_1^{(2)}(R) \cong \Omega_{\text{cdh}}^1(R)/(\Omega_R^1 + d(R^+)), \quad \text{and}$$

$$K_1^{(3)}(R) \cong \mathbb{H}_{\text{cdh}}^3(R, \Omega^{\leq 2}) \cong \text{coker}\{H_{\text{cdh}}^1(R, \Omega^1) \xrightarrow{d} H_{\text{cdh}}^1(R, \Omega^2)\};$$

- (2)  $K_2(R) \cong K_2(k) \oplus \text{tors } \Omega_R^1 \oplus K_2^{(3)}(R) \oplus K_2^{(4)}(R)$  with

$$K_2^{(3)}(R) \cong \Omega_{\text{cdh}}^2(R)/(\Omega_R^2 + d\Omega_{\text{cdh}}^1(R)) \quad \text{and}$$

$$K_2^{(4)}(R) \cong \text{coker}\{H_{\text{cdh}}^1(R, \Omega^2) \xrightarrow{d} H_{\text{cdh}}^1(R, \Omega^3)\};$$

- (3) For all  $n \geq 3$ ,  $K_n(R) \cong K_n(k) \oplus \bigoplus_{i=2}^{n+2} \widetilde{K}_n^{(i)}(R)$ , where

$$\widetilde{K}_n^{(i)}(R) = \begin{cases} \widetilde{HC}_{n-1}^{(i-1)}(R), & i < n, \\ \text{tors } \Omega_R^{n-1}/d \text{tors } \Omega_R^{n-2}, & i = n, \\ \text{coker}\{\Omega_{\text{cdh}}^{n-1}(R) \xrightarrow{d} \Omega_{\text{cdh}}^n(R)/\Omega_R^n\}, & i = n+1, \\ \text{coker}\{H_{\text{cdh}}^1(R, \Omega^n) \xrightarrow{d} H_{\text{cdh}}^1(R, \Omega^{n+1})\}, & i = n+2. \end{cases}$$

*Proof.* For  $n = 1$  we see from Remark 1.1 that  $K_1^{(1)}(R) = \text{nil}(R) = 0$ , and from Theorem 1.13(c) that  $K_1^{(2)}(R)$  is the cokernel of  $d : R \rightarrow \Omega_{\text{cdh}}^1(R)/\Omega_R^1$ . Since  $R \rightarrow \Omega_{\text{cdh}}^1(R)$  factors through  $\Omega_R^1$ , the description of  $K_1^{(2)}(R)$  follows. From (1.4), we have  $K_1^{(3)}(R) \cong \mathbb{H}_{\text{cdh}}^3(R, \Omega^{\leq 2})$ , which is described by 1.12, and  $K_1^{(i)}(R) = \mathbb{H}_{\text{cdh}}^{2i-3}(R, \Omega^{< i})$  for  $i \geq 4$ , which vanishes because  $\mathbb{H}_{\text{cdh}}^m(R, \Omega^{< i}) = 0$  for  $m \geq 1 + i$  by Theorem 1.12.

For  $n \geq 2$ ,  $K_n^{(i)}(R)$  was described in Proposition 1.5 and Theorem 1.13.  $\square$

**Lemma 1.16.** *Assume that  $R = k \oplus R_1 \oplus \dots$  is graded and  $\dim(R) = 2$ . Then for all  $i \geq 2$ :*

$$\Omega_{R/k}^i/d(\Omega_{R/k}^{i-1}) \cong \text{tors } \Omega_{R/k}^i/d(\text{tors } \Omega_{R/k}^{i-1}).$$

*Proof.* For  $i \geq 3$  the  $R$ -module  $\Omega_{R/k}^i$  is torsion because  $\Omega_{\text{cdh}}^i(R/k) = 0$ . For  $i = 2$  we simply chase the diagram

$$\begin{array}{ccccccc} \text{tors } \Omega_{R/k}^1 & \longrightarrow & \text{tors } \Omega_{R/k}^2 & \longrightarrow & \text{tors } \Omega_{R/k}^3 & \longrightarrow & \text{tors } \Omega_{R/k}^4 \\ \downarrow \text{into} & & \downarrow \text{into} & & \parallel & & \parallel \\ \Omega_{R/k}^1 & \xrightarrow{d} & \Omega_{R/k}^2 & \xrightarrow{d} & \Omega_{R/k}^3 & \xrightarrow{d} & \Omega_{R/k}^4 \end{array}$$

comparing the exact sequence for  $\text{tors } \Omega_{R/k}^*$ , analogous to (1.9a), to the (exact) de Rham sequence for  $\Omega_{R/k}^*$ .  $\square$

**Proposition 1.17.** *If  $k$  is algebraic over  $\mathbb{Q}$  and  $R = k \oplus R_1 \oplus \dots$  is seminormal of dimension 2, then:*

- a)  $K_1(R) \cong k^\times \oplus \Omega_{\text{cdh}}^1(R)/\Omega_R^1$ ;
- b)  $K_2(R) \cong K_2(k) \oplus \text{tors } \Omega_R^1$ ;
- c)  $K_n(R) \cong K_n(k) \oplus \widetilde{HC}_{n-1}(R)$ ,  $n \geq 3$ .

*Proof.* These assertions are special cases of Theorem 1.15. Using Lemma 1.16 for  $n \geq 3$  we have

$$\widetilde{K}_n^{(n)}(R) \cong \text{tors } \Omega_R^{n-1}/d \text{tors } \Omega_R^{n-2} \cong \Omega_R^{n-1}/d\Omega_R^{n-2} = HC_{n-1}^{(n-1)}(R).$$

By (1.8a),  $K_n^{(n+1)}(R)$  is a subquotient of  $\Omega_{\text{cdh}}^{n+1}(R)$  and vanishes for  $n \geq 2$ ; by (1.8b),  $K_n^{(n+2)}(R)$  is a subgroup of  $H_{\text{cdh}}^1(R, \Omega^{n+2})$  and vanishes for  $n \geq 1$ .  $\square$

We conclude this section with two classical examples for which  $\text{Spec}(R)$  has a smooth affine *cdh* cover, so that  $\Omega_{\text{cdh}}^*$  is easy to determine.

*Example 1.18.* The cusp  $R = k[t^2, t^3]$  has  $R^+ = k[t]$  and  $K_1^{(2)}(R) = \Omega_{\text{cdh}}^1/d(R^+) = \Omega_k$  (cf. [10, 12.1]). The computation of  $K_n(R)$  for  $n \geq 2$  is also easily derived from Theorem 1.13, and stated explicitly in [6, 6.7].

*Example 1.19.* The seminormal ring  $R = k[x_1, x_2, y_1, y_2]/(\{x_i y_j\})$  is the homogeneous coordinate ring of a pair of skew lines in  $\mathbb{P}_k^3$ . Its normalization is  $\widetilde{R} = k[x_1, x_2] \times k[y_1, y_2]$ , and  $\text{Spec}(\widetilde{R}) \rightarrow \text{Spec}(R)$  is a *cdh* cover. It is easy to see that  $H_{\text{cdh}}^1(R, \Omega^i) = 0$ , and  $\Omega_R^i \rightarrow \Omega_{\text{cdh}}^i(R)$  is onto for  $i \neq 0$ . Applying Theorem 1.15, we see that  $K_0(R) = \mathbb{Z}$ ,  $K_1(R) = k^\times$  and  $K_{-1}(R) = 0$ . This recovers a classic result of Murthy in [13]. If  $k$  is algebraic over  $\mathbb{Q}$  then we also have  $\text{tors } \Omega_R^1 \cong k^4$  (on the  $x_i dy_j$ ),  $\text{tors } \Omega_R^2 \cong k^4$  (on the  $dx_i dy_j$ ) and  $\Omega_R^3 = 0$ , so by Proposition 1.17 we have

$$K_2(R) = K_2(k) \oplus k^4, \quad \text{while} \quad \widetilde{K}_n(R) = \widetilde{HC}_{n-1}(R) \quad \text{for all } n \geq 3.$$

## 2. AFFINE CONES OF VARIETIES

Let  $X$  be a smooth projective variety in  $\mathbb{P}_k^N$ , and let  $R = k \oplus R_1 \oplus R_2 \oplus \cdots$  be the associated homogeneous coordinate ring. We will write  $L$  for the pullback to  $X$  of the ample bundle  $\mathcal{O}(1)$  on  $\mathbb{P}_k^N$ , and if  $\mathcal{F}$  is a quasi-coherent sheaf on  $X$ , we write  $\mathcal{F}(t)$  for  $\mathcal{F} \otimes_{\mathcal{O}_X} L^t$ . In this section we compute the *cdh* cohomology of  $\mathrm{Spec}(R)$  and use it to compute the  $K$ -theory of  $R$ , via Proposition 1.5. The main result is the theorem below, computing the non-positive  $K$ -groups of  $R$ . Later in this section, we give partial calculations of the positive  $K$ -groups.

Recall from Proposition 1.5 that  $K_{-m}^{(0)}(R) = 0$  for all  $m > 0$  and  $\tilde{K}_n^{(0)}(R) = 0$  for  $n \geq 0$ . Thus we are interested in  $K_{-m}^{(i+1)}(R)$  for  $i \geq 0$ .

**Theorem 2.1.** *Let  $X$  be a smooth projective variety in  $\mathbb{P}_k^N$  with homogeneous coordinate ring  $R$ . Then*

$$K_0^{(1)}(R) \cong R^+ / R = \bigoplus_{t=1}^{\infty} H^0(X, \mathcal{O}_X(t)) / R_t, \quad \text{and}$$

$$K_0^{(i+1)}(R) \cong \bigoplus_{t=1}^{\infty} H^i(X, \Omega_X^i(t)), \quad \text{for all } i \geq 1.$$

For any  $m > 0$ , and all  $i \geq 0$ , we have:

$$K_{-m}^{(i+1)}(R) \cong \bigoplus_{t=1}^{\infty} H^{m+i}(X, \Omega_X^i(t)).$$

If  $k$  has finite transcendence degree over  $\mathbb{Q}$  then each vector space  $K_0(R)/\mathbb{Z}$  and  $K_{-m}(R)$  is finite-dimensional.

A few parts of Theorem 2.1 are easy to prove. The formula  $K_0^{(1)}(R) = R^+ / R$  is given in Proposition 1.5. Since  $\mathrm{Spec}(R) \setminus \{\mathfrak{m}_R\}$  is regular, we see from Remark 1.1 that  $R^+$  agrees with the normalization  $\tilde{R}$  of  $R$  in degrees  $t > 0$ , and it is well known that  $\tilde{R} = \bigoplus_{t=0}^{\infty} H^0(X, \mathcal{O}(t))$ ; see [5, Ex. II.5.14]. This yields the first display. The final assertion, when  $\mathrm{tr. deg.}(k/\mathbb{Q}) < \infty$ , follows from the fact that each  $\Omega_X^i$  is a coherent sheaf; for each  $q > 0$  the  $H^q(X, \Omega_X^i(t))$  are finite-dimensional, and only finitely many are nonzero, by Serre's Theorem B ([5, III.5.2]).

The proof of the rest of the theorem will be given in Corollary 2.5 and Proposition 2.10, building upon several intermediate results.

To compute the *cdh* cohomology of  $\mathrm{Spec}(R)$ , we will use the blowup  $Y$  of  $\mathrm{Spec}(R)$  at the origin (i.e., at  $\mathfrak{m}_R$ ). The following description of  $Y$  is well known.

**Lemma 2.2.** *The exceptional fiber of  $\pi : Y \rightarrow \mathrm{Spec}(R)$  is isomorphic to  $X$  and there is a projection  $p : Y \rightarrow X$  identifying  $Y$  with the geometric line bundle  $\mathrm{Spec}_X(\mathrm{Sym}(L))$  over  $X$ , with sheaf of sections  $L^*$ . Moreover, the inclusion of the exceptional fiber  $X$  into  $Y$  is the zero section of the bundle  $p : Y \rightarrow X$ .*

*Proof.* The exceptional fiber is  $\mathrm{Proj}$  of the Rees algebra  $\bigoplus \mathfrak{m}^i / \mathfrak{m}^{i+1}$ , which is just  $R$ , and  $X = \mathrm{Proj}(R)$  by construction. For each  $x \in R_1$ , the affine open  $D_+(x)$  of  $X$  is  $\mathrm{Spec}(A)$ , where  $R[1/x] = A[x, 1/x]$ , and the line bundle  $L^n$  restricts to the  $A$ -submodule  $x^n A$  of  $R[1/x]$ .

We now consider  $Y = \mathrm{Proj}(R[\mathfrak{m}t])$ . For  $x \in R_1$ , and  $xt \in R_1 t$ , the affine open  $D_+(xt)$  in  $Y$  is  $\mathrm{Spec}(B)$ , where  $R[\mathfrak{m}t][1/xt] = B[xt, 1/xt]$ . The graded map  $R \cong \bigoplus R_i t^i \rightarrow R[\mathfrak{m}t]$  induces a projection  $Y \rightarrow X$  as well as an inclusion of  $A[x]$  in  $B$ . This is onto, since  $B$  is generated by elements of the form  $rt^m / (xt)^m = (r/x^n)x^{n-m}$  for  $r \in R_n$ ,  $n \geq m$ . Hence  $B = A[x]$ . This shows that  $Y$  is the geometric line bundle over  $X$ , associated to the locally free sheaf  $L$  (see [5, Ex. II.5.18]).  $\square$

By [1] and [2], we have split exact sequences

$$(2.3) \quad 0 \rightarrow H_{\text{cdh}}^0(R, \mathcal{F}) \rightarrow H_{\text{zar}}^0(Y, \mathcal{F}) \oplus \mathcal{F}(k) \rightarrow H_{\text{zar}}^0(X, \mathcal{F}) \rightarrow 0, \\ 0 \rightarrow H_{\text{cdh}}^m(R, \mathcal{F}) \rightarrow H_{\text{zar}}^m(Y, \mathcal{F}) \rightarrow H_{\text{zar}}^m(X, \mathcal{F}) \rightarrow 0, \quad \text{for } m > 0,$$

when  $\mathcal{F}$  is one of the *cdh* sheaves  $\mathcal{O}$  or  $\Omega^i$ , or a complex of *cdh* sheaves of the form  $\Omega^{\leq i}$ . Thus the calculation of  $H_{\text{cdh}}^*(R, \mathcal{F})$  is reduced to the calculation of  $H_{\text{zar}}^*(Y, \mathcal{F})$ .

**Lemma 2.4.** *We have  $H_{\text{cdh}}^0(R, \mathcal{O}) = R^+$  and  $H_{\text{cdh}}^m(R, \mathcal{O}) = \bigoplus_{t=1}^{\infty} H^m(X, \mathcal{O}_X(t))$  for  $m > 0$ .*

*Proof.* Since  $p$  is affine,  $H_{\text{zar}}^*(Y, \mathcal{O}_Y) = H_{\text{zar}}^*(X, p_*\mathcal{O}_Y)$ , and  $p_*\mathcal{O}_Y = \text{Sym}(L)$  by Lemma 2.2. Hence  $H^m(Y, \mathcal{O}) = \bigoplus_{t=0}^{\infty} H_{\text{zar}}^m(X, \mathcal{O}(t))$  for all  $m$ ; if  $m = 0$ , this equals  $R^+$ . Now apply (2.3).  $\square$

From Proposition 1.5 and 2.4 we deduce the case  $K_*^{(1)}$  of Theorem 2.1. For comparison, recall that  $K_0^{(1)}(R) = \text{Pic}(R)$ ,  $K_1^{(1)}(R) = R^\times = k^\times$  and  $K_n^{(1)}(R) = 0$  for all  $n \geq 2$  by Soulé [14].

**Corollary 2.5.** *For  $m > 0$  we have*

$$K_{-m}^{(1)}(R) = H_{\text{cdh}}^m(R, \mathcal{O}) = \bigoplus_{t=1}^{\infty} H^m(X, \mathcal{O}_X(t)).$$

*Remark 2.6.* This clarifies results of Srinivas in [15, Thm. 3], [16] and Weibel [23], which observed (when  $X$  is a curve) that the right side of the display in Corollary 2.5 is an obstruction to the vanishing of  $K_0(R)$  and  $K_{-1}(R)$ .

There is an exact sequence  $0 \rightarrow p^*\Omega_X^1 \rightarrow \Omega_Y^1 \rightarrow \Omega_{Y/X}^1 \rightarrow 0$  of sheaves on  $Y$ . The relative sheaf  $\Omega_{Y/X}^1$  is the line bundle  $p^*L$ , and so we deduce exact sequences for all  $i \geq 1$ :

$$0 \rightarrow p^*\Omega_X^i \rightarrow \Omega_Y^i \rightarrow p^*(\Omega_X^{i-1} \otimes L) \rightarrow 0.$$

Since  $p_*p^*\mathcal{F} = \mathcal{F} \otimes \text{Sym}(L)$ , applying  $p_*$  yields (graded) exact sequences of sheaves on  $X$  for all  $i \geq 1$ :

$$(2.7) \quad 0 \rightarrow \Omega_X^i \otimes \text{Sym}(L) \rightarrow p_*\Omega_Y^i \rightarrow \Omega_X^{i-1} \otimes L \otimes \text{Sym}(L) \rightarrow 0.$$

**Lemma 2.8.** *There are graded split exact sequences*

$$0 \rightarrow \bigoplus_{t=0}^{\infty} H_{\text{zar}}^*(X, \Omega_X^i(t)) \rightarrow H_{\text{zar}}^*(Y, \Omega_Y^i) \rightarrow \bigoplus_{t=1}^{\infty} H_{\text{zar}}^*(X, \Omega_X^{i-1}(t)) \rightarrow 0,$$

and for  $t \geq 1$  the composition

$$H_{\text{zar}}^*(X, \Omega_X^i(t)) \rightarrow H_{\text{zar}}^*(Y, \Omega_Y^i) \xrightarrow{d} H_{\text{zar}}^*(Y, \Omega_Y^{i+1}) \rightarrow H_{\text{zar}}^*(X, \Omega_X^i(t))$$

is an isomorphism.

*Proof.* It follows from (2.7) that we have a (graded) exact sequence

$$\dots \xrightarrow{\partial} \bigoplus_{t=0}^{\infty} H_{\text{zar}}^*(X, \Omega_X^i(t)) \xrightarrow{p^*} H_{\text{zar}}^*(Y, \Omega_Y^i) \rightarrow \bigoplus_{t=1}^{\infty} H_{\text{zar}}^*(X, \Omega_X^{i-1}(t)) \xrightarrow{\partial} \dots$$

Therefore, the second assertion implies the first. Referring to the maps of (2.7), it suffices to show that the composition

$$\Omega_X^i \otimes \text{Sym}(L) \rightarrow p_*\Omega_Y^i \xrightarrow{d} p_*\Omega_Y^{i+1} \rightarrow \Omega_X^i \otimes L \otimes \text{Sym}(L)$$

is the evident graded surjection, with kernel  $\Omega_X^i$ . But, in the notation of the proof of Lemma 2.2, it suffices to look on the affine  $D_+(x) = \text{Spec}(A)$  of  $X$ , and here this is the map  $\Omega_A^* \otimes_A A[x] \rightarrow \Omega_A^* \otimes_A \Omega_{A[x]/A}^1$  sending  $\omega \otimes x^n$  to  $\omega \otimes nx^{n-1} dx$ .  $\square$

*Example 2.8.1.* In particular,  $0 \rightarrow H^0(X, \Omega_X^1(t)) \rightarrow H^0(Y, \Omega_Y^1)_t \rightarrow R_t \rightarrow 0$  is exact for  $t \geq 1$ , and the composition  $R_t \xrightarrow{d} H^0(Y, \Omega_Y^1)_t \rightarrow R_t$  is an isomorphism.

**Corollary 2.9.** *For  $i \geq 1$  and  $m \geq 1$  we have:*

$$\begin{aligned} \Omega_{\text{cdh}}^i(R) &\cong \Omega_k^i \oplus \bigoplus_{t=1}^{\infty} H_{\text{zar}}^0(X, \Omega^i(t)) \oplus H_{\text{zar}}^0(X, \Omega^{i-1}(t)); \\ H_{\text{cdh}}^m(R, \Omega^i) &\cong \bigoplus_{t=1}^{\infty} H_{\text{zar}}^m(X, \Omega^i(t)) \oplus H_{\text{zar}}^m(X, \Omega^{i-1}(t)). \end{aligned}$$

The cokernel of  $\Omega_{\text{cdh}}^{i-1}(R) \xrightarrow{d} \Omega_{\text{cdh}}^i(R)$  is  $\Omega_k^i/d\Omega_k^{i-1} \oplus \bigoplus_{t=1}^{\infty} H_{\text{zar}}^0(X, \Omega_X^i(t))$ , and the cokernel of  $H_{\text{cdh}}^m(R, \Omega^{i-1}) \xrightarrow{d} H_{\text{cdh}}^m(R, \Omega^i)$  is the summand  $\bigoplus_{t=1}^{\infty} H_{\text{zar}}^m(X, \Omega_X^i(t))$ .

*Proof.* The first assertions follow from Lemma 2.8 and (2.3). The cokernel assertions follow from this using (1.8a), (1.8b) and induction on  $i$ .  $\square$

We may now deduce the remaining cases of Theorem 2.1, the main theorem of this section. Recall that  $K_{-m}^{(1)}(R)$  is  $\bigoplus_t H^m(X, \mathcal{O}(t))$  by Corollary 2.5.

**Proposition 2.10.** *For  $i \geq 1$ , we have*

$$K_{-m}^{(i+1)}(R) \cong \mathbb{H}_{\text{cdh}}^{m+2i}(R, \Omega^{\leq i}) \cong \bigoplus_{t=1}^{\infty} H^{m+i}(X, \Omega_X^i(t)), \quad m \geq 0.$$

*Proof.* The first assertion is the case  $m = 0$  of the displayed equation. The first displayed isomorphism is 1.5. The second isomorphism is established in Lemma 2.8, using the isomorphism  $\mathbb{H}_{\text{cdh}}^{m+2i}(R, \Omega^{\leq i}) \cong \text{coker}\{H_{\text{cdh}}^{m+i}(R, \Omega^{i-1}) \xrightarrow{d} H_{\text{cdh}}^{m+i}(R, \Omega^i)\}$  of Theorem 1.12.  $\square$

The proof of Theorem 2.1 is now complete. We now deduce partial information about the groups  $K_n(R)$  for  $n \geq 1$ .

**Proposition 2.11.** *Let  $X$  be a smooth projective variety in  $\mathbb{P}_k^N$  with homogeneous coordinate ring  $R$ . Then for all  $n \geq 1$  we have graded isomorphisms:*

$$\begin{aligned} K_n^{(n+1)}(R) &\cong \text{coker} \left\{ \Omega_R^n/d\Omega_R^{n-1} \rightarrow \bigoplus_{t=1}^{\infty} H^0(X, \Omega_X^n(t)) \right\}; \\ K_n^{(i)}(R) &\cong \bigoplus_{t=1}^{\infty} H^{i-n-1}(X, \Omega_X^{i-1}(t)), \quad i \geq n+2. \end{aligned}$$

The graded decomposition of  $K_n^{(n+1)}(R) = \bigoplus_{t=1}^{\infty} K_n^{(n+1)}(R)_t$  is:

$$K_n^{(n+1)}(R)_t \cong \text{coker} \left\{ (\Omega_R^n/d\Omega_R^{n-1})_t \rightarrow H^0(X, \Omega_X^n(t)) \right\}.$$

*Proof.* By Theorem 1.13(c),

$$\begin{aligned} K_n^{(n+1)}(R) &\cong \Omega_{\text{cdh}}^n(R)/(\Omega_R^n + d\Omega_{\text{cdh}}^{n-1}(R)) \\ &= \text{coker} (\Omega_R^n/d\Omega_R^{n-1} \rightarrow \Omega_{\text{cdh}}^n(R)/d\Omega_{\text{cdh}}^{n-1}(R)). \end{aligned}$$

Since  $\widetilde{H}_{\text{cdh}}^0(R, \Omega^n) = \Omega_{\text{cdh}}^n(R)/\Omega_k^n$  and  $\Omega_k^n \subset \Omega_R^n$ , we see from Corollary 2.9 that this is the cokernel of  $\Omega_R^n/d\Omega_R^{n-1} \rightarrow \bigoplus_{t=1}^{\infty} H^0(X, \Omega_X^1(t))$ , as claimed.  $\square$

*Remark 2.12.* When  $X = \mathbb{P}_k^r$  is embedded in  $\mathbb{P}_k^N$  as a subvariety of degree  $d > r$ , our  $L^t = \mathcal{O}_X(t)$  agrees with  $\mathcal{O}_{\mathbb{P}_k^r}(d \cdot t)$ , because it is the pullback of  $\mathcal{O}_{\mathbb{P}_k^N}(t)$  to  $X = \mathbb{P}_k^r$ . Similarly, the terms written as  $\Omega_X^i(t)$  in Proposition 2.11 should be read as  $\Omega_{\mathbb{P}_k^r}^i \otimes \mathcal{O}_{\mathbb{P}_k^r}(d \cdot t)$ .

### 3. CONES OVER SMOOTH CURVES

In this section, we focus on the case when  $X$  is a curve (i.e., a smooth projective variety of dimension one, embedded in  $\mathbb{P}_k^N$ ), and apply the results of Sections 1 and 2 in this case. Recall from Theorem 2.1 that  $K_{-m}(R) = 0$  for  $m > 1$ .

The simplest case is when  $k$  is algebraic over  $\mathbb{Q}$ . In this case, we know from Proposition 1.17 that  $\widetilde{K}_2(R) \cong \text{tors } \Omega_R^1$  and if  $n \geq 3$  then  $\widetilde{K}_n(R) \cong \widetilde{HC}_{n-1}(R)$ . It remains to describe the situation when  $-1 \leq n \leq 1$ .

**Lemma 3.1.** *Suppose that  $k$  is algebraic over  $\mathbb{Q}$  and that  $R$  is the homogeneous coordinate ring of a smooth curve  $X$  over  $k$ . Then  $K_{-1}(R) = \bigoplus_{t=1}^{\infty} H^1(X, \mathcal{O}(t))$ ,  $K_0(R) = \mathbb{Z} \oplus (R^+/R)$  and  $\widetilde{K}_1(R) = \bigoplus_{t=1}^{\infty} H^0(X, \Omega_{X/k}^1(t))/\Omega_{R/k}^1$ .*

*Proof.* By Theorem 2.1,  $K_0^{(i)}(R) = 0$  for  $i \geq 3$  and  $K_{-1}^{(i)}(R)$  is zero for  $i \geq 2$ , while  $K_{-1}^{(1)}(R)$  is the sum of the  $H^1(X, \mathcal{O}(t))$  by 2.5. By Serre Duality,  $K_0^{(2)}(R)$  is the sum of the  $H^1(X, \Omega_{X/k}^1(t)) = H^0(X, \mathcal{O}_X(-t))^*$ , which are zero for all  $t > 0$ .

The formula for  $\widetilde{K}_1(R)$  is immediate from Propositions 1.17 and 2.11.  $\square$

**Proposition 3.2.** *Suppose that  $R$  is the homogeneous coordinate ring of a smooth curve  $X$  over a number field  $F$  contained in  $k$ . Then for  $R_k = R \otimes_F k$ :*

- (a) For  $i < n$  we have  $\widetilde{K}_n^{(i)}(R_k) \cong \bigoplus_{p=0}^i \Omega_k^p \otimes_F \widetilde{K}_{n-p}^{(i-p)}(R)$ .
- (b) For all  $n \geq 2$ ,  $\widetilde{K}_n^{(n)}(R_k) \cong \bigoplus_{p=0}^{n-2} \Omega_k^p \otimes_F \widetilde{K}_{n-p}^{(n-p)}(R)$ .
- (c) For all  $n \geq 1$ ,  $K_n^{(n+1)}(R_k) \cong \Omega_k^{n-1} \otimes_F K_1^{(2)}(R)$ .
- (d) For all  $n \geq 0$ ,  $K_n^{(n+2)}(R_k) \cong \Omega_k^{n+1} \otimes_F K_{-1}(R) \cong \Omega_k^{n+1} \otimes_k K_{-1}(R_k)$ .

*Proof.* Write  $\otimes$  for  $\otimes_F$ . Part (a) is immediate from Theorem 1.13(a) and Kassel's basechange formula  $\widetilde{HC}_*(R_k) \cong \Omega_k^* \otimes \widetilde{HC}_*(R)$ . (See [7, (3.2)].)

For (b), recall that  $\widetilde{K}_n^{(n)}(R_k) \cong \text{tors } \Omega_{R_k}^{n-1}/d \text{tors } \Omega_{R_k}^{n-2}$  by Theorem 1.13(b). By the Künneth formula,  $\text{tors } \Omega_{R_k}^n = \bigoplus_{p+q=n} \Omega_k^p \otimes \text{tors } \Omega_R^q$ . Filtering by  $p \geq 0$  yields a 2-diagonal spectral sequence computing the kernel and cokernel of  $d : \text{tors } \Omega_{R_k}^{n-1} \rightarrow \text{tors } \Omega_{R_k}^n$ , with  $E_0^{p,-p} = \Omega_k^p \otimes \text{tors } \Omega_R^{n-p}$  and  $E_0^{p,-1-p} = \Omega_k^p \otimes \text{tors } \Omega_R^{n-p-1}$ . By (1.9a), we have  $E_1^{p,-p} = \Omega_k^p \otimes \widetilde{K}_{n+1}^{(n+1)}(R)$  and  $E_1^{p,-1-p} = \Omega_k^p \otimes d \text{tors } \Omega_R^{n-p-2}$ . Given  $\alpha$  in  $\Omega_k^p$  and  $d\tau$  in  $d \text{tors } \Omega_R^{n-p-2}$ ,  $d(\alpha \otimes d\tau) = d\alpha \otimes d\tau = d(d\alpha \otimes \tau)$  in  $\text{tors } \Omega_{R_k}^n$ , which shows that  $d^1 = 0$  and establishes (b).

By the Künneth formula and Proposition 2.11,  $K_n^{(n+1)}(R_k)$  is the direct sum over  $p+q=n$  of the cokernels of the maps

$$\Omega_k^p \otimes \Omega_R^q \rightarrow \Omega_k^p \otimes H^0(Y, \Omega_Y^q) \rightarrow \Omega_k^p \otimes \bigoplus_t H^0(X, \Omega_X^q(t)).$$

For  $q = 0$ , the composite is the identity map of  $\Omega_k^n \otimes R$ . For  $q = 1$ , the composite is  $\Omega_k^{n-1}$  tensored with the map  $\Omega_R^1 \rightarrow \oplus_t H^0(X, \Omega_X^1(t))$  defining  $K_1^{(2)}(R)$ . For  $q \geq 2$ , the right side is zero. This establishes part (c).

Since  $K_{-1}(R) \cong H^1(X, \mathcal{O}(t))$ , part (d) is just Proposition 2.11, together with the Künneth formula that  $H^1(X_k, \Omega_{X_k}^n(t))$  is the direct sum of  $\Omega_k^n \otimes H^1(X, \mathcal{O}(t))$  and  $\Omega_k^{n-1} \otimes H^1(X, \Omega_X^1(t))$ , which is zero for  $t > 0$  by Serre Duality.  $\square$

When  $k/\mathbb{Q}$  is transcendental, we will use a variant of the arithmetic Gauss-Manin connection  $H_{dR}^1(X/k) \rightarrow \Omega_k^1 \otimes H_{dR}^1(X/k)$ , or rather its ( $k$ -linear) filtered piece

$$\nabla : H^0(X, \Omega_{X/k}^1) \rightarrow \Omega_k^1 \otimes H^1(X, \mathcal{O}_X)$$

as described in [8, Thm. 2] and [11, 3.2]. When  $k = \mathbb{C}$ , this can be interpreted in terms of the Hodge filtration as a map  $H^{1,0}(X, \mathbb{C}) \rightarrow \Omega_{\mathbb{C}/k}^1 \otimes H^{0,1}(X, \mathbb{C})$ .

It is known (see [8]) that  $\nabla$  is the cohomology boundary map associated to the fundamental short exact sequence  $0 \rightarrow \Omega_k^1 \otimes \mathcal{O}_X \rightarrow \Omega_X^1 \rightarrow \Omega_{X/k}^1 \rightarrow 0$ . Twisting this short exact sequence by  $\mathcal{O}(t)$  yields a twisted version  $\nabla_t : H^0(X, \Omega_{X/k}^1(t)) \rightarrow \Omega_k^1 \otimes H^1(X, \mathcal{O}(t))$ . We see from Lemma 2.8 that the direct sum of the  $\nabla_t$  is a component of the cohomology boundary map associated to  $0 \rightarrow \Omega_k^1 \otimes \mathcal{O}_Y \rightarrow \Omega_Y^1 \rightarrow \Omega_{Y/k}^1 \rightarrow 0$ ; it follows that  $\oplus \nabla_t$  is  $R$ -linear.

Since  $\Omega_{X/k}^2 = 0$ , we have fundamental exact sequences for each  $i$ :

$$(3.3) \quad 0 \rightarrow \Omega_k^i \otimes \mathcal{O}_X(t) \rightarrow \Omega_X^i(t) \rightarrow \Omega_k^{i-1} \otimes \Omega_{X/k}^1(t) \rightarrow 0.$$

The cohomology boundary maps are the  $k$ -linear homomorphisms

$$\Omega_k^{i-1} \otimes H^0(X, \Omega_{X/k}^1(t)) \xrightarrow{\nabla_t} \Omega_k^i \otimes H^1(X, \mathcal{O}(t)).$$

The sum of the  $\nabla_t$  is again  $R$ -linear, as the sum of the sequences (3.3) is  $R$ -linear. Alternatively, we can use the fact that the arithmetic Gauss-Manin connection can be extended via the usual formula  $\nabla_t(\omega \otimes x) = d\omega \otimes x + (-1)^{i-1} \omega \wedge \nabla_t(x)$ , and the first term vanishes because it is in a lower part of the Hodge filtration.

**Lemma 3.4.** *If  $X$  is a smooth curve and  $i \geq 1$ , there is a graded exact sequence of  $R$ -modules, the sum over  $t > 0$  of the exact sequences*

$$0 \rightarrow \Omega_k^i \otimes R_t \rightarrow H^0(X, \Omega_X^i(t)) \rightarrow \Omega_k^{i-1} \otimes H^0(X, \Omega_{X/k}^1(t)) \xrightarrow{\nabla_t} \Omega_k^i \otimes H^1(X, \mathcal{O}_X(t)) \rightarrow H^1(X, \Omega_X^i(t)) \rightarrow 0.$$

Moreover, we have the identity

$$\nabla_t(\omega \otimes x) = \omega \wedge \nabla_t(x), \quad \text{for } \omega \in \Omega_k^{i-1} \text{ and } x \in H^0(X, \Omega_{X/k}^1(t)).$$

*Proof.* This is just the cohomology exact sequence for (3.3), together with Serre Duality, which says that  $H^1(X, \Omega_{X/k}^1(t)) = H^0(X, \mathcal{O}_X(-t)) = 0$  for all  $t > 0$ .

To prove that the boundary map is  $\nabla$ , let  $\mathcal{U}$  be a cover of  $X$  by affine open subschemes and consider the exact sequence of Čech complexes associated to (3.3). We have  $\check{C}(\mathcal{U}, \Omega_k^i \otimes \mathcal{O}_X(t)) = \Omega_k^i \otimes \check{C}(\mathcal{U}, \mathcal{O}_X(t))$  and

$$\check{C}(\mathcal{U}, \Omega_k^{i-1} \otimes \Omega_X^1(t)) = \Omega_k^{i-1} \otimes \check{C}(\mathcal{U}, \Omega_{X/k}^1(t)).$$

Let  $\omega \in \Omega_k^{i-1}$  and  $x \in H^0(X, \Omega_{X/k}^1(t)) = H^0(\check{C}(\mathcal{U}, \Omega_{X/k}^1(t)))$ . If  $y \in \check{C}(\mathcal{U}, \Omega_X^1(t))$  maps to  $x$ , then  $\delta(y)$  is in  $\Omega_k^1 \otimes \check{C}(\mathcal{U}, \mathcal{O}(t))$  and represents  $\nabla(x)$ . Since  $\omega \wedge y$  lifts

$\omega \otimes x$ ,  $\nabla(\omega \otimes x)$  is the class of  $\delta(\omega \wedge y)$  in  $\Omega_k^i \otimes H^1(X, \Omega_{X/k}^1)$ . Since  $\omega$  is globally defined, we have  $\delta(\omega \wedge y) = \omega \wedge \delta(y)$ .  $\square$

**Proposition 3.5.** *If  $X$  is a smooth curve, we have graded exact sequences*

$$\begin{aligned} 0 \rightarrow K_1^{(2)}(R) &\rightarrow \frac{\oplus_t H^0(X, \Omega_{X/k}^1(t))}{\text{image } \Omega_{R/k}^1} \xrightarrow{\nabla} \Omega_k^1 \otimes (\oplus_t H^1(X, \mathcal{O}(t))) \rightarrow K_0^{(2)}(R) \rightarrow 0; \\ 0 \rightarrow K_{n+1}^{(n+2)}(R) &\rightarrow \frac{\Omega_k^n \otimes \left[ \oplus_t H^0(X, \Omega_{X/k}^1(t)) \right]}{\text{image } \Omega_R^{n+1}} \xrightarrow{\nabla} \Omega_k^{n+1} \otimes (\oplus_t H^1(X, \mathcal{O}_X(t))) \\ &\rightarrow K_n^{(n+2)}(R) \rightarrow 0, \quad n \geq 1. \end{aligned}$$

The direct sums are taken from  $t = 1$  to  $\infty$ .

*Proof.* This follows from the exact sequence of Lemma 3.4, using the formulas  $K_n^{(n+2)}(R)_t \cong H^1(X, \Omega_X^{n+1}(t))$  and  $K_{n+1}^{(n+2)}(R)_t \cong H^0(X, \Omega_X^{n+1}(t))/\text{im}(\Omega_R^{n+1})_t$  of Propositions 2.10 and 2.11, once we observe that the first map of Lemma 3.4 factors through  $\Omega_R^i$ . This is because it is a quotient of  $\Omega_k^i \otimes R \rightarrow \pi_*(\Omega_Y^i) = H^0(Y, \Omega_Y^i)$ , which factors as  $\Omega_k^i \otimes R \rightarrow \Omega_R^i \rightarrow \pi_*(\Omega_Y^i)$ .  $\square$

*Example 3.6.* If  $X$  is a curve definable over a number field contained in  $k$ , then the Fundamental Sequence (3.3) (with  $i = 1$  and  $t = 0$ ) splits as  $\Omega_X^1 \cong \Omega_{X/k}^1 \oplus \Omega_k^1 \otimes \mathcal{O}_X$ , by the Künneth formula. This implies that  $\Omega_X^i \cong \left( \Omega_k^{i-1} \otimes \Omega_{X/k}^1 \right) \oplus (\Omega_k^i \otimes \mathcal{O}_X)$ , so the Gauss-Manin connection  $\nabla$  of Lemma 3.4 vanishes and therefore:

$$\begin{aligned} K_n^{(n+1)}(R) &= \frac{\Omega_k^{n-1} \otimes \left[ \oplus_t H^0(X, \Omega_{X/k}^1(t)) \right]}{\text{image } \Omega_R^n}, \quad n \geq 1; \\ K_n^{(n+2)}(R) &= \Omega_k^{n+1} \otimes \left[ \oplus_t H^1(X, \mathcal{O}_X(t)) \right] \cong \Omega_k^{n+1} \otimes K_{-1}(R), \quad n \geq 0. \end{aligned}$$

Of course, the formula for  $K_n^{(n+1)}(R)$  reduces to that of Proposition 3.2(c).

The formula for  $K_0^{(2)}(R)$  clarifies the examples given by Srinivas in [15]. There it was shown that if  $X$  is definable over a number field, then  $K_0(R)$  maps onto  $\Omega_k^1 \otimes H^1(X, \mathcal{O}_X(1))$  (see page 264). From this Srinivas deduced that if  $k = \mathbb{C}$  and  $H^1(X, \mathcal{O}_X(1)) \neq 0$  then  $\tilde{K}_0(R) \neq 0$ .

**Lemma 3.7.** *For any graded algebra  $R = k \oplus R_1 \oplus \dots$ , the degree 1 part of  $\Omega_R^i$  decomposes as*

$$(\Omega_R^i)_1 \cong (R_1 \otimes \Omega_k^i) \oplus (\Omega_k^{i-1} \otimes R_1).$$

The inclusions of  $R_1 \otimes \Omega_k^i$  and  $\Omega_k^{i-1} \otimes R_1$  are given by  $r \otimes \omega \mapsto r\omega$  and  $\omega \otimes r \mapsto \omega \wedge dr$ , respectively.

*Proof.* We may suppose for simplicity that  $N = \dim(R_1)$  is finite, so that the polynomial ring  $S = k[x_1, \dots, x_N]$  maps to  $R$ , and  $S \rightarrow R$  is an isomorphism in degree 1. For every subfield  $\ell$  of  $k$ ,  $\Omega_{R/\ell}^1$  is the cokernel of the Hochschild boundary  $R^{\otimes 3} \rightarrow R \otimes_\ell R$ ; thus the map  $\Omega_{S/\ell}^1 \rightarrow \Omega_{R/\ell}^1$  is an isomorphism in degree 1, and therefore so is  $\Omega_{S/\ell}^i \rightarrow \Omega_{R/\ell}^i$ . Since  $\Omega_S^1 \cong (\Omega_k^1 \otimes S) \oplus \Omega_{S/k}^1$ , it is easy to check that the degree 1 part of  $\Omega_S^i$  is  $(\Omega_k^i \otimes S_1) \oplus \Omega_k^{i-1} \otimes S_1$ , via the given formulas.  $\square$

**Theorem 3.8.** *Let  $X$  be a curve of genus  $g$ , embedded in  $\mathbb{P}_k^N$  by a complete linear system of degree  $d > 1$ . Assume that the twisted Gauss-Manin connection  $\nabla : H^0(X, \Omega_{X/k}^1(1)) \rightarrow \Omega_k^1 \otimes H^1(X, \mathcal{O}_X(1))$  is zero. Then  $K_1^{(2)}(R)_1 \cong k^{d+g-1} \neq 0$ , and*

$$K_n^{(n+1)}(R)_1 \cong \Omega_k^{n-1} \otimes_{\mathbb{Q}} k^{d+g-1} \quad (n \geq 1).$$

*In particular,  $K_n^{(n+1)}(R) \neq 0$  for all  $n$  with  $1 \leq n < \text{tr. deg.}(k/\mathbb{Q})$ .*

*Proof.* By Proposition 2.11, the degree 1 part of  $K_n^{(n+1)}(R)$  is

$$K_n^{(n+1)}(R)_1 = \text{coker}((\Omega_R^n/d\Omega_R^{n-1})_1 \rightarrow H^0(X, \Omega_X^n(1))).$$

By Lemmas 3.7 and 3.4, and our hypothesis, we have morphisms of exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & \Omega_k^n \otimes R_1 & \longrightarrow & (\Omega_R^n)_1 & \xrightarrow{\omega \wedge dr \rightarrow \omega \otimes r} & \Omega_k^{n-1} \otimes R_1 & \longrightarrow & 0 \\ & & \downarrow \text{id} & & \downarrow & & \downarrow 1 \otimes d & & \\ 0 & \longrightarrow & \Omega_k^n \otimes R_1 & \longrightarrow & H^0(Y, \Omega_Y^n)_1 & \longrightarrow & \Omega_k^{n-1} \otimes H^0(Y, \Omega_{Y/k}^1)_1 & \xrightarrow{\partial} & 0 \\ & & \downarrow \text{id} & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \Omega_k^n \otimes R_1 & \longrightarrow & H^0(X, \Omega_X^n(1)) & \longrightarrow & \Omega_k^{n-1} \otimes H^0(X, \Omega_{X/k}^1(1)) & \xrightarrow{\nabla} & 0 \end{array}$$

where the bottom vertical maps are given in Lemma 2.8 as the quotients by  $dH^0(X, \Omega^{n-1}(1))$  and  $\Omega_k^{n-1} \otimes dR_1$ . It follows that the right vertical composite is zero. Hence  $K_n^{(n+1)}(R)_1$ , which is the cokernel of the middle vertical composite, is isomorphic to  $\Omega_k^{n-1} \otimes H^0(X, \Omega_{X/k}^1(1))$ . Finally,  $\dim H^0(X, \Omega_{X/k}^1(1)) = d + g - 1$  by Riemann-Roch.  $\square$

*Example 3.9.* Here are two cases in which the hypotheses of Theorem 3.8 above are satisfied:

- (a)  $X$  is embedded in  $\mathbb{P}_k^N$  by a complete linear system of degree  $d \geq 2g - 1$ . In this case  $\deg(\Omega_{X/k}^1(-1)) < 0$ , so  $H^1(X, \mathcal{O}(t)) = 0$  for all  $t \geq 1$  by Serre duality. Theorem 3.8 improves the result of Srinivas in [17] that if  $d \geq 2g + 1$  then  $\tilde{K}_1(R) \neq 0$ .
- (b)  $X$  is definable over a number field contained in  $k$ .

#### 4. K-THEORY OF THE PLANE CONIC

We conclude with a classical example:  $X$  is the plane conic with homogeneous coordinate ring  $R = k[x, y, z]/(z^2 - xy)$ . This curve is a degree 2 embedding of  $\mathbb{P}_k^1$  in  $\mathbb{P}_k^2$ ; as pointed out in Remark 2.12, our line bundle  $\mathcal{O}_X(t)$  is the usual  $\mathcal{O}_{\mathbb{P}_k^1}(2t)$ .

Murthy observed long ago, in [13, 5.3], that  $K_0(R) = \mathbb{Z}$  and  $K_{-1}(R) = 0$ ; this also follows from our Theorem 2.1. Srinivas proved in [17] that  $\tilde{K}_1(R)$  surjects onto  $k$ . Theorem 4.3 below gives a complete calculation of  $K_*(R)$ , or rather,  $\tilde{K}_*(R) = K_*(R)/K_*(k)$ .

**Lemma 4.1.** *For  $R = k[x, y, z]/(z^2 - xy)$ ,  $\Omega_{R/k}^1$  is a torsionfree  $R$ -module, and the map  $\Omega_{R/k}^1 \rightarrow H^0(Y, \Omega_{Y/k}^1)$  is a graded injection with cokernel  $k$  in degree  $t = 1$ .*

*Proof.* As  $R$  is a normal complete intersection, a theorem of Vasconcelos ([19, 2.4]) says that  $\Omega_R^1$  is a torsionfree  $R$ -module. As such, it is a graded submodule of  $\Omega_{R[1/x]}^1$ . From the factorization  $\text{Spec}(R[1/x]) \rightarrow Y \rightarrow \text{Spec}(R)$ , we see that the graded map  $\Omega_{R/k}^1 \rightarrow H^0(Y, \Omega_{Y/k}^1)$  is an injection. Since  $R/k \xrightarrow{d} \Omega_{R/k}^1 \rightarrow H^0(Y, \Omega_{Y/k}^1)$  is an injection with cokernel  $\oplus_t H^0(X, \Omega_{X/k}^1(t))$  by Lemma 2.8, we are reduced to comparing the Hilbert functions of both sides.

It is easy to show that  $\dim(R_t) = 2t + 1$  for all  $t \geq 0$ . From the resolution  $0 \rightarrow R(-2) \xrightarrow{dF} R(-1)^3 \rightarrow \Omega_{R/k}^1 \rightarrow 0$ , we compute that  $\dim(\Omega_{R/k}^1)_t$  is 3 for  $t = 1$  and  $4t$  for  $t \geq 2$ . By Riemann-Roch, we have  $\dim H^0(X, \Omega_{X/k}^1(t)) = 2t - 1$  for  $t > 0$ . By Lemma 2.8, this yields:

$$\dim H^0(Y, \Omega_{Y/k}^1)_t = \dim H^0(X, \Omega_{X/k}^1(t)) + \dim R_t = (2t - 1) + (2t + 1) = 4t.$$

This shows that  $(\Omega_{R/k}^1)_t \cong R_t \oplus H^0(X, \Omega_{X/k}^1(t))$  when  $t \geq 2$ , as desired.  $\square$

*Remark 4.1.1.* Since  $\Omega_R^1$  is torsionfree, the exact sequence (1.9a) shows that  $d : \text{tors } \Omega_{R/k}^2 \cong \Omega_{R/k}^3 \cong k$ . In fact, the 2-form  $\tau = z dx \wedge dy + 2y dx \wedge dz$  has  $x\tau = y\tau = z\tau = 0$  and  $d\tau = dx \wedge dy \wedge dz$ .

**Lemma 4.2.** *For  $R = \mathbb{Q}[x, y, z]/(z^2 - xy)$  and  $n \geq 2$ ,  $\widetilde{HC}_n^{(i)}(R)$  is  $\mathbb{Q}$  if  $n = 2i - 2$  and zero otherwise. For  $R_k = R \otimes k$ ,  $\widetilde{HC}_n^{(i)}(R_k)$  is  $\Omega_k^p$ , where  $p = 2i - n - 2$ .*

*Proof.* The calculation of  $HC_n^{(i)}(R)$  is taken from [12, Thms. 2–3], using the elementary calculation that  $\Omega_R^3 \cong \mathbb{Q}$  for  $n > 3$  and exactness of the augmented Poincaré complex  $\mathbb{Q} \rightarrow \Omega_R^*$  for  $n = 2, 3$ . The second sentence follows using the basechange formula of [7, (3.2)].  $\square$

**Theorem 4.3.** *For  $R_k = k[x, y, z]/(z^2 - xy)$  and all  $n$ , we have*

$$\widetilde{K}_n(R_k) \cong \Omega_k^{n-1} \oplus \Omega_k^{n-3} \oplus \Omega_k^{n-5} \oplus \dots$$

*In particular,  $K_1(R_k) \cong K_1(k) \oplus k$  and  $K_2(R_k) \cong K_2(k) \oplus \Omega_k^1$ .*

*Proof.* By Proposition 3.2(a) and Lemma 4.2, we see that  $\widetilde{K}_n^{(n-j)}(R)$  is  $\Omega_k^{n-2j-3}$  for all  $j > 0$ . By Theorem 1.15 and Remark 4.1.1, we have  $\widetilde{K}_3^{(3)}(R_{\mathbb{Q}}) \cong k$  and  $\widetilde{K}_n^{(n)}(R_{\mathbb{Q}}) = 0$  for  $n \neq 3$ . By Proposition 3.2(b) this implies that  $\widetilde{K}_n^{(n)}(R_k) \cong \Omega_k^{n-3}$  for all  $n \neq 3$ . By Proposition 3.5 and Lemma 4.1, we have  $K_1^{(2)}(R_k) = k$ . By Proposition 3.2, this implies that  $K_n^{(n+1)}(R) \cong \Omega_k^{n-1}$  for all  $n \geq 1$ . Finally, by Proposition 2.11 we have  $K_n^{(n+2)}(R_k)_t = H^1(X_k, \Omega_{X_k}^{n+1}(t))$ , which vanishes for all  $n, t \geq 1$  as it is the sum of  $\Omega_k^n \otimes H^1(X, \Omega_X^1(t))$ , which vanishes by Serre Duality, and  $\Omega_k^{n+1} \otimes H^1(X, \mathcal{O}_X(t))$ , which vanishes as  $X = \mathbb{P}_k^1$ .  $\square$

*Remark 4.3.1.* When  $k$  is algebraic over  $\mathbb{Q}$ , the formulas in Theorem 4.3 reduce to:  $\widetilde{K}_n(R_k) = \mathbb{Q}$  for  $n \geq 1$  odd, and  $\widetilde{K}_n(R_k) = 0$  otherwise.

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