

## Homework2 – K-theory

**Due date:** November 9.

- (1) Ex 2.7
- (2) Ex 2.8
- (3) Ex 2.12

**Exercise 2.7.** Let  $\varepsilon > 0$  be given. Show that there exists  $\delta > 0$  with the following property. If  $A$  is a  $C^*$ -algebra and if  $a$  is an element in  $A$  such that  $\|a - a^*\| \leq \delta$  and  $\|a^2 - a\| \leq \delta$ , then there is a projection  $p$  in  $A$  with  $\|a - p\| \leq \varepsilon$ . In other words, an element  $a$  in  $A$ , which is almost a projection, is close to a projection in  $A$ . [Hint: We need only consider the case where  $\varepsilon < 1/2$ . Put  $b = (a + a^*)/2$ . Show that the spectrum of  $b$  is contained in  $[-\varepsilon, \varepsilon] \cup [1 - \varepsilon, 1 + \varepsilon]$  if  $\|b - b^2\| \leq \varepsilon - \varepsilon^2$ , and put  $p = f(b)$  for a suitably chosen continuous function  $f$ .]

Show that for each  $\varepsilon > 0$  there exists  $\delta > 0$  with the following property. If  $A$  is a  $C^*$ -algebra,  $B$  is a sub- $C^*$ -algebra of  $A$ , and  $p$  is a projection in  $A$  such that  $\|p - b\| \leq \delta$  for some  $b$  in  $B$ , then there is a projection  $q$  in  $B$  with  $\|p - q\| \leq \varepsilon$ .

**Exercise 2.8.** Let  $\varepsilon > 0$  be given. Show that there exists  $\delta > 0$  with the following property. If  $A$  is a unital  $C^*$ -algebra and  $a$  is an element in  $A$  such that  $\|aa^* - 1_A\| \leq \delta$  and  $\|a^*a - 1_A\| \leq \delta$ , then there is a unitary element  $u$  in  $A$  with  $\|a - u\| \leq \varepsilon$ . In other words, an element  $a$  in  $A$ , which is almost unitary, is close to a unitary element in  $A$ . [Hint: Look at Proposition 2.1.8 and Paragraph 2.1.9.]

Show that for each  $\varepsilon > 0$  there exists  $\delta > 0$  with the following property. If  $A$  is a unital  $C^*$ -algebra,  $B$  is a sub- $C^*$ -algebra of  $A$  containing the unit of  $A$ , and  $u$  is a unitary element in  $A$  such that  $\|u - b\| \leq \delta$  for some element  $b$  in  $B$ , then there is a unitary element  $v$  in  $B$  with  $\|u - v\| \leq \varepsilon$ .

**Exercise 2.9.** Let  $\text{Tr}: M_n(\mathbb{C}) \rightarrow \mathbb{C}$  be the standard trace given by

$$\text{Tr} \begin{pmatrix} \alpha_{11} & \alpha_{12} & \cdots & \alpha_{1n} \\ \alpha_{21} & \alpha_{22} & \cdots & \alpha_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_{n1} & \alpha_{n2} & \cdots & \alpha_{nn} \end{pmatrix} = \sum_{j=1}^n \alpha_{jj}.$$

Let  $p, q$  be projections in  $M_n(\mathbb{C})$ . Show that the following are equivalent:

- (i)  $p \sim q$ ,
- (ii)  $\text{Tr}(p) = \text{Tr}(q)$ ,
- (iii)  $\dim(p(\mathbb{C}^n)) = \dim(q(\mathbb{C}^n))$ .

Use this to show that

$$\mathcal{D}(\mathbb{C}) \cong \{0, 1, 2, \dots\} = \mathbb{Z}^+,$$

when  $\mathbb{Z}^+$  is equipped with the usual addition.

Show finally that the implications

$$"p \sim q \Rightarrow p \sim_u q" \quad \text{and} \quad "p \sim q \Rightarrow p \sim_h q"$$

hold for all projections  $p, q$  in  $M_n(\mathbb{C})$ .

**Exercise 2.10.** Let  $p, q$  be projections in  $B(H)$ , where  $H$  is an infinite dimensional separable Hilbert space.

- (i) Show that  $p \sim q$  if and only if  $\dim(p(H)) = \dim(q(H))$ .
- (ii) Show that  $p \sim_u q$  if and only if

$$\dim(p(H)) = \dim(q(H)) \quad \text{and} \quad \dim(p(H)^\perp) = \dim(q(H)^\perp).$$

This result is in Example 3.3.3 used to show that

$$\mathcal{D}(B(H)) \cong \{0, 1, 2, \dots, \infty\} = \mathbb{Z}^+ \cup \{\infty\},$$

where addition on  $\mathbb{Z}^+ \cup \{\infty\}$  is the usual addition on  $\mathbb{Z}^+$  and where  $\infty + n = n + \infty = \infty$  for all  $n$  in  $\mathbb{Z}^+ \cup \{\infty\}$ .

**Exercise 2.11.** Show that  $\mathcal{D}(\mathbb{C} \oplus \mathbb{C})$  is isomorphic to the additive semigroup  $\mathbb{Z}^+ \oplus \mathbb{Z}^+$ .

**Exercise 2.12.** Consider the short exact sequence

$$0 \longrightarrow C_0(\mathbb{R}^2) \xrightarrow{\varphi} C(\mathbb{D}) \xrightarrow{\psi} C(\mathbb{T}) \longrightarrow 0,$$

where  $\mathbb{D} = \{z \in \mathbb{C} : |z| \leq 1\}$ , where  $\psi$  is the restriction mapping, and where  $\varphi$  is obtained by identifying  $\mathbb{D} \setminus \mathbb{T}$  with  $\mathbb{R}^2$ . (You may replace  $\mathbb{R}^2$  with  $\mathbb{D} \setminus \mathbb{T}$  if you wish.) Let  $v$  in  $C(\mathbb{T})$  be given by  $v(z) = z$  for all  $z$  in  $\mathbb{T}$ .

- (i) Show that  $v$  is unitary.
- (ii) Show that  $v$  does not lift to a unitary in  $C(\mathbb{D})$ , i.e., there is no unitary  $u$  in  $C(\mathbb{D})$  such that  $\psi(u) = v$ . [Hint: Use Brouwer's fixed point theorem which says that each continuous function  $f: \mathbb{D} \rightarrow \mathbb{D}$  has a fixed point.]
- (iii) Conclude that  $v$  does not belong to  $\mathcal{U}_0(C(\mathbb{T}))$ , and that there exist unitaries  $v_1, v_2$  in  $C(\mathbb{T})$  such that  $v_1 \sim_h v_2$ . Show that there is no self-adjoint element  $h$  in  $C(\mathbb{T})$  for which  $v = \exp(ih)$ .