

## Math 347 – Homework #4 solutions

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### Solutions

Exercise 4.5. Such a bijection exists precisely when  $A$  has at least two elements. If  $A$  is the empty set or if  $A = \{1\}$ , then the identity map is the *only* function from  $A$  to  $A$ , and so certainly the only bijection. Suppose now that  $A$  has at least two elements. Pick distinct elements  $a_1, a_2 \in A$ , and define  $f: A \rightarrow A$  by setting, for  $x \in A$ ,

$$f(x) := \begin{cases} a_2 & \text{if } x = a_1, \\ a_1 & \text{if } x = a_2, \\ x & \text{if } x \neq a_1, a_2. \end{cases}$$

Let us check that  $f$  is a bijection.

**Injective:** Suppose that  $x_1, x_2$  are elements of  $A$  for which  $f(x_1) = f(x_2)$ . We will prove that  $x_1 = x_2$ . First, notice that by our definition of  $f$ , the only element that maps to  $a_2$  is  $a_1$ , and vice versa. So we may suppose that neither  $x_1$  nor  $x_2$  is  $a_1$  or  $a_2$ . But in that case  $f(x_1) = x_1$  and  $f(x_2) = x_2$ , so that from  $f(x_1) = f(x_2)$  we deduce immediately that  $x_1 = x_2$ .

**Surjective:** We have to show that every element  $x \in A$  is in the image of  $f$ . Certainly  $a_1$  is in the image of  $f$ , since  $a_1 = f(a_2)$ , and similarly  $a_2$  is in the image of  $f$  since  $a_2 = f(a_1)$ . If  $x$  is an element of  $A$  other than  $a_1$  or  $a_2$ , then by our definition of  $f$ , we have  $f(x) = x$ .

Exercise 4.8 We have  $(f \circ g)(x) = f(g(x)) = f(x^2 - 1) = (x^2 - 1) - 1 = x^2 - 2$ , and  $(g \circ f)(x) = g(f(x)) = f(x)^2 - 1 = (x - 1)^2 - 1 = x^2 - 2x$ .

Exercise 4.21 We define a function  $f$  from  $A$  to  $B$  by setting, for  $S$  an element of  $A$ ,

$$f(S) := \begin{cases} S \setminus \{n\} & \text{if } n \in S, \\ S \cup \{n\} & \text{if } n \notin S. \end{cases}$$

Notice that since in each case we either remove an element from  $S$  or add an element to  $S$ , we obtain from  $S$  (which started with an even number of elements) a set with an odd number of elements. Thus  $f$  is well-defined. Let us check that  $f$  is a bijection:

**Injective:** Suppose that  $S_1, S_2 \in A$  and  $f(S_1) = f(S_2)$ . Since  $f(S_1) = f(S_2)$ , either  $n$  is in both  $S_1$  and  $S_2$  or  $n$  is in neither  $S_1$  nor  $S_2$ : indeed, if  $n$  is

in one but not the other, then by our definition of  $f$ , exactly one of the sets  $f(S_1), f(S_2)$  contains  $n$ , contradicting that  $f(S_1) = f(S_2)$ . Consider first the case when  $n$  is in both  $S_1$  and  $S_2$ . Then  $f(S_1) = S_1 \setminus \{n\}$  and  $f(S_2) = S_2 \setminus \{n\}$ . By hypothesis,  $f(S_1) = f(S_2)$ , so that  $S_1 \setminus \{n\} = S_2 \setminus \{n\}$ . But then adding  $n$  back to both sets we see that  $S_1 = S_2$ . If we are in the case when neither  $S_1$  nor  $S_2$  contains  $n$ , then the equation  $f(S_1) = f(S_2)$  says that  $S_1 \cup \{n\} = S_2 \cup \{n\}$ . In this case  $S_1 = S_2$  follows from removing  $n$  from both sides.

**Surjective:** We have to show that every element of  $B$  has the form  $f(S)$  for some  $S \in A$ . Suppose  $T$  is an element of  $B$ , i.e., that  $T$  is a subset of  $[n]$  with an even number of element. If  $T$  contains  $n$ , consider the set  $S$  obtained by removing  $n$  from  $T$ . This set  $S$  has an odd number of elements, so that  $S \in A$ , and by our definition of  $f$ , we have  $f(S) = T$ . On the other hand, if  $T$  does not contain  $n$ , consider the subset  $S$  obtained by adding the element  $n$  to  $T$ . Then  $S$  has an odd number of elements, so that  $S \in A$ , and by our definition of  $f$  we have  $f(S) = T$ . So in either case we have shown there is some  $S \in A$  for which  $f(S) = T$ . Since  $T$  was an arbitrary element of  $B$ , this proves that  $f$  is surjective.

Exercise 4.31. We have to prove that for every pair of elements  $b_1, b_2 \in B$  for which  $b_1 < b_2$ , we have  $f^{-1}(b_1) < f^{-1}(b_2)$ . Let  $a_1 = f^{-1}(b_1)$  and  $a_2 = f^{-1}(b_2)$ , so that we want to show  $a_1 < a_2$ . Suppose for the sake of contradiction that  $a_1 \geq a_2$ . If  $a_1 = a_2$  then  $f(a_1) = f(a_2)$ . However,  $f(a_1) = f(f^{-1}(b_1)) = b_1$  and  $f(a_2) = f(f^{-1}(b_2)) = b_2$ , so that  $f(a_1) = f(a_2)$  contradicts  $b_1 < b_2$ . If  $a_1 > a_2$ , then  $b_1 = f(a_1) > f(a_2) = b_2$ , because we are given that  $f$  is increasing on  $A$ . But  $b_1 < b_2$ , so again we have a contradiction. So our assumption that  $a_1 \geq a_2$  must be false. Hence  $a_1 < a_2$ .

4.34 (a) This is true. Indeed, suppose  $f(x_1) = f(x_2)$ . Applying  $g$  to both sides of this inequality, we deduce that

$$h(x_1) = (g \circ f)(x_1) = g(f(x_1)) = g(f(x_2)) = (g \circ f)(x_2) = h(x_2).$$

Since  $h$  is injective, this inequality implies that  $x_1 = x_2$ . We have proved that whenever  $f(x_1) = f(x_2)$ , we have  $x_1 = x_2$ , thus verifying the definition of injectivity.

(b) This is false. Suppose  $A = \{1\}$  and  $B = C = \mathbb{R}$ . Let  $f: A \rightarrow B$  be the function defined by  $f(1) = 1$ , and let  $g: B \rightarrow C$  be the function defined for  $x \in B$  by  $g(x) = x^2$ .

We know that  $h = g \circ f$  is a function from  $A$  to  $C$ . Since the domain  $A$  of  $h$  contains only one element,  $h$  is automatically injective. However,  $g$  is not injective, since  $g(-2) = g(2) = 4$ .

- (c) This is false. Take  $A = B = \mathbb{R}$ , and take  $C = \{1\}$ . Let  $f: A \rightarrow B$  be defined by  $f(x) = x^2$  for  $x \in A$ , and let  $g: B \rightarrow C$  be defined by  $g(y) = 1$  for  $y \in B$ . We know that  $h = g \circ f$  is a function from  $A$  to  $C$ . Since the domain of  $h$  is nonempty and the target of  $h$  has only one element,  $h$  is automatically surjective. However,  $f$  is not surjective. Indeed,  $-1$  is an element of  $B$ , but there is no  $x \in A$  for which  $x^2$  is  $-1$ .
- (d) This is true. Let  $c$  be any element of  $C$ . Since  $h: A \rightarrow C$  is surjective, there is an  $a \in A$  for which  $h(a) = c$ . Since  $h = g \circ f$ , we have that  $g(f(a)) = c$ . Hence  $c$  is in the image of  $g$ . Since  $c$  was an arbitrary element of  $C$ , it follows that  $g$  is surjective.

Exercise 4.37. This is the special case of Exercise 4.34(a) where  $A = B = C$  and  $g = f$ .

Extra prob #1 (a) Consider the statement

$P(n)$ : If there is an injective function from  $[n]$  to  $[m]$ , then  $n \leq m$ .

We wish to prove that  $P(n)$  is true for all nonnegative integers  $n$ . First notice that  $P(0)$  is true:  $P(0)$  says that if there is an injective function from  $[0]$  to  $[m]$ , then  $m \geq 0$ . But we are given that  $m$  is a nonnegative integer, so automatically  $m \geq 0$ ; there is nothing to prove here. So it's enough to consider the statements  $P(n)$  for  $n \geq 1$ . That is, it is enough to prove  $P(n)$  for every natural number  $n$ . This suggests we use induction.

**Base case:**  $P(1)$  says that if there an injective function from  $[1]$  to  $[m]$ , then  $m \geq 1$ . Since  $m$  is a nonnegative integer, the statement  $m \geq 1$  is true except when  $m = 0$ . So it is enough to show that there is no injective function from  $[1]$  to  $[0]$ . But  $[0] = \emptyset$ , and there is no function at all (let alone an injective one) from a nonempty set (such as  $[1]$ ) to the empty set.

**Inductive step:** We have to show that for all  $n \in \mathbb{N}$ ,  $P(n) \Rightarrow P(n+1)$ . Suppose that  $P(n)$  is true. To study  $P(n+1)$ , suppose we are given an injective map  $f: [n+1] \rightarrow [m]$ . We would like to prove that

$$n+1 \leq m.$$

Since  $f$  is injective, the element  $f(n+1)$  is not the image under  $f$  of any element in  $[n]$ . Hence there is a well-defined function  $f: [n] \rightarrow [m] \setminus$

$\{f(n+1)\}$  given by putting  $\tilde{f}(x) = f(x)$  for every  $x \in [n]$ . Moreover,  $\tilde{f}$  is injective, since  $f$  is obtained by restricting  $f$  and we are given that  $f$  is injective. Also, from class we know there is a bijection

$$g: [m] \setminus \{f(n+1)\} \rightarrow [m] \setminus \{m\} = [m-1].$$

Since both  $g$  and  $\tilde{f}$  are injective, the composition  $g \circ \tilde{f}: [n] \rightarrow [m-1]$  is also injective. From the induction hypothesis  $P(n)$ , we deduce that  $n \leq m-1$ . Rearranging this inequality gives us  $n+1 \leq m$ , which is what we wanted to show.

(b) Consider the statement

$P(n)$ : If there is a surjective function from  $[n]$  to  $[m]$ , then  $n \geq m$ .

Again this is trivially true if  $n = 0$ . To see this, notice that any function whose domain is the empty set must have empty image (simply because there are no elements in the domain to map). So if  $[m]$  is not empty, there cannot be a surjective function from  $[0] = \emptyset$  to  $[m]$ . In other words, if there is a surjective function from  $[0]$  to  $[m]$ , then  $[m]$  is empty, so that  $m = 0$ . So again it is enough to consider the case of natural numbers  $n$ , and again we proceed by induction.

**Base case:**  $P(1)$  claims that if there is a surjective function from  $[1]$  to  $[m]$ , then  $m \leq 1$ . Suppose for the sake of contradiction that we have a surjection  $f: [1] \rightarrow [m]$  with  $m > 1$ . Since the domain of  $f$  is the one-element set  $\{1\}$ , the image of  $f$  is the one-element set  $\{f(1)\}$ . If  $f(1) = m$ , then the image of  $f$  fails to contain the element  $m-1 \in [m]$ , so that  $f$  is not surjective. If  $f(1) \neq m$ , then the image of  $f$  fails to contain the element  $m \in [m]$ , so that again  $f$  is not surjective. So in either case  $f$  is not surjective. This contradiction establishes that  $m \leq 1$ .

**Induction step:** Suppose  $P(n)$  is true. To study  $P(n+1)$ , assume we have a surjective function  $f: [n+1] \rightarrow [m]$ . We want to prove that

$$n+1 \geq m.$$

As in the proof of (a), we consider what happens when we restrict the domain of  $f$  to the set  $[n]$ , forgetting about the element  $n+1 \in [n+1]$ .

There are two cases: It might be that every element of  $[m]$  is the image of an element of  $[n]$  under  $f$ . In this case we get a surjective map  $\tilde{f}: [n] \rightarrow [m]$  just by putting  $\tilde{f}(x) = f(x)$  for  $x \in [n]$ . Then by the induction hypothesis, we have  $n \geq m$ , which is even stronger than saying  $n+1 \geq m$ .

In the remaining case, not every element of  $[m]$  is the image under  $f$  of an element of  $[n]$ . Since  $f: [n+1] \rightarrow [m]$  is surjective, it must be that the image of  $[n]$  under  $f$  is only missing the single element  $f(n+1)$ . So in this case we get a surjection if we define  $\tilde{f}: [n] \rightarrow [m] \setminus \{f(n+1)\}$  by putting  $\tilde{f}(x) = f(x)$  for  $x \in [n]$ . Once again, we know there is a bijection

$$g: [m] \setminus \{f(n+1)\} \rightarrow [m] \setminus m = [m-1].$$

Since  $g$  is surjective and  $\tilde{f}$  is surjective, this gives us a surjective map  $g \circ \tilde{f}: [n] \rightarrow [m-1]$ . By the induction hypothesis,  $n \geq m-1$ . Rearranging this inequality gives us  $n+1 \geq m$ , which is what we wanted to prove.

- (c) This follows immediately from parts (a) and (b) and the definition of a bijection.

Extra prob #2 Consider the statement

$P(n)$ : If  $A$  is a finite set of size  $n$ , then every proper subset

$B$  of  $A$  has size  $m$  for some  $m < n$ .

The statement  $P(0)$  is vacuously true: if  $A$  has size 0, then  $A$  is empty, and so has no proper subsets. So it is enough to show that  $P(n)$  is true for every natural number  $n$ . Again we apply induction.

**Base case:**  $P(1)$  says that if  $A$  is a finite set of size 1, then every proper subset of  $A$  has size  $m$  for some  $m < 1$ . Suppose  $A$  has size 1. By our definition of size, it follows that there is a bijection  $f: A \rightarrow [1]$ . The inverse map  $f^{-1}: [1] \rightarrow A$  is then also a bijection. It follows that  $A = \{f^{-1}(1)\}$ . In particular,  $A$  has only one element, so that the only proper subset of  $A$  is the empty set. The empty set has size 0 and  $0 < 1$ . This completes the proof of  $P(1)$ .

**Induction step:** Suppose  $P(n)$  is true. To analyze  $P(n+1)$ , suppose  $A$  is a set of size  $n+1$  and  $B$  is a proper subset of  $A$ . We want to show that  $B$  has size strictly less than  $n+1$ .

This is easy if  $B$  is empty, since then  $B$  has size 0 and  $0 < n+1$ . So let's suppose  $B$  is not empty, and let's choose an element  $b \in B$ . Since  $B$  is a proper subset of  $A$ , it follows that  $B \setminus \{b\}$  is a proper subset of  $A \setminus \{b\}$ . But  $A \setminus \{b\}$  is a set of size  $n$ . (Recall that we proved in class that taking away a single element from a finite set decreases the size by 1.) It now follows from the induction hypothesis  $P(n)$  that  $B \setminus \{b\}$  has size  $m'$  for some integer  $0 \leq m' < n$ . But then

$$|B| = |(B \setminus \{b\}) \cup \{b\}| = |B \setminus \{b\}| + |\{b\}| = m' + 1.$$

Thus  $B$  has size  $m' + 1 < n + 1$ , as desired.

Extra prob #3 Suppose for the sake of contradiction that  $A$  is finite, with  $|A| = n$ . Since  $B$  is a proper subset of  $A$ , from extra problem #2 we know that  $|B| = m$  for some  $m < n$ . Unwrapping our definition of **size**, we see there are bijections  $f: A \rightarrow [n]$  and  $g: B \rightarrow [m]$ . Moreover, we are supposing there is an injective map, say  $h$ , which goes from  $A$  to  $B$ .

To get a contradiction we consider the composite map  $g \circ h \circ f^{-1}: [n] \rightarrow [m]$ . Since  $g, h$  and  $f^{-1}$  are all injective maps, it follows that the composition  $g \circ h \circ f^{-1}$  is also injective. So we have an injective map from  $[n]$  to  $[m]$ , where  $m < n$ . This contradicts part (a) of extra problem #1.

It follows that our assumption that  $A$  is finite must be wrong; in other words,  $A$  is infinite.