

A CONE SPLITTING THEOREM FOR ALEXANDROV SPACES

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ABSTRACT. By a *cone* is meant a warped product $I \times_g F$, where I is an interval and the warping function $g : I \rightarrow \mathbf{R}_{\geq 0}$ is a solution of $g'' + Kg = 0$, i.e., $g \in \mathcal{FK}$. These include metric products and linear cones ($K = 0$), hyperbolic, parabolic, and elliptical cones ($K < 0$), and spherical suspensions ($K > 0$). A cone over a geodesic metric space supports a natural K -affine function, i.e., a function such that the restriction to every unit-speed geodesic is in \mathcal{FK} . Conversely, the main theorems of this paper show that on an Alexandrov space X of curvature bounded below or above, the existence of a nonconstant K -affine function f forces X to split as a cone (subject to a boundary condition or geodesic completeness, respectively).

For $K = 0$ and curvature bounded below, X splits as a metric product with a line; this case is due to Mashiko (2002). Some special cases for complete Riemannian manifolds were discovered much earlier: by Obata (1962), for $K > 0$, with the strong conclusion that X is a standard sphere; and by Innami (1982), for $K = 0$. For $K < 0$, with the additional assumption that f has a critical point, our theorem now gives the dual to Obata's theorem, namely, X is hyperbolic space.

1. INTRODUCTION

Cone constructions are intimately related to the study of spaces with curvature bounds. These fundamental constructions allow control of curvature bounds either above or below in the sense of Alexandrov, and appear as model spaces in rigidity theorems. The simplest cone construction is Cartesian product with a line. By Toponogov's Splitting Theorem, a nonnegatively curved, complete Riemannian space that contains a line splits as a Cartesian product [T]; the extension to Alexandrov spaces is due to Milka [M]). By the Flat Strip Theorem, in a nonpositively curved Alexandrov space the convex hull of a collection of asymptotic lines splits as a Cartesian product (see [BH]). Other cone constructions were introduced by Berestovskii in the context of curvature bounded above [Be, ABN], see [BH, Ch. II.3]. In the context of curvature bounded below, cone constructions were discussed by Burago-Gromov-Perelman in [BGP, §4], namely, linear, hyperbolic, parabolic and elliptic cones and spherical suspensions. These constructions can be traced to their classical roots by noting that E^n is the linear cone over S^{n-1} ; S^n is the spherical suspension of S^{n-1} ; and H^n is the hyperbolic cone over H^{n-1} , the parabolic cone over E^{n-1} , and the elliptic cone over S^{n-1} .

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Here we introduce the notion of K -affine functions on geodesic metric spaces, and prove that in the presence of a curvature bound, such functions correspond precisely to the cone constructions. Our cone splitting theorem holds both for Alexandrov spaces of curvature bounded below, subject to a necessary boundary condition, and for geodesically complete Alexandrov spaces of curvature bounded above. In the latter setting, not only do the above-mentioned cones appear, but also spaces formed by gluing them together on their vertices.

For Alexandrov spaces of curvature bounded below, the case $K = 0$ (that is, the Cartesian product case) of our theorem is due to Mashiko [M2]. A new argument is needed to embed Mashiko's theorem in a family of splitting theorems, which moreover has a dual family for spaces of curvature bounded above.

Alexandrov spaces play a key role in the convergence theory of spaces with curvature bounds, and our cone splitting theorems should be a tool to identify limit spaces. Our theorems exhibit a new rigidity phenomenon: namely, if X carries a nonconstant K -affine function but does not have K as a curvature bound, then X is a Cartesian product or a hyperbolic cone.

2. MAIN THEOREMS

Let \mathcal{FK} denote the family of (one-variable) solutions of the differential equation $f'' + Kf = 0$. Then $(f')^2 + Kf^2$ is constant for $f \in \mathcal{FK}$. By definition, a K -affine function is a continuous function whose restriction to every unitspeed geodesic lies in \mathcal{FK} . We single out a special basis of \mathcal{FK} : cs_K and sn_K are the functions in \mathcal{FK} such that the value and derivative at 0 are 1, 0 and 0, 1, respectively.

By a *cone*, we mean a warped product $I \times_g F$, where I is an interval and the warping function $g : I \rightarrow \mathbf{R}_{\geq 0}$ is in \mathcal{FK} . For $K = 0$, we obtain Cartesian products and *linear cones*, defined by $g = B$ and $g = Bx$, respectively; for $K > 0$, *spherical suspensions*, with $g = B \sin \sqrt{K}x$; and for $K < 0$, *hyperbolic*, *parabolic* and *elliptic cones*, with $g = B \cosh \sqrt{-K}x$, $B \exp \sqrt{-K}x$, and $B \sinh \sqrt{-K}x$, respectively. (These are precisely the cones described somewhat differently in [BGP, §4].)

Cones over geodesic metric spaces support K -affine functions (Theorem 6.1). Moreover, on an Alexandrov space X of curvature bounded below ("CBB") or above ("CBA"), the existence of a nonconstant K -affine function, subject to a boundary condition if the boundary is nonempty for CBB, and geodesic completeness for CBA, forces the space to split as a cone (Theorems 3.1 and 3.2). The following two theorems summarize the correspondence between K -affine functions and cone structures. Linear cones are the only type not occurring on the following lists; this is because the natural affine functions associated with them are constant functions, which have no structural implications.

Theorem 2.1. *Let X be a complete, finite-dimensional Alexandrov space of CBB by \bar{K} . Then X carries a nonconstant K -affine function f that satisfies the boundary condition (†) if and only if $\bar{K} \leq K$ and X splits as a cone over a complete, finite-dimensional Alexandrov space F , in one of the following ways:*

- (1) $K > 0$ and $X = [0, \pi/\sqrt{K}] \times_{\text{sn}_K x} F$, a spherical suspension of an Alexandrov space F of CBB by 1.
- (2) $K < 0$ and $X = [0, \infty) \times_{\text{sn}_K x} F$, an elliptic cone over an Alexandrov space F of CBB by 1.

(3) $K < 0$ and $X = \mathbf{R} \times_{\exp \sqrt{-K}x} F$, a parabolic cone over an Alexandrov space F of CBB by 0.

(4) $K < 0$ and $X = \mathbf{R} \times_{\cosh \sqrt{-K}x} F$, a hyperbolic cone over an Alexandrov spaces F of CBB by \overline{K} .

(5) $K = 0$ and $X = \mathbf{R} \times F$, a Cartesian product where F is an Alexandrov space of CBB by \overline{K} .

In cases (1), (2) and (3), X has CBB by K .

If $\partial X \neq \emptyset$, the boundary condition on f in Theorem 2.1 is the following:

(†) *The tautological extension of f to the double of X is K -affine.*

Case (5) of Theorem 2.1 was proved by Mashiko, who used different regularity hypotheses on f [M2]. Here we merely assume (†) at boundary points, while in [M2], f is assumed of $D^{2,2}$ class. This means (a) the differential Df_p of f is well defined and continuous on the direction space Σ_p (= unit tangent vectors) at every $p \in X$ (“ $f \in D^1$ ”), and similarly for the differential of Df_p on the direction space of Σ_p at every $u \in \Sigma_p$ (“ $f \in D^2$ ”); (b) the average directional derivative of f at any $p \in X$ vanishes, and similarly for Df_p at any $u \in \Sigma_p$. However, a K -affine function (more generally, a continuous “ λ -concave” function) on a space with curvature bounded below is locally Lipschitz on the interior, as follows from the theory of quasigeodesics [Pn, PP]. Indeed, locally in the interior, geodesics can be extended as quasigeodesics for a fixed distance, and the λ -concavity inequality $(f \circ \gamma)'' \leq -2\lambda$ holds on the extensions [PP, 5.1, 6.1]. Similarly, a K -affine function on a locally compact, geodesically complete space of curvature bounded above is locally Lipschitz. For any locally Lipschitz K -affine f on a space of curvature bounded below or above, Df_p is well-defined and Lipschitz (see Kleiner [K]), and is a 1-affine function on a space with curvature bound 1 (see §4). In particular, (a) always holds in the interior of X if f is K -affine (in fact $f \in D^r, 1 \leq r \leq \dim X$), and we shall show that the same is true for (b). At points of ∂X , we have chosen the more geometric condition (†).

An analogue of Theorem 2.1 holds in the CBA setting if we assume local compactness and geodesic completeness, i.e., every geodesic can be extended to \mathbf{R} . In addition to the spaces of type (1)-(5) listed in Theorem 2.1, we find that K -affine functions for $K \neq 0$ can also be supported by spaces constructed by gluing together those of type (1) and (2). For example, consider a “necklace” of m copies of S^n , each glued at its north and south poles to the adjacent ones. If m is even, this space carries an 1-affine function whose restriction to each copy of S^n is the cosine of distance from one of the poles.

Theorem 2.2. *Let X be a complete, locally compact, geodesically complete Alexandrov space of CBA by \overline{K} . Then X carries a nonconstant K -affine function f if and only if $\overline{K} \geq K$ and X splits as a cone over a complete, geodesically complete Alexandrov space F , in one of the following ways:*

(1) $K > 0$ and X is obtained from a family of spherical suspensions $X_\alpha = [0, \pi/\sqrt{K}] \times_{\sin Kx} F_\alpha$ where the F_α are CAT(1) spaces, by gluing a pole of X_{α_1} to a pole of X_{α_2} , $\alpha_2 \neq \alpha_1$, so that any loop in the gluing pattern contains an even number of X_α 's (the “even loop condition”).

(2) $K < 0$ and $X = [0, \infty) \times_{\text{sn}_K x} F$ is an elliptic cone over a (not necessarily connected) $CAT(1)$ space.

(3) $K < 0$ and $X = \mathbf{R} \times_{\exp \sqrt{-K}x} F$, a parabolic cone over an Alexandrov space F of CBA by 0.

(4) $K < 0$ and $X = \mathbf{R} \times_{\cosh \sqrt{-K}x} F$, a hyperbolic cone over an Alexandrov space F of CBA by \overline{K} .

(5) $K = 0$ and $X = \mathbf{R} \times F$, a Cartesian product where F is an Alexandrov space of CBA by \overline{K} .

In cases (1), (2) and (3), X has CBA by K .

In cases (1) and (2) of Theorems 2.1 and 2.2, the fiber F is the direction space at a critical point of f . If X is Riemannian, this means $F = S^{n-1}$. The following Riemannian rigidity theorem is a corollary. For $K > 0$, it is due to Obata [O] (the only reference we know that considers K -affine functions for $K \neq 0$), and for $K = 0$, to Innami [I]. We shall see that these two Riemannian rigidity theorems have a companion for $K < 0$:

Corollary 2.3. *Suppose X is a complete Riemannian manifold without boundary, carrying a nonconstant K -affine function. Then X is isometric to $S^n(K)$ if $K > 0$ [O], to a Cartesian product with a line if $K = 0$ [I], and to $H^n(K)$ if $K < 0$ and f has a critical point.*

3. STRUCTURE OF SPACES CARRYING K -AFFINE FUNCTIONS

Now we give more specific information on the “only if” implication in Theorems 2.1 and 2.2. A *critical point* of f is a point p such that $Df_p = 0$. A *gradient vector* of f at p is an element ∇f_p of the tangent cone $C_p = [0, \infty) \times_x \Sigma_p$, whose direction $u \in \Sigma_p$ is a maximum point of Df_p , and whose length is $|\nabla f_p| = Df_p(u)$. We say u is a *gradient direction*, and denote it by $\hat{\nabla} f_p$.

Theorem 3.1. *Let X be a complete, finite-dimensional Alexandrov space with curvature bounded below by \overline{K} . If X carries a nonconstant K -affine function f that satisfies the boundary condition (\dagger), then $\overline{K} \leq K$ and:*

(a) *If f has a critical point p , either:*

(1) $K > 0$, $f = \lambda \text{cs}_K d_p$, and $X = [0, \pi/\sqrt{K}] \times_{\text{sn}_K x} F$, a spherical suspension of the direction space $F = \Sigma_p$.

(2) $K < 0$, $f = \lambda \text{cs}_K d_p$, and $X = [0, \infty) \times_{\text{sn}_K x} F$, an elliptic cone over the direction space $F = \Sigma_p$.

(b) *If f has no critical point, either:*

(3 & 4) $K < 0$, $|\nabla f|^2 + K f^2 = E$ for some constant $E \geq 0$, and $X = \mathbf{R} \times_g F$, a hyperbolic or parabolic cone with warping function $g \in \mathcal{FK}$, where $g \circ \pi_{\mathbf{R}} = |\nabla f|$ and the fiber F has curvature $\geq \overline{K}E$. If $E = 0$, then $f = \exp \sqrt{-K} d_Z$, where $Z = f^{-1}(1)$. If $E > 0$, then $f = \sqrt{-E/K} \sinh \sqrt{-K} d_Z$, where $Z = f^{-1}(0)$.

(5) $K = 0$, $f = \lambda d_Z$ where $Z = f^{-1}(0)$ and d_Z is signed distance to Z , and $X = \mathbf{R} \times F$, a Cartesian product with the factor F isometric to Z .

Theorem 3.2. *Let X be a complete, locally compact, geodesically complete Alexandrov space with curvature bounded above by \overline{K} . If X carries a nonconstant K -affine*

function f , then $\overline{K} \geq K$ and the conclusions of Theorem 3.1 hold, but with gluings satisfying the even loop condition allowed in (1), and “ $\leq \overline{K}E$ ” instead of “ $\geq \overline{K}E$ ” in (3 & 4).

The proof will be in the following steps. Steps (i) and (ii) for the CBB case will be similar to arguments in [M1], [M2] and [PP], but the rest of the proof uses a new method. A *gradient geodesic* of f is a geodesic with righthand tangents everywhere equal to a gradient direction of f .

- (i): At any noncritical point $p \in X$, the gradient directions $\hat{\nabla}(\pm f)_p$ are unique, and the direction space Σ_p is a spherical suspension of $\{v \in \Sigma_p : Df_p(v) = 0\}$ with $\hat{\nabla}(\pm f)_p$ as poles.
- (ii): Let p be a noncritical point of f , and A be the level set $f^{-1}(r)$ for $f(p) < r < \sup f$. Then there is a unique minimizer from p to A , and it is the unique gradient geodesic from p to A .
- (iii): The level sets of f are equidistant from one another, $|\nabla f|$ is constant on each level set, and $|\nabla f|^2 + Kf^2$ is constant on X .
- (iv): The level sets of f are homothetic.

4. BACKGROUND

A *CAT(K) space* is a metric space in which any two points at distance less than π/\sqrt{K} ($= \infty$ if $K \leq 0$) are joined by a distance-realizing geodesic, and any triangle Δ of perimeter less than $2\pi/\sqrt{K}$ is *thinner* than its model triangle in the simply connected, 2-dimensional space form S_K of curvature K . That is, the distance between any two points of Δ is no greater than the distance between the corresponding points on a triangle with the same sidelengths in S_K . We assume the space is intrinsic, but allow infinite distance between points not joined by a rectifiable path. A metric space has *CBA by K* if it is locally CAT(K). For CBB by K , inequalities are reversed. An *Alexandrov space of CBB by K* is a complete, locally compact length space satisfying triangle comparisons for triangles in some neighborhood of each point. Here, we assume connectedness, but also allow the exceptional case of two points. We shall only consider such spaces of finite dimension [BGP, BBI]. There is no distinction between the local and global triangle comparison properties in complete CBB spaces ([BGP]), or in complete, simply connected spaces with CBA by $K \leq 0$ ([G1], [AB1]; see also [Ba], [BH]). (In the case of CBB by $K > 0$, circles of length $> 2\pi/\sqrt{K}$ and intervals of length $> \pi/\sqrt{K}$ are understood to be excluded.) Recent texts and monographs on Alexandrov spaces include [Ba], [BN], [BH], [BBI],[By], [J],[P1]; also see [G2, §1.19₊].

The direction space Σ_p at a point p has CBB by 1 in a CBB space [BGP], and is CAT(1) in a CBA space [N]. If f is Lipschitz and K -affine, then the differential Df_p is welldefined and 1-affine on Σ_p , and its extension to a homogeneous function of degree 1 on the tangent cone $C_p = [0, \infty) \times_x \Sigma_p$ is affine. Indeed, both f and $-f$ are \mathcal{FK} -convex, and so Df_p and $-Df_p$ inherit $\mathcal{F}1$ -convexity on Σ_p , as is discussed in [AB2].

A property which is not inherited by the tangent cone in the general CBA case is geodesic completeness; this is the reason we have assumed local compactness. The following inheritance property is used repeatedly to get briefer proofs in the CBA

case, and we do not know alternative proofs of all parts in the non locally compact case.

Theorem 4.1. [By, Theorem 6.4] *If a complete, locally compact CBA space is geodesically complete, then so are its direction spaces and tangent cones. Every direction is represented by a geodesic.*

5. PROOF OF THEOREMS 3.1 AND 3.2

Throughout this section, f is a nonconstant K -affine function on X , where X is either a complete CBB space with empty boundary (see Remark 5.5), or a complete, locally compact, geodesically complete CBA space. Then f is locally Lipschitz (see §2). We are going to carry out the steps listed in §3, beginning with a lemma on maximum points.

Lemma 5.1. *If p is a local maximum point of f , then p is a critical point of f , $K \neq 0$, and $f = f(p) \cos_K d_p$.*

Proof. For the CBB case the essential part of the proof has been given in [PP, 6.2 Lemma]. It proceeds by induction on dimension. For $\dim X = 1$ the space consists of a single geodesic; clearly $f = f(p) \cos_K d_p$. If the result is true for dimensions $< n = \dim X$, then we can apply it to Df_p on Σ_p at a maximum point u . Thus, we conclude that $Df_p = Df_p(u) \cos_K d_p$, and since p is a maximum point, $Df_p \leq 0$. Hence $Df_p(u) = 0$ and then $Df_p = 0$. Since f is K -affine, the initial conditions along any geodesic starting at p determine f and are simply: $f(p)$ is the initial value, $Df_p = 0$ is the initial derivative. Hence $f = f(p) \cos_K d_p$. $K \neq 0$ by the assumption that f is nonconstant.

For the CBA case we again have $Df_p \leq 0$. But for all $u \in \Sigma_p$, a geodesic extension determines an opposite direction v for which $Df_p(v) = -Df_p(u) \leq 0$, so $Df_p(u) = 0$. The formula for f follows from the initial conditions as before. \square

Proof of Step (i), CBB. Suppose p is a noncritical point of f . Since the function $h = Df_p$ on the compact space Σ_p is 1-affine and nonzero, it has a maximum point u , and $h = h(u) \cos d_u$ by Lemma 5.1. For $-h$ there is also a maximum point v , which must be at distance π from u , since the formula yields critical points only at multiples of π and the diameter of Σ is at most π . Moreover, since u and v realize the maximum possible diameter, Σ is the spherical suspension of their midpoint set, $h^{-1}(0)$. \square

Proof of Step (i), CBA, topological structure. The existence of maximum and minimum points for $h = Df_p$ again follows from the compactness of Σ_p . Moreover, by Lemma 5.1, local extrema are isolated critical points of h , at least π apart, with the local maximum values the same, nonzero and the negative of the local minimum values. Then there is a unique maximum (and minimum) point, since if there were two, representative geodesic segments beginning at p could be joined to form a longer geodesic segment with those two directions opposite at p .

Now let u, v be the maximum and minimum points. For any $z \in h^{-1}(0)$ there is a unique minimizer of length $\pi/2$ connecting z to u , and similarly for v . These minimizers vary continuously with z , and for every other direction w the unique minimizer to the closer of u, v can be extended to reach $h^{-1}(0)$. This establishes

the topological suspension structure of Σ_p over $h^{-1}(0)$. We delay establishing the metric structure until after the next step. \square

Proof of Step (ii). Let p be a noncritical point of f , and A be the level set $f^{-1}(r)$ for $f(p) < r < \sup f$. First we show there is a minimizer from p to A , and any such is a gradient geodesic. If f has a maximum point q , then $f = f(q) \text{cs}_K d_q$ and a minimizer γ from p to q crosses A . It is a gradient geodesic of f up to q , since the tangent directions to any level set of d_q make angle $\pi/2$ with the minimizers from q . Those tangent directions are exactly the zero set of Df_p , and as we just showed in the preceding step, the directions at angle $\pi/2$ are $\hat{\nabla}(\pm f)_p$.

If $\sup f$ is not realized, then for any q such that $r < f(q) < \sup f$, a minimizer from p to q must cross A , so A is nonempty. Let γ be a minimizer from p to A . Then $\gamma'(0)$ maximizes Df_p among directions in Σ_p that are tangent to geodesics to A . This is because any other geodesic from p to its first intersection with A is at least as long as γ ; along such a geodesic, f is a strictly increasing element of \mathcal{FK} , uniquely determined by its two end values and the length, and having initial derivative no more than that for γ . Thus to show γ is a gradient geodesic, it suffices to show that $\max Df_{\gamma(t)}$ is realized by a direction tangent to a geodesic extending to A . This is certainly true for CBA. For CBB, it can be obtained using the properties of quasigeodesics developed in [PP]; however, a more elementary argument is not long, so we include it in the following paragraph.

We may take $\gamma(t) = p$, and construct a sequence of geodesics γ_i from p to A , with initial directions converging to $\hat{\nabla}f_p$. The end in A of each of these geodesics is the end of an approximate gradient curve σ_i constructed by transfinite induction. We obtain σ_i as a chain of geodesic segments and limit points defined for each ordinal. Specifically, start with $p_1 = p$; for a successor ordinal $\alpha + 1$, attach a geodesic segment, starting at p_α and ending at $p_{\alpha+1}$ for which $f(p_{\alpha+1}) \leq r$, with initial direction at distance less than $1/i$ from $\hat{\nabla}f_{p_\alpha}$; for a limit ordinal α let $p_\alpha = \lim_{\beta < \alpha} p_\beta$. The construction needs a few remarks for justification. There is a geodesic on which f increases, which starts at p and ends after crossing A . Suppose the initial direction of this geodesic is not $\hat{\nabla}f_p$. Then the rate of increase of f along σ_i , for i sufficiently large, will be greater at points with the same arclengths from p . Thus, the points p_β in the definition of p_α for a limit ordinal α will have Cauchy subsequences whose limit is p_α . Moreover, the 2-point determination of $f \circ \gamma_i$ is based on even a shorter curve than σ_i for the amount of change in f , so the initial derivative of f must be greater than for σ_i . Therefore, $\lim_{i \rightarrow \infty} \sigma'_i(0) = \hat{\nabla}f_p$. Then a convergent subsequence of σ_i will have a limit geodesic γ tangent to $\hat{\nabla}f_p$, and γ will be no longer than any other geodesic from p to A .

It remains to show the uniqueness of gradient geodesics. We have already proved the uniqueness of the direction at a point. For CBB, uniqueness is immediate because geodesics cannot bifurcate. For CBA, it is certainly possible, even in a space carrying a K -affine function, for geodesics to bifurcate. Examples are given by applying Theorem 6.1 to a fiber F with geodesic bifurcations. However, there can be no bifurcating pair of gradient geodesics of a K -affine function. This follows from Lemma 5.2 below, according to which the distance between gradient geodesics connecting two level sets is governed by a first-order differential equation with Lipschitz defining function having 0 as a solution. \square

Completion of proof of Step (i), CBA. We must show that the metric structure of Σ_p is that of a spherical suspension, or equivalently, that any two points of the cone C_p lie in a flat strip. Since Df_p is a nonzero affine function on C_p , the level sets are totally convex and the nearest point projection to a level set is nonexpanding. As we have just seen, this means that gradient geodesics extend to all of \mathbf{R} and have bounded separation in both directions. Thus the Flat Strip Theorem applies [BH, p. 182]. \square

The remaining steps will depend on the following lemma, as did the uniqueness of gradient geodesics in the CBA case of Step (ii). The lemma is local, but we shall not lengthen the statement with local specifications, except to note that the distances $w(t)$ must be sufficiently small when $K > 0$ that $\text{sn}_K w(t)$ is never 0.

Lemma 5.2. *Let $A' = f^{-1}(a')$, $A = f^{-1}(a)$ be two level sets for which no critical value of f is between a' and a , and γ_-, γ_+ be minimizers from $p_-, p_+ \in A'$ to A , parametrized by the values t of f . Let E_-, E_+ be the constant values of $|\nabla f|^2 + Kf^2$ along γ_-, γ_+ . Then the distance $2w = 2w(t) = d(\gamma_-(t), \gamma_+(t))$ satisfies the differential equation*

$$(5.1) \quad 2\frac{dw}{dt} = -\frac{tK \text{sn}_K w}{\text{cs}_K w} \left(\frac{1}{E_- - Kt^2} + \frac{1}{E_+ - Kt^2} \right).$$

Proof. By Step (ii), γ_- and γ_+ are reparametrized gradient geodesics (uniqueness is not assumed). We use first variation for $2w$, which requires us to prove the fact that various limits of minimizers connecting $\gamma_-(t)$ and $\gamma_+(t)$ make the same angles with γ_- and γ_+ [BBI]. Along a minimizer ρ_t between $\gamma_-(t), \gamma_+(t)$ parametrized by arc length, $f \circ \rho_t(u) = m_t \text{cs}_K(u)$, $-w(t) \leq u \leq w(t)$. Let θ_- be the angle between ρ_t and γ_- , and θ_+ be the angle between oppositely directed ρ_t and γ_+ . Then

$$\begin{aligned} Df_{\gamma_-(t)}(\rho'_t(-w(t))) &= |\nabla f_{\gamma_-(t)}| \cos \theta_- \\ &= m_t \text{cs}'_K(-w(t)) = -m_t K \text{sn}_K(-w(t)) = m_t K \text{sn}_K(w(t)), \end{aligned}$$

and $|\nabla f_{\gamma_+(t)}| \cos \theta_+$ is the same by the symmetry of $f \circ \rho_t$. Thus $\cos \theta_{\pm}$ is independent of the choice of minimizer ρ_t . Evaluating f at an end of ρ_t gives $t = m_t \text{cs}_K(w(t)), m_t = t / \text{cs}_K(w(t))$.

By first variation,

$$\begin{aligned} 2dw/dt &= -(\cos \theta_- ds_-/dt + \cos \theta_+ ds_+/dt) = -\cos \theta_- |\nabla f_{\gamma_-}|^{-1} - \cos \theta_+ |\nabla f_{\gamma_+}|^{-1} \\ &= -m_t K (\text{sn}_K w) |\nabla f_{\gamma_-}|^{-2} - m_t K (\text{sn}_K w) |\nabla f_{\gamma_+}|^{-2}, \end{aligned}$$

from which (5.1) follows. \square

In order to apply the differential equation (5.1), we need the following two lemmas:

Lemma 5.3. *The gradient-length function $|\nabla f|$ is locally Lipschitz.*

Proof. This is clear if f has a critical point p , since then $|\nabla f| = |f(p)K \text{sn}_K d_p|$ and d_p is Lipschitz. Otherwise, we restrict to an open set on which f has Lipschitz constant C . It has been shown for Step (ii) that for a sufficiently small closed ball $\bar{B}_r(p)$, the gradient geodesic from the center p is the minimizer to the maximum

point q of f on the ball and q is on the boundary of the ball. Define $F(p, r) = f(q)$. Then $F(p, r + s) = f(q')$, where $d(q, q') = s$, hence

$$F(p, r) \leq F(p, r + s) = f(q) + f(q') - f(q) \leq F(p, r) + Cs.$$

Then $\bar{B}_r(p) \subset \bar{B}_{r+s}(p')$ where $s = d(p, p')$, so

$$F(p, r) = f(q) \leq F(p', r + s) = f(q'') \leq F(p', r) + Cd(p, p'),$$

where $q'' \in \bar{B}_{r+s}(p')$. That is, $F(p, r)$ is Lipschitz continuous in both variables.

Along gradient geodesics, f satisfies $f = f(p) \operatorname{cs}_K(s) + |\nabla f|(p) \operatorname{sn}_K(s)$, where s is arc length. Then $f(q) = f(p) \operatorname{cs}_K r + |\nabla f|(p) \operatorname{sn}_K(r)$, so

$$|\nabla f|(p) = (f(q) - f(p) \operatorname{cs}_K(r)) \operatorname{sn}_K(r)^{-1} = (F(p, r) - f(p) \operatorname{cs}_K(r)) \operatorname{sn}_K(r)^{-1}.$$

Hence,

$$\begin{aligned} ||\nabla f|(p) - |\nabla f|(p')| &= |F(p, r) - f(p) \operatorname{cs}_K(r) - F(p', r) + f(p') \operatorname{cs}_K(r)| \operatorname{sn}_K(r)^{-1} \\ &\leq C(1 + \operatorname{cs}_K(r)) \operatorname{sn}_K(r)^{-1} d(p, p'). \end{aligned}$$

□

Lemma 5.4. *If $A = f^{-1}(a)$ is a noncritical level set, then the nearest point map from a neighborhood of A to A is locally Lipschitz.*

Proof. By Lemma 5.2, the distances $2w(a')$ between pairs of points on a nearby level set $f^{-1}(a')$ are initial values for the differential equation (5.1) and the extrinsic distances in A are the final values $2w(a)$. The right hand side of (5.1) depends on the parameters $E_- = |\nabla f_{p_-}|^2 + K f(p_-)^2$, $E_+ = |\nabla f_{p_+}|^2 + K f(p_+)^2$. By Lemma 5.3 and the assumption on f , this dependence is locally Lipschitz. Thus, $2w(a)$ must also be a locally Lipschitz function of p_{\pm} , and hence also the nearest-point map between level sets.

More generally, the local Lipschitz property of the nearest point map from a whole neighborhood of A to A follows from that for pairs of points on the same level set, using the triangle inequality. Indeed, for p_-, p_+ in a neighborhood of A , let p'_- be the nearest point to p_- on the level set through p_+ . Then $d(p'_-, p_+) \leq d(p'_-, p_-) + d(p_-, p_+) \leq 2d(p_-, p_+)$, so that the Lipschitz constant for the projection from a neighborhood is at most twice that for the projection from level sets. □

Now we are ready to complete steps (iii) and (iv), and Theorems 3.1 and 3.2. Comparing with Mashiko's proof for CBB by 0 [M2], we note that the analogue there of step (iii) is a global argument that fails for CBB by $K < 0$. Another concern here is the intrinsic geometry of level sets; since they are not totally geodesic when $K \neq 0$, we must consider how to transmit a precise curvature bound from a warped product to its fiber.

Proof of Step (iii). By Lemma 5.4, any pair $p_{\pm} \in A'$ is joined by a rectifiable curve in A' , since the projection to A' of any rectifiable curve connecting them in a neighborhood of A' is again rectifiable. For such a join a direction exists almost everywhere. Those directions and their images under the nearest point map to A are perpendicular to the gradient directions of f , so that by first variation the gradient geodesics have constant length as their initial points move along the curve in A' . This proves equidistance, from which the other claims follow. □

Proof of Step (iv). By Step (iii) the constants E_{\pm} in the differential equation of Lemma 5.2 for $2w(t)$ are the same and independent of p_{\pm} . Thus, the differential equation gives a constant ratio between the “input” initial value $w(a')$ and the “output” final value $w(a)$ which depends only on a', a and that initial value. Then $\lim w(a)/w(a')$ as $w(a') \rightarrow 0$ is the magnification factor on intrinsic distances of the nearest-point map from A' to A . \square

Proof of Theorems 3.1 and 3.2. We must identify the magnification factor of Step (iv) in terms of f and choose a level set of f , appropriately scaled, to be the fiber F of a warped product. To identify the magnification factor we again refer to the differential equation of Lemma 5.2, writing $E_{\pm} = E = |\nabla f|^2 + Kf^2$. It is also more convenient to regard $w = w(t, v)$, with $v = w(a')$ as the initial value, as an analytic function of two variables (since the defining function is analytic). Then the magnification factor at t is $z(t) = (\partial w / \partial v)(t, 0) = \lim_{v \rightarrow 0} (w(t, v)/v)$, since $w(t, 0) = 0$. Thus $dz/dt = (\partial^2 w / \partial t \partial v)(t, 0) = (\partial^2 w / \partial v \partial t)(t, 0) = \lim_{v \rightarrow 0} (\frac{\partial w}{\partial t} / v)$. Substituting (5.1) gives

$$dz/dt = -Ktz(E - Kt^2)^{-1}.$$

This is easily solved by separation of variables:

$$z = \sqrt{E - Kt^2} / \sqrt{E - K(a')^2} = |\nabla f|_{f=t} / |\nabla f|_{f=a'}.$$

It follows that the closure of each component of $X - \{\text{critical points}\}$ is a warped product, $X_{\alpha} = I \times_{g_{\alpha}} F_{\alpha}$, and the lift of g_{α} to X_{α} is a constant multiple of $|\nabla f|$. $g \in \mathcal{FK}$ implies X_{α} contains totally geodesic surfaces isometric to domains in S_K (namely, those projecting to geodesics of the fiber). Therefore $\overline{K} \leq K$ in Theorem 3.1 (CBB), and $\overline{K} \geq K$ in Theorem 3.2 (CBA).

Cases (1) and (2): By Lemma 5.1, $|\nabla f|$ is $|f(q_{\alpha})K \operatorname{sn}_K d_{q_{\alpha}}|$ where q_{α} is a critical point, and we may scale F so that

$$X_{\alpha} = [0, \pi/\sqrt{K}] \times_{\operatorname{sn}_K} F_{\alpha}.$$

The tangent cone $C_{\alpha} = [0, \infty) \times_x \Sigma_{\alpha}$ to X_{α} at q_{α} is the blowup of X_{α} at q_{α} . Since $\operatorname{sn}'_K(0) = 1$, it follows that $C_{\alpha} = [0, \infty) \times_x F_{\alpha}$, hence $\Sigma_{\alpha} = F_{\alpha}$.

For CBB, there is only one component $X = X_{\alpha}$, since geodesics cannot bifurcate. For CBA, any collection of connected CAT(1) spaces Σ_{α} may occur, and this describes all possible X in CBA case (2). In CBA case (1), i.e., when $K > 0$, more X_{α} may be introduced subject to further gluings at the poles, subject only to the condition that there is a globally defined K -affine function f whose restriction to each X_{α} is $f(q) \operatorname{cs}_K d_q$ where q is a critical point. This simply means that maximum points may be glued to each other and minimum points may be glued to each other, but not maximum to minimum; equivalently, the even loop condition holds.

Cases (3 & 4): Along a gradient geodesic we may take $f' = g$, and hence $g' = -Kf$. If $E = 0$, then $f = \exp \sqrt{-K} d_Z$, where $Z = f^{-1}(1)$. If $E > 0$, then $f = \lambda \sinh \sqrt{-K} d_Z$, where $Z = f^{-1}(0)$, d_Z is signed distance to Z , and $-\lambda^2 K = E$.

It remains to show that the fiber F has curvature bound $\overline{K}E$. If $E > 0$, then $g = \sqrt{E} \cosh \sqrt{-K}x$. At the minimum point of g , the copy $g(0)F$ of the fiber is totally geodesic in X , by the definition of warped product, and so has curvature bound \overline{K} . Since $g(0) = \sqrt{E}$, the desired curvature bound follows.

If $E = 0$, we prove F has curvature bound 0 by showing that angular excesses of triangles in F are nonnegative (CBB) or nonpositive (CBA). By scaling of the

metric and f , we reduce to the case $K = -1$, $f = g = \exp$. First consider CBB by \bar{K} , so global triangle comparisons hold in X . For a triangle T in F , the image in X of each side τ sweeps out, as x varies, a totally geodesic surface S_τ , isometric to a convex region in the hyperbolic plane and in which each $\{x\} \times \tau$ is a horocyclic arc. The sidelengths of the triangle $\{x\} \times T$ are scaled by e^x relative to those of T , while the (upper) angles α, β, γ are the same. Consider a triangle T_x in X with the same vertices as $\{x\} \times T$, where each side of T_x is a chord in S_τ of a horocyclic side τ . Hence T_x has angles $\alpha_x, \beta_x, \gamma_x \rightarrow \alpha, \beta, \gamma$ as $x \rightarrow -\infty$. But $\alpha_x + \beta_x + \gamma_x \geq -\bar{K}A_x + \pi$, where A_x is the area of a model triangle for T_x , so by taking $x \rightarrow -\infty$ we obtain the desired comparison $\alpha + \beta + \gamma \geq \pi$.

For CBA the same proof works with the inequalities reversed, except that we must restrict to neighborhoods U in F such that all triangles T_x with vertices in $\mathbf{R} \times_g U$ admit comparisons. We show that such neighborhoods exist. For an open subset of a CBA space such that minimizers joining any pair of its points are unique and vary continuously, the corresponding triangles admit the Alexandrov patchwork construction, so they admit comparisons. Let U be the projection of such an open set in X to F . Then U has the continuous bipoint uniqueness property: a minimizer projects to a minimizer, and if a pair in U had a second minimizer in F , it could be combined with the projection to \mathbf{R} of the original minimizer in X to get a second minimizer in X with the same ends. Continuity follows from local compactness and uniqueness. But then $\mathbf{R} \times_g U$ has the bipoint uniqueness property since each S_τ does. □

Remark 5.5. The assumption (†) in Theorem 3.1 allows us to assume $\partial X = \emptyset$. Indeed, by Perelman's doubling theorem [Pm], the double X^* of X is a CBB space with empty boundary, and so our proof above applies to the tautological extension of f to X^* . The uniqueness of gradient geodesics then implies that the splitting respects the doubling structure.

6. PROOF OF THEOREMS 2.1 AND 2.2

Now we turn to the converse, which is all that remains to complete Theorems 2.1 and 2.2. When $K = 0$, projection to the first factor of $\mathbf{R} \times F$ is affine. Let us construct K -affine functions on K -cones when $K \neq 0$. As was shown in [AB1], in any warped product of geodesic metric spaces, the geodesics satisfy an *energy equation*, analogous to Clairaut's equation on a surface of revolution.

Theorem 6.1. *Let F be a geodesic metric space and $K \neq 0$. Let $g : I \rightarrow \mathbf{R}$ be a nonconstant function in \mathcal{FK} , where I is the maximal interval containing 0 on which $g \geq 0$. Then there is a function $f \in \mathcal{FK}$ such that $f' = g$, and whose lift to the warped product $X = I \times_g F$ is K -affine.*

Proof. Take $f = -K^{-1}g'$, so $f' = g$ and $f \in \mathcal{FK}$. According to the energy equation, if we let x be the standard coordinate on $I \subset \mathbf{R}$, then on any geodesic in X with arclength parameter s , the horizontal speed $v = |dx/ds|$ satisfies $v^2 + (c^2/g^2) = 1$ for some constant c [AB1]. Forgetting the distinction between f and its lift $f \circ \pi_I$,

write df/ds for the derivative of the latter along the geodesic. Then

$$\frac{df}{ds} = f' \frac{dx}{ds} = \pm f' v = \pm f' \sqrt{1 - (c^2/g^2)} = -(\pm g \sqrt{1 - (c^2/g^2)}) = -(\pm \sqrt{g^2 - c^2}),$$

where \pm is the sign of dx/ds . Therefore

$$\frac{d^2 f}{ds^2} = -\left(\pm \frac{g(dg/ds)}{\sqrt{g^2 - c^2}}\right) = -\left(\pm \frac{gg'(dx/ds)}{\sqrt{g^2 - c^2}}\right) = -\frac{gg' \sqrt{1 - (c^2/g^2)}}{\sqrt{g^2 - c^2}} = -Kf.$$

□

Proof of Theorems 2.1 and 2.2. By the preceding theorem, the K -cones (1)-(5) in Theorems 2.1 and 2.2 carry K -affine functions. Since in the CBB case their construction respects doubling, (†) holds. It only remains to verify that K -cones have the stated curvature bounds. It is well-known that (1)-(3) have curvature bound K ; see [BBI, pp. 132 and 355]. In (4), we are assuming that $K < 0$, $g = \cosh \sqrt{-K}x$ and F has curvature bound \bar{K} , where $\bar{K} \leq K$ for CBB and $\bar{K} \geq K$ for CBA; we claim that $X = \mathbf{R} \times_g F$ has curvature bound \bar{K} . By the general criterion for curvature bounds for warped products [AB3], we need only check for CBB that $g'' + \bar{K}g \leq 0$ holds and the curvature bound \bar{K} of the fiber satisfies $\bar{K} \geq \bar{K}g^2$; and for CBA, $g'' + \bar{K}g \geq 0$ and $\bar{K} \leq \bar{K}(\inf g)^2$; both of which are clear. □

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