

# Three Variations on Edge-Coloring

Douglas B. West

Department of Mathematics  
University of Illinois at Urbana-Champaign  
[west@math.uiuc.edu](mailto:west@math.uiuc.edu)

September 18, 2007

# Proper Path-Factors and Interval Edge-Coloring of (3,4)-Biregular Bigraphs

Douglas B. West

Department of Mathematics  
University of Illinois at Urbana-Champaign  
[west@math.uiuc.edu](mailto:west@math.uiuc.edu)

Joint work with  
Armen S. Asratian, Carl Johan Casselgren,  
Jennifer Vandenbussche

# The Problem

How to schedule parent-teacher conferences?

Goal: Each person's conferences occur consecutively.

# The Problem

How to schedule parent-teacher conferences?

Goal: Each person's conferences occur consecutively.

**Def.** (Asratian–Kamalian [1987]) An **interval coloring** of  $G$  is a proper edge-coloring with integers such that the colors incident to any vertex are consecutive.

# The Problem

How to schedule parent-teacher conferences?

Goal: Each person's conferences occur consecutively.

**Def.** (Asratian–Kamalian [1987]) An **interval coloring** of  $G$  is a proper edge-coloring with integers such that the colors incident to any vertex are consecutive.

Exists for: regular or complete bipartite graphs, trees, grids, simple outerplanar bipartite graphs.

# The Problem

How to schedule parent-teacher conferences?

Goal: Each person's conferences occur consecutively.

**Def.** (Asratian–Kamalian [1987]) An **interval coloring** of  $G$  is a proper edge-coloring with integers such that the colors incident to any vertex are consecutive.

Exists for: regular or complete bipartite graphs, trees, grids, simple outerplanar bipartite graphs.

Necessary condition (Asratian-Kamalian [1994]):

$\chi'(G) = \Delta(G)$  (reduce colors modulo  $\Delta(G)$ )

## More Specific Problem

**Def.** An  $(a, b)$ -biregular  $X, Y$ -bigraph is a bipartite graph with degree  $a$  at vertices of  $X$  and degree  $b$  at vertices of  $Y$ .

## More Specific Problem

**Def.** An  $(a, b)$ -biregular  $X, Y$ -bigraph is a bipartite graph with degree  $a$  at vertices of  $X$  and degree  $b$  at vertices of  $Y$ .

At least  $a + b - \gcd(a, b)$  colors are needed in an interval coloring (Hanson-Loten [1996]).

## More Specific Problem

**Def.** An  $(a, b)$ -biregular  $X, Y$ -bigraph is a bipartite graph with degree  $a$  at vertices of  $X$  and degree  $b$  at vertices of  $Y$ .

At least  $a + b - \gcd(a, b)$  colors are needed in an interval coloring (Hanson-Loten [1996]).

All  $(2, b)$ -biregular bigraphs have interval colorings (Hansen [1992] for even  $b$ ; Hanson-Loten-Toft [1998] for all  $b$ ).

## More Specific Problem

**Def.** An  $(a, b)$ -biregular  $X, Y$ -bigraph is a bipartite graph with degree  $a$  at vertices of  $X$  and degree  $b$  at vertices of  $Y$ .

At least  $a + b - \gcd(a, b)$  colors are needed in an interval coloring (Hanson-Loten [1996]).

All  $(2, b)$ -biregular bigraphs have interval colorings (Hansen [1992] for even  $b$ ; Hanson-Loten-Toft [1998] for all  $b$ ).

Recognizing whether  $(3, 6)$ -biregular bigraphs have interval 6-colorings is NP-complete.

## Still More Specific

**Open Problem:** Does every  $(3, 4)$ -biregular bigraph have an interval coloring?

## Still More Specific

**Open Problem:** Does every  $(3, 4)$ -biregular bigraph have an interval coloring?

**Thm. Pyatkin [2004]:** If a  $(3, 4)$ -biregular  $X, Y$ -bigraph has a 3-regular subgraph covering  $Y$ , then it has an interval 6-coloring.

## Still More Specific

**Open Problem:** Does every  $(3, 4)$ -biregular bigraph have an interval coloring?

**Thm.** Pyatkin [2004]: If a  $(3, 4)$ -biregular  $X, Y$ -bigraph has a 3-regular subgraph covering  $Y$ , then it has an interval 6-coloring.

**Def.** A **proper path-factor** of a  $(3, 4)$ -biregular  $X, Y$ -bigraph is a spanning subgraph whose components are paths with ends in  $X$  and lengths in  $\{2, 4, 6, 8\}$ .

## Still More Specific

**Open Problem:** Does every  $(3, 4)$ -biregular bigraph have an interval coloring?

**Thm.** Pyatkin [2004]: If a  $(3, 4)$ -biregular  $X, Y$ -bigraph has a 3-regular subgraph covering  $Y$ , then it has an interval 6-coloring.

**Def.** A **proper path-factor** of a  $(3, 4)$ -biregular  $X, Y$ -bigraph is a spanning subgraph whose components are paths with ends in  $X$  and lengths in  $\{2, 4, 6, 8\}$ .

**Our main result:** If a  $(3, 4)$ -biregular bigraph has a proper path-factor, then it has an interval 6-coloring.

## Still More Specific

**Open Problem:** Does every  $(3, 4)$ -biregular bigraph have an interval coloring?

**Thm.** Pyatkin [2004]: If a  $(3, 4)$ -biregular  $X, Y$ -bigraph has a 3-regular subgraph covering  $Y$ , then it has an interval 6-coloring.

**Def.** A **proper path-factor** of a  $(3, 4)$ -biregular  $X, Y$ -bigraph is a spanning subgraph whose components are paths with ends in  $X$  and lengths in  $\{2, 4, 6, 8\}$ .

**Our main result:** If a  $(3, 4)$ -biregular bigraph has a proper path-factor, then it has an interval 6-coloring.

Neither result implies the other.

# Proper Path Factors

Henceforth let  $G$  be a  $(3, 4)$ -biregular  $X, Y$ -bigraph.  
Given a proper path-factor  $P$  of  $G$ , let  $Q = G - E(P)$ .

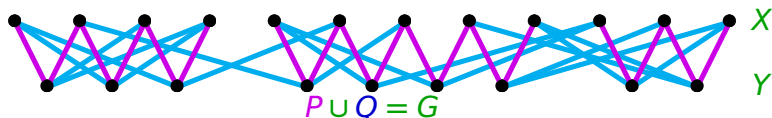
# Proper Path Factors

Henceforth let  $G$  be a  $(3, 4)$ -biregular  $X, Y$ -bigraph.  
Given a proper path-factor  $P$  of  $G$ , let  $Q = G - E(P)$ .



# Proper Path Factors

Henceforth let  $G$  be a  $(3, 4)$ -biregular  $X, Y$ -bigraph.  
Given a proper path-factor  $P$  of  $G$ , let  $Q = G - E(P)$ .



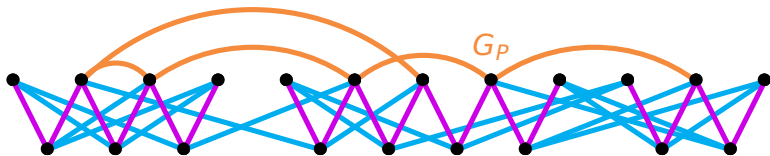
**Prop.** Every component of  $Q$  is an even cycle or is a path with endpoints in  $X$ .

**Pf.** Always  $d_Q(y) = 2$  for  $y \in Y$  and  $d_Q(x) \in \{1, 2\}$  for  $x \in X$ . ■

# The auxiliary graph $G_P$

**Def.** For a proper path-factor  $P$  of  $G$ , let  $G_P$  be the graph with vertex set  $\{x \in X : d_P(x) = 2\}$  having  $x_i$  and  $x_j$  adjacent when any condition below holds:

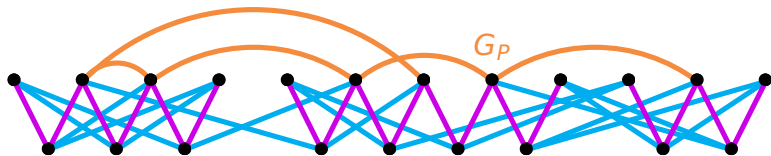
- (a)  $x_i$  and  $x_j$  have degree 2 in one copy of  $P_7$  in  $P$ , or
- (b)  $x_i$  and  $x_j$  have degree 2 at distance 4 in one copy of  $P_9$  in  $P$ , or
- (c)  $x_i$  and  $x_j$  have degree 1 in one component of  $Q$ .



# The auxiliary graph $G_P$

**Def.** For a proper path-factor  $P$  of  $G$ , let  $G_P$  be the graph with vertex set  $\{x \in X : d_P(x) = 2\}$  having  $x_i$  and  $x_j$  adjacent when any condition below holds:

- (a)  $x_i$  and  $x_j$  have degree 2 in one copy of  $P_7$  in  $P$ , or
- (b)  $x_i$  and  $x_j$  have degree 2 at distance 4 in one copy of  $P_9$  in  $P$ , or
- (c)  $x_i$  and  $x_j$  have degree 1 in one component of  $Q$ .



**Lem.** If  $P$  is a proper path-factor, then  $G_P$  is bipartite.

**Pf.** Every vertex of  $G_P$  has one incident type (c) edge; some have another of type (a) or (b). Hence  $\Delta(G_P) \leq 2$  and no odd cycle. ■

# The Main Result

**Thm.** If  $G$  has a proper path-factor  $P$ , then  $G$  has an interval 6-coloring.

# The Main Result

**Thm.** If  $G$  has a proper path-factor  $P$ , then  $G$  has an interval 6-coloring.

**Pf.** Let  $c$  be a proper 2-coloring of  $G_P$ , using  $A$  and  $B$ . We will use colors  $\{1, 2, 5, 6\}$  on  $P$  and  $\{3, 4\}$  on  $Q$ .

# The Main Result

**Thm.** If  $G$  has a proper path-factor  $P$ , then  $G$  has an interval 6-coloring.

**Pf.** Let  $c$  be a proper 2-coloring of  $G_P$ , using  $A$  and  $B$ . We will use colors  $\{1, 2, 5, 6\}$  on  $P$  and  $\{3, 4\}$  on  $Q$ .

Let 3 and 4 alternate along cycles in  $Q$ .

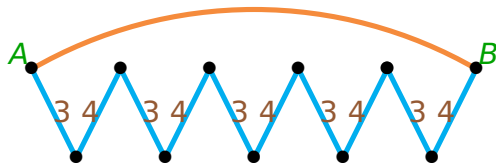
# The Main Result

**Thm.** If  $G$  has a proper path-factor  $P$ , then  $G$  has an interval 6-coloring.

**Pf.** Let  $c$  be a proper 2-coloring of  $G_P$ , using  $A$  and  $B$ . We will use colors  $\{1, 2, 5, 6\}$  on  $P$  and  $\{3, 4\}$  on  $Q$ .

Let 3 and 4 alternate along cycles in  $Q$ .

Also alternate 3 and 4 along paths in  $Q$  so that the edge at the endpoint  $x$  with  $c(x) = A$  gets color 3 and the endpoint  $x'$  with  $c(x') = B$  gets color 4.



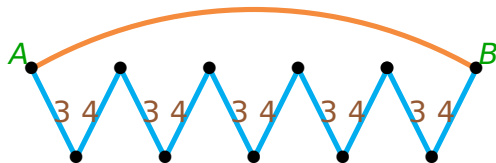
# The Main Result

**Thm.** If  $G$  has a proper path-factor  $P$ , then  $G$  has an interval 6-coloring.

**Pf.** Let  $c$  be a proper 2-coloring of  $G_P$ , using  $A$  and  $B$ . We will use colors  $\{1, 2, 5, 6\}$  on  $P$  and  $\{3, 4\}$  on  $Q$ .

Let 3 and 4 alternate along cycles in  $Q$ .

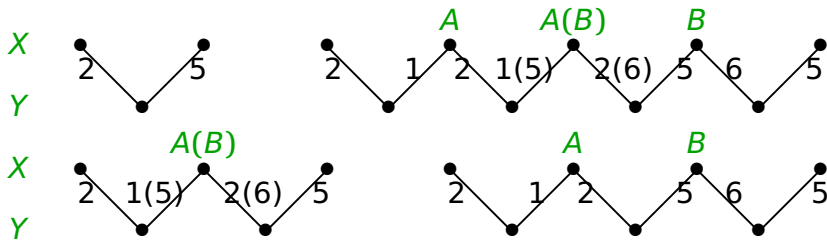
Also alternate 3 and 4 along paths in  $Q$  so that the edge at the endpoint  $x$  with  $c(x) = A$  gets color 3 and the endpoint  $x'$  with  $c(x') = B$  gets color 4.



Every vertex of  $G$  with degree 2 in  $Q$  gets 3 and 4.

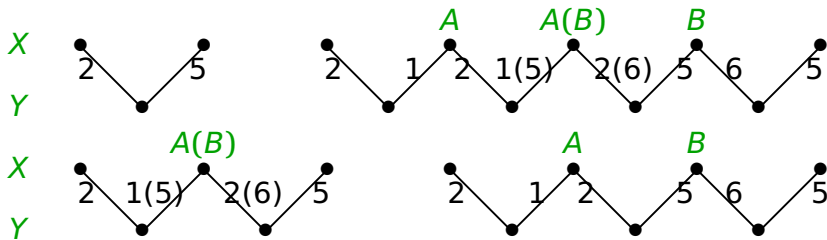
## Choosing Colors in $P$

A component  $H$  of  $P$  is in  $\{P_3, P_5, P_7, P_9\}$ . If  $x \in V(G_P)$  and  $c(x) = A$ , use 1 and 2 at  $x$ ; if  $c(x) = B$ , use 6 and 5.



## Choosing Colors in $P$

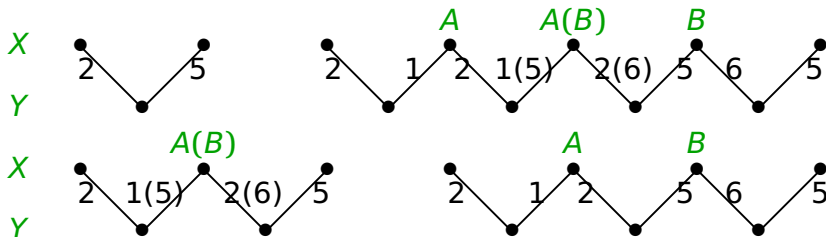
A component  $H$  of  $P$  is in  $\{P_3, P_5, P_7, P_9\}$ . If  $x \in V(G_P)$  and  $c(x) = A$ , use 1 and 2 at  $x$ ; if  $c(x) = B$ , use 6 and 5.



Internal  $X$ -vertices of  $H$  lie in  $G_P$ . In  $P_7$  or  $P_9$ , both  $A$  and  $B$  appear. The “middle” gives the switch from  $\{1, 2\}$  to  $\{5, 6\}$ ; alternate out to the ends.

## Choosing Colors in $P$

A component  $H$  of  $P$  is in  $\{P_3, P_5, P_7, P_9\}$ . If  $x \in V(G_P)$  and  $c(x) = A$ , use 1 and 2 at  $x$ ; if  $c(x) = B$ , use 6 and 5.



Internal  $X$ -vertices of  $H$  lie in  $G_P$ . In  $P_7$  or  $P_9$ , both  $A$  and  $B$  appear. The “middle” gives the switch from  $\{1, 2\}$  to  $\{5, 6\}$ ; alternate out to the ends.

Each  $Y$ -vertex gets  $\{3, 4\}$  from  $Q$  and  $\{2, 5\}$  or  $\{1, 2\}$  or  $\{5, 6\}$  from  $P$ . Each leaf in  $P$  gets  $\{3, 4\}$  from  $Q$  and 2 or 5 from  $P$ . Non-leaves get 3 from  $Q$  and  $\{1, 2\}$  from  $P$  if colored  $A$ ; 4 from  $Q$  and  $\{5, 6\}$  from  $P$  if colored  $B$ . ■

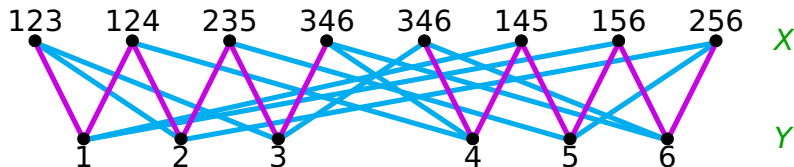
# Constructions

**Ex.** The containment bigraph of the 3-sets and 2-sets in  $\{1, 2, 3, 4, 5, 6\}$  is a  $(3, 4)$ -biregular bigraph having an explicit  $P_7$ -factor (with 5-fold cyclic symmetry).

# Constructions

**Ex.** The containment bigraph of the 3-sets and 2-sets in  $\{1, 2, 3, 4, 5, 6\}$  is a  $(3, 4)$ -biregular bigraph having an explicit  $P_7$ -factor (with 5-fold cyclic symmetry).

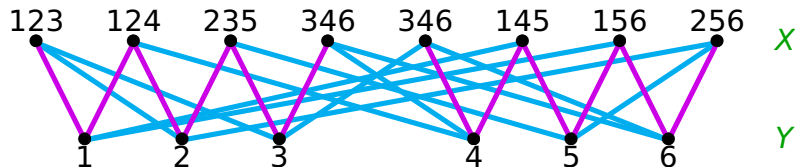
**Ex.**  $K_{3,4}$  has a  $P_7$ -factor and satisfies Pyatkin's condition (a "full" 3-regular subgraph). The graph below has a  $P_7$ -factor but has no full 3-regular subgraph.



# Constructions

**Ex.** The containment bigraph of the 3-sets and 2-sets in  $\{1, 2, 3, 4, 5, 6\}$  is a  $(3, 4)$ -biregular bigraph having an explicit  $P_7$ -factor (with 5-fold cyclic symmetry).

**Ex.**  $K_{3,4}$  has a  $P_7$ -factor and satisfies Pyatkin's condition (a "full" 3-regular subgraph). The graph below has a  $P_7$ -factor but has no full 3-regular subgraph.



Combining such examples generates bigraphs having  $P_7$ -factors, but no full 3-regular subgraph, and order that is any nontrivial multiple of 7.

# Sufficient Conditions

**Thm.** A  $(3, 4)$ -biregular  $X, Y$ -bigraph  $G$  has a  $P_7$ -factor if  $G$  has a  $(2, 4)$ -biregular subgraph covering  $X$ .

# Sufficient Conditions

**Thm.** A  $(3, 4)$ -biregular  $X, Y$ -bigraph  $G$  has a  $P_7$ -factor if  $G$  has a  $(2, 4)$ -biregular subgraph covering  $X$ .

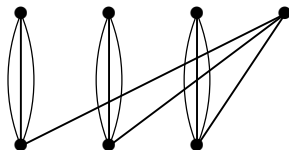
**Thm.** (Asratian-Casselgren - **new**) Every simple  $(3, 4)$ -biregular  $X, Y$ -bigraph has a path-factor with all endpoints in  $X$  (lengths unrestricted).

# Sufficient Conditions

**Thm.** A  $(3, 4)$ -biregular  $X, Y$ -bigraph  $G$  has a  $P_7$ -factor if  $G$  has a  $(2, 4)$ -biregular subgraph covering  $X$ .

**Thm.** (Asratian-Casselgren - **new**) Every simple  $(3, 4)$ -biregular  $X, Y$ -bigraph has a path-factor with all endpoints in  $X$  (lengths unrestricted).

Failure with multiedges:

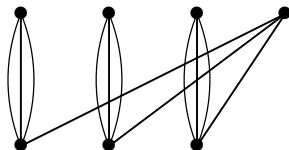


# Sufficient Conditions

**Thm.** A  $(3, 4)$ -biregular  $X, Y$ -bigraph  $G$  has a  $P_7$ -factor if  $G$  has a  $(2, 4)$ -biregular subgraph covering  $X$ .

**Thm.** (Asratian-Casselgren - **new**) Every simple  $(3, 4)$ -biregular  $X, Y$ -bigraph has a path-factor with all endpoints in  $X$  (lengths unrestricted).

Failure with multiedges:



**Conj.** Every simple  $(3, 4)$ -biregular  $X, Y$ -bigraph has a proper path-factor.

# Parity Edge-Coloring of Graphs

Douglas B. West

Department of Mathematics  
University of Illinois at Urbana-Champaign  
west@math.uiuc.edu

(Joint with David Bunde, Kevin Milans, Hehui Wu)

# Motivation

**Ques.** What graphs embed in a  $k$ -dimensional cube?

# Motivation

**Ques.** What graphs embed in a  $k$ -dimensional cube?

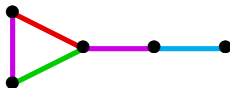
- $k$ -coloring the edges by the  $k$  coordinates yields natural necessary conditions. In this coloring:
  - (1) On every cycle, every color appears even # times.
  - (2) On every path, some color appears odd # times.

# Motivation

**Ques.** What graphs embed in a  $k$ -dimensional cube?

- $k$ -coloring the edges by the  $k$  coordinates yields natural necessary conditions. In this coloring:
  - (1) On every cycle, every color appears even # times.
  - (2) On every path, some color appears odd # times.

**Def.** Parity edge-coloring = edge-coloring having (2).  
Parity edge-chrom. num.  $p(G)$  = min # colors needed.

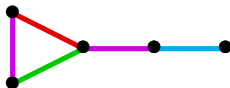


# Motivation

**Ques.** What graphs embed in a  $k$ -dimensional cube?

- $k$ -coloring the edges by the  $k$  coordinates yields natural necessary conditions. In this coloring:
  - (1) On every cycle, every color appears even # times.
  - (2) On every path, some color appears odd # times.

**Def.** Parity edge-coloring = edge-coloring having (2).  
Parity edge-chrom. num.  $p(G)$  = min # colors needed.



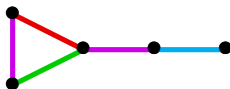
- Well-defined: Every graph has such a coloring.

# Motivation

**Ques.** What graphs embed in a  $k$ -dimensional cube?

- $k$ -coloring the edges by the  $k$  coordinates yields natural necessary conditions. In this coloring:
  - (1) On every cycle, every color appears even # times.
  - (2) On every path, some color appears odd # times.

**Def.** Parity edge-coloring = edge-coloring having (2).  
Parity edge-chrom. num.  $p(G)$  = min # colors needed.



- Well-defined: Every graph has such a coloring.

**Obs.**  $p(G) \geq \chi'(G)$ , and  $H \subseteq G \Rightarrow p(H) \leq p(G)$ .

## A Related Parameter

**Def.** **Parity walk** = walk using each color even #times.  
**Strong parity edge-coloring (spec)** = edge-coloring with no “open” parity walk.  
**spec number  $\hat{p}(G)$**  = least #colors in a spec.

## A Related Parameter

**Def.** **Parity walk** = walk using each color even #times.  
**Strong parity edge-coloring (spec)** = edge-coloring with no “open” parity walk.  
**spec number  $\hat{p}(G)$**  = least #colors in a spec.

**Obs.**  $\hat{p}(G) \geq p(G)$ .

## A Related Parameter

**Def.** Parity walk = walk using each color even #times.  
Strong parity edge-coloring (spec) = edge-coloring with no “open” parity walk.  
spec number  $\hat{p}(G)$  = least #colors in a spec.

**Obs.**  $\hat{p}(G) \geq p(G)$ .

**Thm.**  $\hat{p}(K_n) = p(K_n) = \chi'(K_n) = n - 1$  when  $n = 2^k$ ,  
with a unique coloring.

## A Related Parameter

**Def.** **Parity walk** = walk using each color even #times.  
**Strong parity edge-coloring (spec)** = edge-coloring with no “open” parity walk.  
**spec number  $\hat{p}(G)$**  = least #colors in a spec.

**Obs.**  $\hat{p}(G) \geq p(G)$ .

**Thm.**  $\hat{p}(K_n) = p(K_n) = \chi'(K_n) = n - 1$  when  $n = 2^k$ , with a unique coloring.

**Thm.** [Main Result]  $\hat{p}(K_n) = 2^{\lceil \lg n \rceil} - 1$  for all  $n$ .

# A Related Parameter

**Def.** **Parity walk** = walk using each color even #times.  
**Strong parity edge-coloring (spec)** = edge-coloring with no “open” parity walk.  
**spec number  $\hat{p}(G)$**  = least #colors in a spec.

**Obs.**  $\hat{p}(G) \geq p(G)$ .

**Thm.**  $\hat{p}(K_n) = p(K_n) = \chi'(K_n) = n - 1$  when  $n = 2^k$ , with a unique coloring.

**Thm.** [Main Result]  $\hat{p}(K_n) = 2^{\lceil \lg n \rceil} - 1$  for all  $n$ .

**Appl.** extends special case of Yuzvinsky's Thm [1981], which is tight lower bound on  $|\{a + b : a \in A, b \in B\}|$  when  $A, B \subseteq \mathbf{F}_2^k$  with  $|A| = r$  and  $|B| = s$ .

## A Related Parameter

**Def.** **Parity walk** = walk using each color even #times.  
**Strong parity edge-coloring (spec)** = edge-coloring with no “open” parity walk.  
**spec number  $\hat{p}(G)$**  = least #colors in a spec.

**Obs.**  $\hat{p}(G) \geq p(G)$ .

**Thm.**  $\hat{p}(K_n) = p(K_n) = \chi'(K_n) = n - 1$  when  $n = 2^k$ , with a unique coloring.

**Thm.** [Main Result]  $\hat{p}(K_n) = 2^{\lceil \lg n \rceil} - 1$  for all  $n$ .

**Appl.** extends special case of Yuzvinsky's Thm [1981], which is tight lower bound on  $|\{a + b : a \in A, b \in B\}|$  when  $A, B \subseteq \mathbf{F}_2^k$  with  $|A| = r$  and  $|B| = s$ .

**Conj.**  $p(K_n) = 2^{\lceil \lg n \rceil} - 1$  for all  $n$ . (Known for  $n \leq 16$ .)

# Embedding Trees in $k$ -cubes

**Prop.** A tree  $T$  is a subgraph of  $Q_k \iff p(T) \leq k$ .

# Embedding Trees in $k$ -cubes

**Prop.** A tree  $T$  is a subgraph of  $Q_k \iff p(T) \leq k$ .

**Pf.** It suffices to show  $p(T) = k \implies T$  embeds in  $Q_k$ .

# Embedding Trees in $k$ -cubes

**Prop.** A tree  $T$  is a subgraph of  $Q_k \iff p(T) \leq k$ .

**Pf.** It suffices to show  $p(T) = k \implies T$  embeds in  $Q_k$ .

Fix  $r \in V(T)$ . For  $v \in V(T)$ , pick  $f(v) \in V(Q_k)$  by letting bit  $i$  be the parity of color  $i$  usage on the  $r, v$ -path in  $T$ .

# Embedding Trees in $k$ -cubes

**Prop.** A tree  $T$  is a subgraph of  $Q_k \iff p(T) \leq k$ .

**Pf.** It suffices to show  $p(T) = k \implies T$  embeds in  $Q_k$ .

Fix  $r \in V(T)$ . For  $v \in V(T)$ , pick  $f(v) \in V(Q_k)$  by letting bit  $i$  be the parity of color  $i$  usage on the  $r, v$ -path in  $T$ .

The image of an edge  $xy$  in  $T$  is an edge in  $Q_k$ . Also, color with odd usage on the  $u, v$ -path  $\implies f(u) \neq f(v)$ . ■

# Embedding Trees in $k$ -cubes

**Prop.** A tree  $T$  is a subgraph of  $Q_k \iff p(T) \leq k$ .

**Pf.** It suffices to show  $p(T) = k \Rightarrow T$  embeds in  $Q_k$ .

Fix  $r \in V(T)$ . For  $v \in V(T)$ , pick  $f(v) \in V(Q_k)$  by letting bit  $i$  be the parity of color  $i$  usage on the  $r, v$ -path in  $T$ .

The image of an edge  $xy$  in  $T$  is an edge in  $Q_k$ . Also, color with odd usage on the  $u, v$ -path  $\Rightarrow f(u) \neq f(v)$ . ■

- Embeddability in hypercubes is NP-complete for trees (Wagner–Corneil [1990]), so computing  $p(G)$  is also.

# Embedding Trees in $k$ -cubes

**Prop.** A tree  $T$  is a subgraph of  $Q_k \iff p(T) \leq k$ .

**Pf.** It suffices to show  $p(T) = k \implies T$  embeds in  $Q_k$ .

Fix  $r \in V(T)$ . For  $v \in V(T)$ , pick  $f(v) \in V(Q_k)$  by letting bit  $i$  be the parity of color  $i$  usage on the  $r, v$ -path in  $T$ .

The image of an edge  $xy$  in  $T$  is an edge in  $Q_k$ . Also, color with odd usage on the  $u, v$ -path  $\implies f(u) \neq f(v)$ . ■

**Cor.** (Havel-Movárek [1972]) A graph  $G$  embeds in  $Q_k \iff G$  has a  $k$ -pec where every cycle is a parity walk.

# Embedding Trees in $k$ -cubes

**Prop.** A tree  $T$  is a subgraph of  $Q_k \iff p(T) \leq k$ .

**Pf.** It suffices to show  $p(T) = k \implies T$  embeds in  $Q_k$ .

Fix  $r \in V(T)$ . For  $v \in V(T)$ , pick  $f(v) \in V(Q_k)$  by letting bit  $i$  be the parity of color  $i$  usage on the  $r, v$ -path in  $T$ .

The image of an edge  $xy$  in  $T$  is an edge in  $Q_k$ . Also, color with odd usage on the  $u, v$ -path  $\implies f(u) \neq f(v)$ . ■

**Cor.** (Havel-Movárek [1972]) A graph  $G$  embeds in  $Q_k \iff G$  has a  $k$ -pec where every cycle is a parity walk.

**Pf.** Embed a spanning tree  $T$  of  $G$  in  $Q_k$  as done above.

# Embedding Trees in $k$ -cubes

**Prop.** A tree  $T$  is a subgraph of  $Q_k \iff p(T) \leq k$ .

**Pf.** It suffices to show  $p(T) = k \implies T$  embeds in  $Q_k$ .

Fix  $r \in V(T)$ . For  $v \in V(T)$ , pick  $f(v) \in V(Q_k)$  by letting bit  $i$  be the parity of color  $i$  usage on the  $r, v$ -path in  $T$ .

The image of an edge  $xy$  in  $T$  is an edge in  $Q_k$ . Also, color with odd usage on the  $u, v$ -path  $\implies f(u) \neq f(v)$ . ■

**Cor.** (Havel-Movárek [1972]) A graph  $G$  embeds in  $Q_k \iff G$  has a  $k$ -pec where every cycle is a parity walk.

**Pf.** Embed a spanning tree  $T$  of  $G$  in  $Q_k$  as done above.

Each remaining edge  $e$  completes a cycle. When  $e = uv$ , the color on  $e$  is the only color with odd usage on the  $u, v$ -path in  $T$ . Hence  $f(u) \leftrightarrow f(v)$  in  $Q_k$ . ■

## $n$ -vertex Graphs, Paths, Cycles

**Cor.** If  $G$  is connected, then  $p(G) \geq \lceil \lg n(G) \rceil$ ,  
with equality for paths and even cycles.

## $n$ -vertex Graphs, Paths, Cycles

**Cor.** If  $G$  is connected, then  $p(G) \geq \lceil \lg n(G) \rceil$ ,  
with equality for paths and even cycles.

**Pf.** If  $T$  is a spanning tree, then  $p(G) \geq p(T) \geq \lceil \lg n(T) \rceil$ .

## $n$ -vertex Graphs, Paths, Cycles

**Cor.** If  $G$  is connected, then  $p(G) \geq \lceil \lg n(G) \rceil$ ,  
with equality for paths and even cycles.

**Pf.** If  $T$  is a spanning tree, then  $p(G) \geq p(T) \geq \lceil \lg n(T) \rceil$ .

Equality: Any  $P_n$  or even  $C_n$  embeds in  $Q_{\lceil \lg n \rceil}$ . ■

## $n$ -vertex Graphs, Paths, Cycles

**Cor.** If  $G$  is connected, then  $p(G) \geq \lceil \lg n(G) \rceil$ ,  
with equality for paths and even cycles.

**Pf.** If  $T$  is a spanning tree, then  $p(G) \geq p(T) \geq \lceil \lg n(T) \rceil$ .

Equality: Any  $P_n$  or even  $C_n$  embeds in  $Q_{\lceil \lg n \rceil}$ . ■

- Odd cycles will need one more!

# $n$ -vertex Graphs, Paths, Cycles

**Cor.** If  $G$  is connected, then  $p(G) \geq \lceil \lg n(G) \rceil$ ,  
with equality for paths and even cycles.

**Pf.** If  $T$  is a spanning tree, then  $p(G) \geq p(T) \geq \lceil \lg n(T) \rceil$ .

Equality: Any  $P_n$  or even  $C_n$  embeds in  $Q_{\lceil \lg n \rceil}$ . ■

- Odd cycles will need one more!

**Obs.** Always  $p(G) \leq p(G - e) + 1$ .

# $n$ -vertex Graphs, Paths, Cycles

**Cor.** If  $G$  is connected, then  $p(G) \geq \lceil \lg n(G) \rceil$ ,  
with equality for paths and even cycles.

**Pf.** If  $T$  is a spanning tree, then  $p(G) \geq p(T) \geq \lceil \lg n(T) \rceil$ .

Equality: Any  $P_n$  or even  $C_n$  embeds in  $Q_{\lceil \lg n \rceil}$ . ■

- Odd cycles will need one more!

**Obs.** Always  $p(G) \leq p(G - e) + 1$ .

**Cor.**  $\lceil \lg n \rceil \leq p(C_n) \leq \lceil \lg n \rceil + 1$ .

## Lower Bound for Odd Cycles

**Lem.** Every pec of  $C_n$  is a spec, so  $p(C_n) = \hat{p}(C_n)$ .

**Pf.** Take a pec of  $C_n$ . The edges with odd usage in any open walk  $W$  form a path  $P$  from start to finish.

$P$  has some odd-used color;  $\therefore W$  is not a parity walk. ■

# Lower Bound for Odd Cycles

**Lem.** Every pec of  $C_n$  is a spec, so  $p(C_n) = \hat{p}(C_n)$ .

**Pf.** Take a pec of  $C_n$ . The edges with odd usage in any open walk  $W$  form a path  $P$  from start to finish.

$P$  has some odd-used color;  $\therefore W$  is not a parity walk. ■

**Lem.** If  $n$  is odd, then  $\hat{p}(C_n) \geq p(P_{2n})$ .

**Pf.** Spec of  $C_n$  yields pec of  $P_{2n}$ .



Each path in  $P_{2n}$  arises from an open walk in  $C_n$  or one trip around the cycle (which is odd length). ■

# Lower Bound for Odd Cycles

**Lem.** Every pec of  $C_n$  is a spec, so  $p(C_n) = \hat{p}(C_n)$ .

**Pf.** Take a pec of  $C_n$ . The edges with odd usage in any open walk  $W$  form a path  $P$  from start to finish.

$P$  has some odd-used color;  $\therefore W$  is not a parity walk. ■

**Lem.** If  $n$  is odd, then  $\hat{p}(C_n) \geq p(P_{2n})$ .

**Pf.** Spec of  $C_n$  yields pec of  $P_{2n}$ .

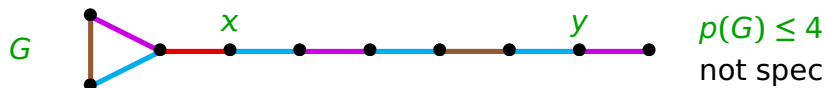


Each path in  $P_{2n}$  arises from an open walk in  $C_n$  or one trip around the cycle (which is odd length). ■

**Thm.** If  $n$  is odd, then  $p(C_n) = \lceil \lg n \rceil + 1$ .

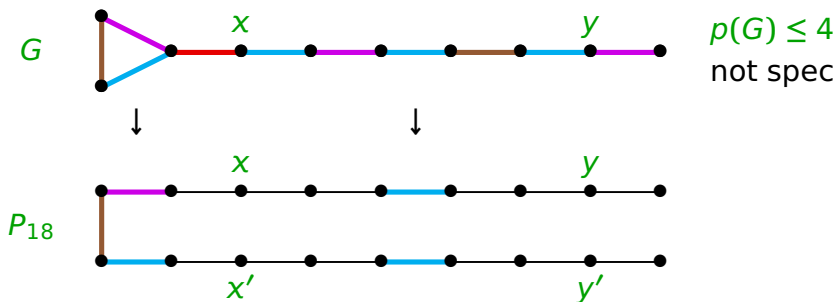
# Example Showing $p \neq \hat{p}$

- Unrolling technique (like lower bound for odd cycle)



## Example Showing $p \neq \hat{p}$

- Unrolling technique (like lower bound for odd cycle)



**Obs.**  $\hat{p}(G) \geq \rho(P_{18}) = 5$ .

**Pf.** Copy a spec of  $G$  onto  $P_{18}$  (path edges doubled).

An  $x, y'$ -subpath of  $P_{18}$  comes from an open walk in  $G$ .

An  $x, x'$ -subpath of  $P_{18}$  comes from an odd walk in  $G$ . ■

# Complete Graphs, $n = 2^k$

**Def.** canonical coloring of  $K_{2^k}$  = edge-coloring  $f$   
defined by  $f(uv) = u + v$ , where  $V(K_{2^k}) = \mathbf{F}_2^k$ .



# Complete Graphs, $n = 2^k$

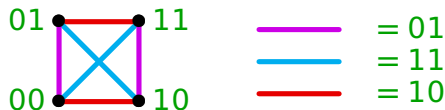
**Def.** canonical coloring of  $K_{2^k}$  = edge-coloring  $f$   
defined by  $f(uv) = u + v$ , where  $V(K_{2^k}) = \mathbf{F}_2^k$ .



**Prop.** If  $n = 2^k$ , then  $\hat{p}(K_n) = p(K_n) = \chi'(K_n) = n - 1$ .

# Complete Graphs, $n = 2^k$

**Def.** canonical coloring of  $K_{2^k}$  = edge-coloring  $f$  defined by  $f(uv) = u + v$ , where  $V(K_{2^k}) = \mathbf{F}_2^k$ .



**Prop.** If  $n = 2^k$ , then  $\hat{p}(K_n) = p(K_n) = \chi'(K_n) = n - 1$ .

**Pf.** Canonical coloring uses  $n - 1$  colors ( $0^k$  not used).

It is a spec: When the ends of a walk  $W$  differ in bit  $i$ , the total usage of colors flipping bit  $i$  is odd, so  $\exists$  odd-usage color on  $W$ . ■

# Complete Graphs, $n = 2^k$

**Def.** canonical coloring of  $K_{2^k}$  = edge-coloring  $f$  defined by  $f(uv) = u + v$ , where  $V(K_{2^k}) = \mathbf{F}_2^k$ .



**Prop.** If  $n = 2^k$ , then  $\hat{p}(K_n) = p(K_n) = \chi'(K_n) = n - 1$ .

**Pf.** Canonical coloring uses  $n - 1$  colors ( $0^k$  not used).

It is a spec: When the ends of a walk  $W$  differ in bit  $i$ , the total usage of colors flipping bit  $i$  is odd, so  $\exists$  odd-usage color on  $W$ . ■

**Cor.**  $\hat{p}(K_n) \leq 2^{\lceil \lg n \rceil} - 1 \leq 2n - 3$ .

**Conj.**  $p(K_n) = 2^{\lceil \lg n \rceil} - 1$ . (**Thm.**  $\hat{p}(K_n) = 2^{\lceil \lg n \rceil} - 1$ .)

## Just Above the Threshold: $K_2, K_3, K_5, K_9$

- It suffices to prove  $p(K_{2^{k+1}}) = 2^{k+1} - 1$ .

$$k = 0: p(K_2) = 1; \quad k = 1: p(K_3) = 3$$

**Prop.**  $p(K_5) = 7$ .

## Just Above the Threshold: $K_2, K_3, K_5, K_9$

- It suffices to prove  $\rho(K_{2^{k+1}}) = 2^{k+1} - 1$ .

$$k = 0: \rho(K_2) = 1; \quad k = 1: \rho(K_3) = 3$$

**Prop.**  $\rho(K_5) = 7$ .

**Pf.** Each color forms a matching  $\Rightarrow$  used at most twice.  
10 edges,  $\leq 6$  colors  $\Rightarrow$  at least four colors used twice.

## Just Above the Threshold: $K_2, K_3, K_5, K_9$

- It suffices to prove  $p(K_{2^{k+1}}) = 2^{k+1} - 1$ .

$$k = 0: p(K_2) = 1; \quad k = 1: p(K_3) = 3$$

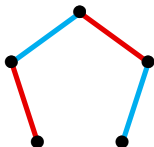
**Prop.**  $p(K_5) = 7$ .

**Pf.** Each color forms a matching  $\Rightarrow$  used at most twice.

10 edges,  $\leq 6$  colors  $\Rightarrow$  at least four colors used twice.

Two colors used twice cannot form parity path  $P_5$ .

$\therefore$  Colors used twice are used at the same four vertices, but then only three can be used twice. ■



## Just Above the Threshold: $K_2, K_3, K_5, K_9$

- It suffices to prove  $p(K_{2^{k+1}}) = 2^{k+1} - 1$ .

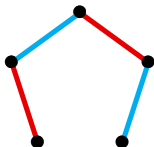
$$k = 0: p(K_2) = 1; \quad k = 1: p(K_3) = 3$$

**Prop.**  $p(K_5) = 7$ .

**Pf.** Each color forms a matching  $\Rightarrow$  used at most twice.  
10 edges,  $\leq 6$  colors  $\Rightarrow$  at least four colors used twice.

Two colors used twice cannot form parity path  $P_5$ .

$\therefore$  Colors used twice are used at the same four vertices,  
but then only three can be used twice. ■



**Thm.**  $p(K_9) = 15$ . (Longer ad hoc argument.)

# Yuzvinsky's Theorem

**Def.** Hopf–Stiefel function (Hopf [1940], Stiefel [1940]):

$r \circ s =$  algebraic definition equivalent to:

least  $n$  such that  $\binom{n}{k}$  is even for  $n - s < k < r$ .

# Yuzvinsky's Theorem

**Def.** Hopf–Stiefel function (Hopf [1940], Stiefel [1940]):

$r \circ s$  = algebraic definition equivalent to:

least  $n$  such that  $\binom{n}{k}$  is even for  $n - s < k < r$ .

**Thm.** (Yuzvinsky [1981]) If  $A, B \subseteq \mathbf{F}_2^k$  with  $|A| = r$  and  $|B| = s$ , then  $|\{a + b : a \in A, b \in B\}| \geq r \circ s$ .

# Yuzvinsky's Theorem

**Def.** Hopf–Stiefel function (Hopf [1940], Stiefel [1940]):

$r \circ s$  = algebraic definition equivalent to:

least  $n$  such that  $\binom{n}{k}$  is even for  $n - s < k < r$ .

**Thm.** (Yuzvinsky [1981]) If  $A, B \subseteq \mathbf{F}_2^k$  with  $|A| = r$  and  $|B| = s$ , then  $|\{a + b : a \in A, b \in B\}| \geq r \circ s$ .

**Thm.** (Plagne [2003], Károlyi [2006])

$$r \circ s = \min_{j \in \mathbf{N}} 2^j \left( \left\lceil \frac{r}{2^j} \right\rceil + \left\lceil \frac{s}{2^j} \right\rceil - 1 \right).$$

# Yuzvinsky's Theorem

**Def.** Hopf–Stiefel function (Hopf [1940], Stiefel [1940]):

$r \circ s$  = algebraic definition equivalent to:

least  $n$  such that  $\binom{n}{k}$  is even for  $n - s < k < r$ .

**Thm.** (Yuzvinsky [1981]) If  $A, B \subseteq \mathbf{F}_2^k$  with  $|A| = r$  and  $|B| = s$ , then  $|\{a + b : a \in A, b \in B\}| \geq r \circ s$ .

**Thm.** (Plagne [2003], Károlyi [2006])

$$r \circ s = \min_{j \in \mathbf{N}} 2^j \left( \left\lceil \frac{r}{2^j} \right\rceil + \left\lceil \frac{s}{2^j} \right\rceil - 1 \right).$$

- If  $A = B$ , with size  $r$ , then  $r \circ r = 2^{\lceil \lg r \rceil}$ . (Set  $j = \lceil \lg r \rceil$ .)

# Yuzvinsky's Theorem

**Def.** Hopf–Stiefel function (Hopf [1940], Stiefel [1940]):

$r \circ s$  = algebraic definition equivalent to:

least  $n$  such that  $\binom{n}{k}$  is even for  $n - s < k < r$ .

**Thm.** (Yuzvinsky [1981]) If  $A, B \subseteq \mathbf{F}_2^k$  with  $|A| = r$  and  $|B| = s$ , then  $|\{a + b : a \in A, b \in B\}| \geq r \circ s$ .

**Thm.** (Plagne [2003], Károlyi [2006])

$$r \circ s = \min_{j \in \mathbf{N}} 2^j \left( \left\lceil \frac{r}{2^j} \right\rceil + \left\lceil \frac{s}{2^j} \right\rceil - 1 \right).$$

• If  $A = B$ , with size  $r$ , then  $r \circ r = 2^{\lceil \lg r \rceil}$ . (Set  $j = \lceil \lg r \rceil$ .)

Yuzvinsky  $\Rightarrow$  canonical coloring of  $K_r$  needs  $\geq 2^{\lceil \lg r \rceil} - 1$ .

Our theorem  $\Rightarrow$  same lower bound for any spec.

# Yuzvinsky's Theorem

**Def.** Hopf–Stiefel function (Hopf [1940], Stiefel [1940]):

$r \circ s$  = algebraic definition equivalent to:

least  $n$  such that  $\binom{n}{k}$  is even for  $n - s < k < r$ .

**Thm.** (Yuzvinsky [1981]) If  $A, B \subseteq \mathbf{F}_2^k$  with  $|A| = r$  and  $|B| = s$ , then  $|\{a + b : a \in A, b \in B\}| \geq r \circ s$ .

**Thm.** (Plagne [2003], Károlyi [2006])

$$r \circ s = \min_{j \in \mathbf{N}} 2^j \left( \left\lceil \frac{r}{2^j} \right\rceil + \left\lceil \frac{s}{2^j} \right\rceil - 1 \right).$$

• If  $A = B$ , with size  $r$ , then  $r \circ r = 2^{\lceil \lg r \rceil}$ . (Set  $j = \lceil \lg r \rceil$ .)

Yuzvinsky  $\Rightarrow$  canonical coloring of  $K_r$  needs  $\geq 2^{\lceil \lg r \rceil} - 1$ .

Our theorem  $\Rightarrow$  same lower bound for any spec.

**Conj.**  $\hat{p}(K_{r,s}) = r \circ s$ . (Would strengthen Yuzv. & ours.)

# Main Steps of the Proof

**Thm.** If  $f$  is a spec of  $K_n$  with every color class a perfect matching, then  $f$  is canonical &  $n$  is a 2-power.

# Main Steps of the Proof

**Thm.** If  $f$  is a spec of  $K_n$  with every color class a perfect matching, then  $f$  is canonical &  $n$  is a 2-power.

**Thm.** If an optimal spec  $f$  of  $K_n$  uses some color  $a$  not on a perfect matching, then  $\hat{p}(K_{n+1}) = \hat{p}(K_n)$ .

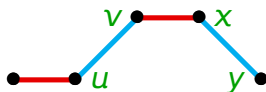
# Main Steps of the Proof

**Thm.** If  $f$  is a spec of  $K_n$  with every color class a perfect matching, then  $f$  is canonical &  $n$  is a 2-power.

**Thm.** If an optimal spec  $f$  of  $K_n$  uses some color  $a$  not on a perfect matching, then  $\hat{\rho}(K_{n+1}) = \hat{\rho}(K_n)$ .

Tools:

1) If every color class in a spec is a perfect matching, then the **4-constraint** holds: If  $f(uv) = f(xy)$  and  $vx$  exists, then  $uy$  exists and  $f(uy) = f(vx)$ .



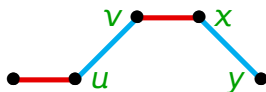
# Main Steps of the Proof

**Thm.** If  $f$  is a spec of  $K_n$  with every color class a perfect matching, then  $f$  is canonical &  $n$  is a 2-power.

**Thm.** If an optimal spec  $f$  of  $K_n$  uses some color  $a$  not on a perfect matching, then  $\hat{\rho}(K_{n+1}) = \hat{\rho}(K_n)$ .

Tools:

1) If every color class in a spec is a perfect matching, then the **4-constraint** holds: If  $f(uv) = f(xy)$  and  $vx$  exists, then  $uy$  exists and  $f(uy) = f(vx)$ .



2) Binary vector spaces (vector of color usage, mod 2, along a walk).

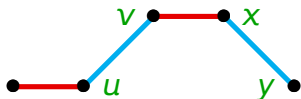
# Specs Consisting of 1-Factors Are Canonical

**Thm.** If  $f$  is a spec of  $K_n$  with every color class a perfect matching, then  $f$  is canonical &  $n$  is a 2-power.

**Pf.** Such a coloring satisfies the **4-constraint**:

If  $f(uv) = f(xy)$ , then  $f(uy) = f(vx)$ .

(Since every color is at every vertex.)



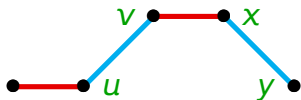
# Specs Consisting of 1-Factors Are Canonical

**Thm.** If  $f$  is a spec of  $K_n$  with every color class a perfect matching, then  $f$  is canonical &  $n$  is a 2-power.

**Pf.** Such a coloring satisfies the **4-constraint**:

If  $f(uv) = f(xy)$ , then  $f(uy) = f(vx)$ .

(Since every color is at every vertex.)



**Aim:** Map  $V(K_n)$  to  $\mathbf{F}_2^k$  so  $f$  is the canonical coloring.

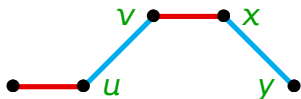
# Specs Consisting of 1-Factors Are Canonical

**Thm.** If  $f$  is a spec of  $K_n$  with every color class a perfect matching, then  $f$  is canonical &  $n$  is a 2-power.

**Pf.** Such a coloring satisfies the **4-constraint**:

If  $f(uv) = f(xy)$ , then  $f(uy) = f(vx)$ .

(Since every color is at every vertex.)



**Aim:** Map  $V(K_n)$  to  $\mathbf{F}_2^k$  so  $f$  is the canonical coloring.

Every edge is a canonically colored  $K_2$ . Let  $R$  be a largest vertex set on which  $f$  restricts to a canonical coloring. If  $R \neq V(K_n)$ , we obtain a larger such set.

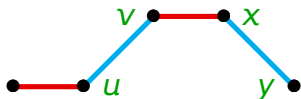
# Specs Consisting of 1-Factors Are Canonical

**Thm.** If  $f$  is a spec of  $K_n$  with every color class a perfect matching, then  $f$  is canonical &  $n$  is a 2-power.

**Pf.** Such a coloring satisfies the **4-constraint**:

If  $f(uv) = f(xy)$ , then  $f(uy) = f(vx)$ .

(Since every color is at every vertex.)



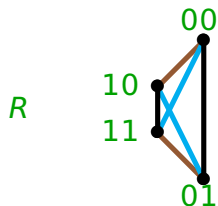
**Aim:** Map  $V(K_n)$  to  $\mathbf{F}_2^k$  so  $f$  is the canonical coloring.

Every edge is a canonically colored  $K_2$ . Let  $R$  be a largest vertex set on which  $f$  restricts to a canonical coloring. If  $R \neq V(K_n)$ , we obtain a larger such set.

With  $|R| = 2^{j-1}$ , we are given a bijection from  $R$  to  $\mathbf{F}_2^{j-1}$  under which  $f$  is the canonical coloring.

# Expanding the Canonical Portion

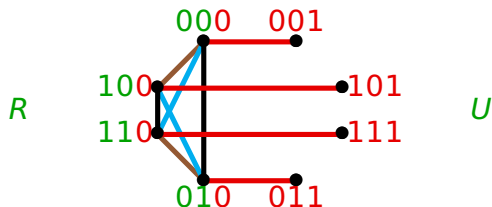
$f$  canonical on  $R \Rightarrow$  any color used within  $R$  pairs up  $R$ .





## Expanding the Canonical Portion

$f$  canonical on  $R \Rightarrow$  any color used within  $R$  pairs up  $R$ .

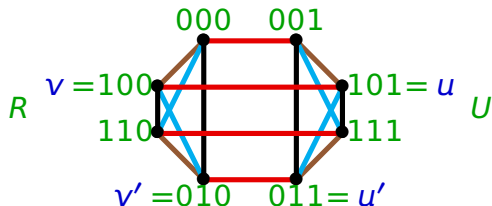


New color  $c$  pairs  $R$  to some set  $U$ ; set  $R' = R \cup U$ .

Map  $R'$  to  $\mathbf{F}_2^j$  by appending 0 to the codes in  $R$  and appending 1 instead to their  $c$ -mates in  $U$ .

## Expanding the Canonical Portion

$f$  canonical on  $R \Rightarrow$  any color used within  $R$  pairs up  $R$ .



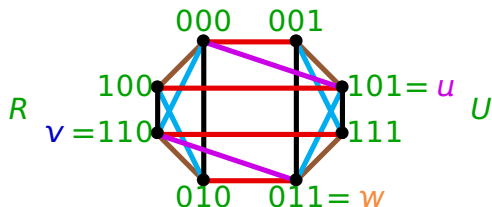
New color  $c$  pairs  $R$  to some set  $U$ ; set  $R' = R \cup U$ .

Map  $R'$  to  $\mathbf{F}_2^j$  by appending  $0$  to the codes in  $R$  and appending  $1$  instead to their  $c$ -mates in  $U$ .

The 4-constraint copies the coloring from  $R$  to  $U$ ,  
so  $f(uu') = f(vv') = v + v' = u + u'$ .

## Expanding the Canonical Portion

$f$  canonical on  $R \Rightarrow$  any color used within  $R$  pairs up  $R$ .



New color  $c$  pairs  $R$  to some set  $U$ ; set  $R' = R \cup U$ .

Map  $R'$  to  $\mathbf{F}_2^j$  by appending  $0$  to the codes in  $R$  and appending  $1$  instead to their  $c$ -mates in  $U$ .

The 4-constraint copies the coloring from  $R$  to  $U$ ,  
so  $f(uu') = f(vv') = v + v' = u + u'$ .

Use  $u$  to name the color on  $0^j u$ , so  $f(0^j u) = u = 0^j + u$ .

The rest:  $v \in R$  &  $w = u + v \in U \Rightarrow f(v0^j) = f(uw) = v$ ;

4-constraint  $\Rightarrow f(vw) = f(0^j u) = u = v + w$ . ■

# Algebraic Aspects of Specs

**Def.** Given an edge-coloring  $f$  and a walk  $W$ , the **parity vector**  $\pi(W)$  is the binary vector where bit  $i$  is the parity of the usage of color  $i$  on  $W$ .

**Parity space**  $L_f =$  set of parity vectors of closed walks.

# Algebraic Aspects of Specs

**Def.** Given an edge-coloring  $f$  and a walk  $W$ , the **parity vector**  $\pi(W)$  is the binary vector where bit  $i$  is the parity of the usage of color  $i$  on  $W$ .

**Parity space**  $L_f$  = set of parity vectors of closed walks.

**Lem.** If  $f$  is an edge-coloring of a connected graph  $G$ , then  $L_f$  is a binary vector space.

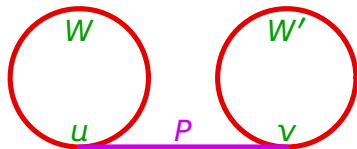
# Algebraic Aspects of Specs

**Def.** Given an edge-coloring  $f$  and a walk  $W$ , the **parity vector**  $\pi(W)$  is the binary vector where bit  $i$  is the parity of the usage of color  $i$  on  $W$ .

**Parity space**  $L_f =$  set of parity vectors of closed walks.

**Lem.** If  $f$  is an edge-coloring of a connected graph  $G$ , then  $L_f$  is a binary vector space.

**Pf.** When  $W$  is a  $u, u$ -walk and  $W'$  is a  $v, v$ -walk, let  $P$  be a  $u, v$ -path, with  $P'$  its reverse. Now  $W, P, W', P'$  is a  $u, u$ -walk with parity vector  $\pi(W) + \pi(W')$ . ■



# Parity Spaces; Specs of $K_n$ ; Enlarging the Clique

**Def.**  $w(L)$  = min weight of nonzero vectors in space  $L$ .

**Lem.** Edge-coloring  $f$  of  $K_n$  is a spec  $\Leftrightarrow w(L_f) \geq 2$ .

# Parity Spaces; Specs of $K_n$ ; Enlarging the Clique

**Def.**  $w(L)$  = min weight of nonzero vectors in space  $L$ .

**Lem.** Edge-coloring  $f$  of  $K_n$  is a spec  $\Leftrightarrow w(L_f) \geq 2$ .

**Lem.** Given colors  $a$  and  $b$  in an optimal spec  $f$  of  $K_n$ ,  
 $\exists$  closed  $W$  with odd usage for  $a, b$ , & one more color.

# Parity Spaces; Specs of $K_n$ ; Enlarging the Clique

**Def.**  $w(L)$  = min weight of nonzero vectors in space  $L$ .

**Lem.** Edge-coloring  $f$  of  $K_n$  is a spec  $\Leftrightarrow w(L_f) \geq 2$ .

**Lem.** Given colors  $a$  and  $b$  in an optimal spec  $f$  of  $K_n$ ,  
 $\exists$  closed  $W$  with odd usage for  $a, b$ , & one more color.

**Lem.** If an optimal spec  $f$  of  $K_n$  uses some color  $a$  not on a perfect matching, then  $\hat{p}(K_{n+1}) = \hat{p}(K_n)$ .

# Parity Spaces; Specs of $K_n$ ; Enlarging the Clique

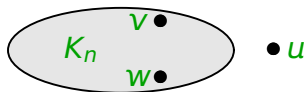
**Def.**  $w(L)$  = min weight of nonzero vectors in space  $L$ .

**Lem.** Edge-coloring  $f$  of  $K_n$  is a spec  $\Leftrightarrow w(L_f) \geq 2$ .

**Lem.** Given colors  $a$  and  $b$  in an optimal spec  $f$  of  $K_n$ ,  
 $\exists$  closed  $W$  with odd usage for  $a, b$ , & one more color.

**Lem.** If an optimal spec  $f$  of  $K_n$  uses some color  $a$  not  
on a perfect matching, then  $\hat{p}(K_{n+1}) = \hat{p}(K_n)$ .

**Pf.** Let  $v$  be a vertex missed by  $a$ ; let  $u$  be a new vertex.  
We use  $f$  to define  $f'$  on the larger complete graph.



# Parity Spaces; Specs of $K_n$ ; Enlarging the Clique

**Def.**  $w(L) = \min$  weight of nonzero vectors in space  $L$ .

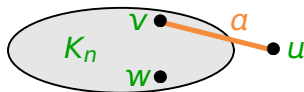
**Lem.** Edge-coloring  $f$  of  $K_n$  is a spec  $\Leftrightarrow w(L_f) \geq 2$ .

**Lem.** Given colors  $a$  and  $b$  in an optimal spec  $f$  of  $K_n$ ,  
 $\exists$  closed  $W$  with odd usage for  $a, b$ , & one more color.

**Lem.** If an optimal spec  $f$  of  $K_n$  uses some color  $a$  not  
on a perfect matching, then  $\hat{\rho}(K_{n+1}) = \hat{\rho}(K_n)$ .

**Pf.** Let  $v$  be a vertex missed by  $a$ ; let  $u$  be a new vertex.  
We use  $f$  to define  $f'$  on the larger complete graph.

Let  $f'(uv) = a$ . For  $w \notin \{u, v\}$ , let  $b = f(vw)$ .  
 $\exists W$  with odd usage of  $a, b$ , and some  $c$ . Let  $f'(uw) = c$ .



# Parity Spaces; Specs of $K_n$ ; Enlarging the Clique

**Def.**  $w(L) = \min$  weight of nonzero vectors in space  $L$ .

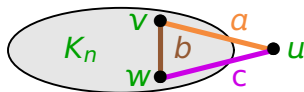
**Lem.** Edge-coloring  $f$  of  $K_n$  is a spec  $\Leftrightarrow w(L_f) \geq 2$ .

**Lem.** Given colors  $a$  and  $b$  in an optimal spec  $f$  of  $K_n$ ,  
 $\exists$  closed  $W$  with odd usage for  $a, b$ , & one more color.

**Lem.** If an optimal spec  $f$  of  $K_n$  uses some color  $a$  not on a perfect matching, then  $\hat{p}(K_{n+1}) = \hat{p}(K_n)$ .

**Pf.** Let  $v$  be a vertex missed by  $a$ ; let  $u$  be a new vertex. We use  $f$  to define  $f'$  on the larger complete graph.

Let  $f'(uv) = a$ . For  $w \notin \{u, v\}$ , let  $b = f(vw)$ .  
 $\exists W$  with odd usage of  $a, b$ , and some  $c$ . Let  $f'(uw) = c$ .



**Thm.**  $\hat{\rho}(K_n) = 2^{\lceil \lg n \rceil} - 1$

**Pf.** Let  $k = \hat{\rho}(K_n)$ . Canonical coloring  $\Rightarrow k \leq 2^{\lceil \lg n \rceil} - 1$ .

**Thm.**  $\hat{\rho}(K_n) = 2^{\lceil \lg n \rceil} - 1$

**Pf.** Let  $k = \hat{\rho}(K_n)$ . Canonical coloring  $\Rightarrow k \leq 2^{\lceil \lg n \rceil} - 1$ .

Add vertices without increasing  $\hat{\rho}$  till every color class is a perfect matching. Degree can't exceed  $2^{\lceil \lg n \rceil} - 1$ .

**Thm.**  $\hat{\rho}(K_n) = 2^{\lceil \lg n \rceil} - 1$

**Pf.** Let  $k = \hat{\rho}(K_n)$ . Canonical coloring  $\Rightarrow k \leq 2^{\lceil \lg n \rceil} - 1$ .

Add vertices without increasing  $\hat{\rho}$  till every color class is a perfect matching. Degree can't exceed  $2^{\lceil \lg n \rceil} - 1$ .

$\therefore$  Process makes every color class a perfect matching. This occurs only in the canonical coloring.

Hence  $\hat{\rho}(K_n) = \hat{\rho}(K_{2^{\lceil \lg n \rceil}}) = 2^{\lceil \lg n \rceil} - 1$ . ■

**Thm.**  $\hat{\rho}(K_n) = 2^{\lceil \lg n \rceil} - 1$

**Pf.** Let  $k = \hat{\rho}(K_n)$ . Canonical coloring  $\Rightarrow k \leq 2^{\lceil \lg n \rceil} - 1$ .

Add vertices without increasing  $\hat{\rho}$  till every color class is a perfect matching. Degree can't exceed  $2^{\lceil \lg n \rceil} - 1$ .

$\therefore$  Process makes every color class a perfect matching. This occurs only in the canonical coloring.

Hence  $\hat{\rho}(K_n) = \hat{\rho}(K_{2^{\lceil \lg n \rceil}}) = 2^{\lceil \lg n \rceil} - 1$ . ■

**Cor.** Every optimal spec of a complete graph arises by deleting vertices from a canonical coloring.

**Thm.**  $\hat{\rho}(K_n) = 2^{\lceil \lg n \rceil} - 1$

**Pf.** Let  $k = \hat{\rho}(K_n)$ . Canonical coloring  $\Rightarrow k \leq 2^{\lceil \lg n \rceil} - 1$ .

Add vertices without increasing  $\hat{\rho}$  till every color class is a perfect matching. Degree can't exceed  $2^{\lceil \lg n \rceil} - 1$ .

$\therefore$  Process makes every color class a perfect matching. This occurs only in the canonical coloring.

Hence  $\hat{\rho}(K_n) = \hat{\rho}(K_{2^{\lceil \lg n \rceil}}) = 2^{\lceil \lg n \rceil} - 1$ . ■

**Cor.** Every optimal spec of a complete graph arises by deleting vertices from a canonical coloring.

Related: **nonrepetitive edge-coloring** = **Thue coloring** = edge-coloring with no immediate repetition

$C_1, \dots, C_k, C_1, \dots, C_k$  on any path;  $t(G)$  = least #colors.

Alon–Grytczuk–Hałuszczak–Riordan [2002]

**Thm.**  $\hat{p}(K_n) = 2^{\lceil \lg n \rceil} - 1$

**Pf.** Let  $k = \hat{p}(K_n)$ . Canonical coloring  $\Rightarrow k \leq 2^{\lceil \lg n \rceil} - 1$ .

Add vertices without increasing  $\hat{p}$  till every color class is a perfect matching. Degree can't exceed  $2^{\lceil \lg n \rceil} - 1$ .

$\therefore$  Process makes every color class a perfect matching. This occurs only in the canonical coloring.

Hence  $\hat{p}(K_n) = \hat{p}(K_{2^{\lceil \lg n \rceil}}) = 2^{\lceil \lg n \rceil} - 1$ . ■

**Cor.** Every optimal spec of a complete graph arises by deleting vertices from a canonical coloring.

Related: **nonrepetitive edge-coloring** = **Thue coloring** = edge-coloring with no immediate repetition

$C_1, \dots, C_k, C_1, \dots, C_k$  on any path;  $t(G)$  = least #colors.

Alon–Grytczuk–Hałuszczak–Riordan [2002]

- $p(G) \geq t(G) \geq \chi'(G)$ .

# Open Problems

**Conj.1**  $p(K_n) = 2^{\lceil \lg n \rceil} - 1$  for all  $n$ .

Known for  $n \leq 16$ ; proved  $\hat{p}(K_n) = 2^{\lceil \lg n \rceil} - 1$  for all  $n$ .

**Conj.2**  $p(K_{n,n}) = \hat{p}(K_{n,n}) = 2^{\lceil \lg n \rceil}$ . ( $\hat{p}(K_{r,s}) = r \circ s$ ?)

**Conj.3**  $\hat{p}(G) = p(G)$  for every bipartite graph  $G$ .

**Ques.4** What is  $\max \hat{p}(G)$  (or  $\max c(G)$ ) for  $p(G) = k$ ?

**Ques.5** What is  $\max p(T)$  when  $T$  is an  $n$ -vertex tree with maximum degree  $k$ ? (That is, what cube contains all  $n$ -vertex trees with maximum degree  $k$ ?)

**Ques.6** When does  $p(G)$  equal  $\lceil \lg n(G) \rceil$ ?

**Ques.7** Is  $p(T)$  NP-hard on trees w. bounded degree?

**Ques.8**  $\hat{p}(G \square H)$  . . . Digraphs . . .

# Circular Chromatic Index of Cartesian Products of Graphs

Douglas B. West

Department of Mathematics  
University of Illinois  
[west@math.uiuc.edu](mailto:west@math.uiuc.edu)

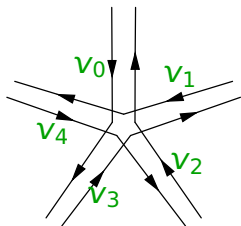
Xuding Zhu

Department of Applied Mathematics  
National Sun Yat-Sen University  
[zhu@math.nsysu.edu.tw](mailto:zhu@math.nsysu.edu.tw)

# Traffic Lights and Coloring

Traffic lights (Zhu [1992]): Each stream gets unit time.

Aim: Minimize weighting time.

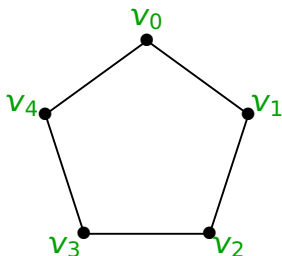
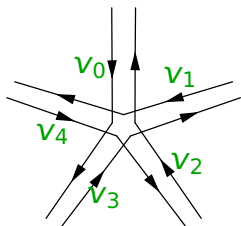


# Traffic Lights and Coloring

Traffic lights (Zhu [1992]): Each stream gets unit time.

Aim: Minimize weighting time.

Chromatic number of conflict graph? Here  $\chi(G) = 3$ .

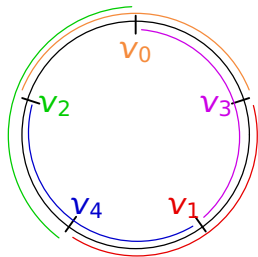
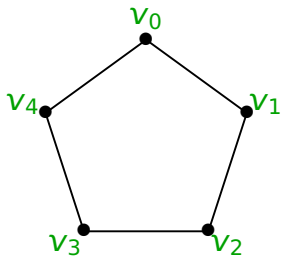
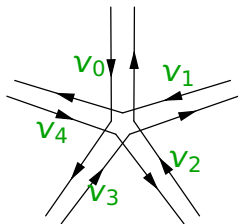


# Traffic Lights and Coloring

Traffic lights (Zhu [1992]): Each stream gets unit time.

Aim: Minimize weighting time.

Chromatic number of conflict graph? Here  $\chi(G) = 3$ .



More efficient cycle: 2.5 units.

# A Circular Coloring Model

Many equivalent definitions, this one convenient:

**Def.**  $r$ -coloring of  $G$ , for real  $r$ : a fcn  $f: V(G) \rightarrow [0, r)$  such that  $1 \leq |f(x) - f(y)| \leq r - 1$  when  $xy \in E(G)$ .

Colors on adj. vertices differ by at least 1, cyclically.

# A Circular Coloring Model

Many equivalent definitions, this one convenient:

**Def.**  $r$ -coloring of  $G$ , for real  $r$ : a fcn  $f: V(G) \rightarrow [0, r)$  such that  $1 \leq |f(x) - f(y)| \leq r - 1$  when  $xy \in E(G)$ .

Colors on adj. vertices differ by at least 1, cyclically.

**Def.** circular chromatic number  
 $\chi_c(G) = \inf\{r: G \text{ has an } r\text{-coloring}\}.$

# A Circular Coloring Model

Many equivalent definitions, this one convenient:

**Def.**  $r$ -coloring of  $G$ , for real  $r$ : a fcn  $f: V(G) \rightarrow [0, r)$  such that  $1 \leq |f(x) - f(y)| \leq r - 1$  when  $xy \in E(G)$ .

Colors on adj. vertices differ by at least 1, cyclically.

**Def.** circular chromatic number

$\chi_c(G) = \inf\{r: G \text{ has an } r\text{-coloring}\}$ .

**Ex.**  $\chi_c(C_{2k+1}) = 2 + \frac{1}{k}$ .

(Long odd cycle is “just barely non-bipartite”)

# A Circular Coloring Model

Many equivalent definitions, this one convenient:

**Def.**  $r$ -coloring of  $G$ , for real  $r$ : a fcn  $f: V(G) \rightarrow [0, r)$  such that  $1 \leq |f(x) - f(y)| \leq r - 1$  when  $xy \in E(G)$ .

Colors on adj. vertices differ by at least 1, cyclically.

**Def.** circular chromatic number

$\chi_c(G) = \inf\{r: G \text{ has an } r\text{-coloring}\}$ .

**Ex.**  $\chi_c(C_{2k+1}) = 2 + \frac{1}{k}$ .

(Long odd cycle is “just barely non-bipartite”)

- (Vince [1988]) infimum is achieved,  $\chi_c$  is rational.
- (Vince [1988])  $\chi(G) - 1 < \chi_c(G) \leq \chi(G)$ .

# Circular Chromatic Index

**Def.** circular chromatic index  $\chi'_c(G) = \chi_c(L(G))$ .

# Circular Chromatic Index

**Def.** circular chromatic index  $\chi'_c(G) = \chi_c(L(G))$ .

- $\chi_c(G) \geq \omega(G) \Rightarrow \chi'_c(G) \geq \Delta(G)$ .

Hence  $\chi'_c(G) = \chi'(G)$  when  $G$  is **Class 1**.

# Circular Chromatic Index

**Def.** circular chromatic index  $\chi'_c(G) = \chi_c(L(G))$ .

- $\chi_c(G) \geq \omega(G) \Rightarrow \chi'_c(G) \geq \Delta(G)$ .

Hence  $\chi'_c(G) = \chi'(G)$  when  $G$  is **Class 1**.

- $\kappa'(G) \geq 2$  &  $\Delta(G) = 3 \Rightarrow \chi'_c(G) \leq 11/3$  with two small exceptions (Afshani-Ghandeh.<sup>2</sup>-Hatami-Tusserkh.-Zhu [2005]).

# Circular Chromatic Index

**Def.** circular chromatic index  $\chi'_c(G) = \chi_c(L(G))$ .

- $\chi_c(G) \geq \omega(G) \Rightarrow \chi'_c(G) \geq \Delta(G)$ .

Hence  $\chi'_c(G) = \chi'(G)$  when  $G$  is **Class 1**.

- $\kappa'(G) \geq 2$  &  $\Delta(G) = 3 \Rightarrow \chi'_c(G) \leq 11/3$  with two small exceptions (Afshani-Ghandeh.<sup>2</sup>-Hatami-Tusserkh.-Zhu [2005]).
- $\kappa'(G) \geq 2$  &  $\Delta(G) = d$  & large girth  $\Rightarrow \chi'_c(G)$  near  $d$  ( $d = 3$  Kaiser-Král-Škrekovski [2004]; general  $d$  K-K-Š-Zhu [2007]).

# Cartesian Product

**Def.** cartesian product  $G \square H$ : defined on  $V(G) \times V(H)$  by making  $(u, v)$  adjacent to  $(u', v')$  when  $u = u' \ \& \ vv' \in E(H)$  or  $v = v' \ \& \ uu' \in E(G)$ .

# Cartesian Product

**Def.** cartesian product  $G \square H$ : defined on  $V(G) \times V(H)$  by making  $(u, v)$  adjacent to  $(u', v')$  when  $u = u' \ \& \ vv' \in E(H)$  or  $v = v' \ \& \ uu' \in E(G)$ .

**Ex.**  $C_k \square C_l$  is the “toroidal grid”.

# Cartesian Product

**Def.** cartesian product  $G \square H$ : defined on  $V(G) \times V(H)$  by making  $(u, v)$  adjacent to  $(u', v')$  when  $u = u' \ \& \ vv' \in E(H)$  or  $v = v' \ \& \ uu' \in E(G)$ .

**Ex.**  $C_k \square C_l$  is the “toroidal grid”.

- $\chi(G \square H) = \max\{\chi(G), \chi(H)\}$

(Aberth [1964], Sabidussi [1964], Vizing [1963]).

# Cartesian Product

**Def.** cartesian product  $G \square H$ : defined on  $V(G) \times V(H)$  by making  $(u, v)$  adjacent to  $(u', v')$  when  $u = u' \ \& \ vv' \in E(H)$  or  $v = v' \ \& \ uu' \in E(G)$ .

**Ex.**  $C_k \square C_l$  is the “toroidal grid”.

- $\chi(G \square H) = \max\{\chi(G), \chi(H)\}$   
(Aberth [1964], Sabidussi [1964], Vizing [1963]).
- $\chi_c(G \square H) = \max\{\chi_c(G), \chi_c(H)\}$ .

# Cartesian Product

**Def.** cartesian product  $G \square H$ : defined on  $V(G) \times V(H)$  by making  $(u, v)$  adjacent to  $(u', v')$  when  $u = u' \ \& \ vv' \in E(H)$  or  $v = v' \ \& \ uu' \in E(G)$ .

**Ex.**  $C_k \square C_l$  is the “toroidal grid”.

- $\chi(G \square H) = \max\{\chi(G), \chi(H)\}$   
(Aberth [1964], Sabidussi [1964], Vizing [1963]).
- $\chi_c(G \square H) = \max\{\chi_c(G), \chi_c(H)\}$ .
- What of  $\chi'_c$ ?  $G \square H$  is **Class 1** when  $G$  or  $H$  is **Class 1**, or when both have perfect matchings (Kotzig [1979])

# Cartesian Product

**Def.** cartesian product  $G \square H$ : defined on  $V(G) \times V(H)$  by making  $(u, v)$  adjacent to  $(u', v')$  when  $u = u' \ \& \ vv' \in E(H)$  or  $v = v' \ \& \ uu' \in E(G)$ .

**Ex.**  $C_k \square C_l$  is the “toroidal grid”.

- $\chi(G \square H) = \max\{\chi(G), \chi(H)\}$   
(Aberth [1964], Sabidussi [1964], Vizing [1963]).
- $\chi_c(G \square H) = \max\{\chi_c(G), \chi_c(H)\}$ .
- What of  $\chi'_c$ ?  $G \square H$  is **Class 1** when  $G$  or  $H$  is **Class 1**, or when both have perfect matchings (Kotzig [1979])
- $G \square H$  is **Class 2** when  $G$  and  $H$  are regular graphs of odd order (no perfect matching!).

# Main Results

Let  $H$  be regular with odd order, having degree  $s - 2$ ,  
so  $H \square C_{2m+1}$  is  $s$ -regular and **Class 2**.

# Main Results

Let  $H$  be regular with odd order, having degree  $s - 2$ , so  $H \square C_{2m+1}$  is  $s$ -regular and **Class 2**.

**Thm.** If  $4 \mid s$ , then  $\chi'_c(H \square C_{2m+1}) \geq s + \frac{1}{[\lambda(H)(1-1/s)]}$ .

# Main Results

Let  $H$  be regular with odd order, having degree  $s - 2$ , so  $H \square C_{2m+1}$  is  $s$ -regular and **Class 2**.

**Thm.** If  $4 \mid s$ , then  $\chi'_c(H \square C_{2m+1}) \geq s + \frac{1}{\lfloor \lambda(H)(1-1/s) \rfloor}$ .

Here  $\lambda(H) = \min_B \max_{C \in B} |E(C)|$ , where  $B$  denotes any basis of the cycle space of  $H$ .

# Main Results

Let  $H$  be regular with odd order, having degree  $s - 2$ , so  $H \square C_{2m+1}$  is  $s$ -regular and **Class 2**.

**Thm.** If  $4 \mid s$ , then  $\chi'_c(H \square C_{2m+1}) \geq s + \frac{1}{\lceil \lambda(H)(1-1/s) \rceil}$ .

Here  $\lambda(H) = \min_B \max_{C \in B} |E(C)|$ , where  $B$  denotes any basis of the cycle space of  $H$ .

**Sharp!** when  $H$  is an odd cycle and  $m$  is large:

**Thm.** If  $m \geq 3k + 1$ , then  $\chi'_c(C_{2k+1} \square C_{2m+1}) = 4 + \frac{1}{\lceil 3k/2 \rceil}$ .

# Main Results

Let  $H$  be regular with odd order, having degree  $s - 2$ , so  $H \square C_{2m+1}$  is  $s$ -regular and **Class 2**.

**Thm.** If  $4 \mid s$ , then  $\chi'_c(H \square C_{2m+1}) \geq s + \frac{1}{\lfloor \lambda(H)(1-1/s) \rfloor}$ .

Here  $\lambda(H) = \min_B \max_{C \in B} |E(C)|$ , where  $B$  denotes any basis of the cycle space of  $H$ .

**Sharp!** when  $H$  is an odd cycle and  $m$  is large:

**Thm.** If  $m \geq 3k + 1$ , then  $\chi'_c(C_{2k+1} \square C_{2m+1}) = 4 + \frac{1}{\lceil 3k/2 \rceil}$ .

(In this case  $\lambda(H) = 2k + 1$  and  $1 - 1/s = 3/4$ , so denominator =  $\lfloor (6k + 3)/4 \rfloor = \lceil 3k/2 \rceil$ .)

# Main Results

Let  $H$  be regular with odd order, having degree  $s - 2$ , so  $H \square C_{2m+1}$  is  $s$ -regular and **Class 2**.

**Thm.** If  $4 \mid s$ , then  $\chi'_c(H \square C_{2m+1}) \geq s + \frac{1}{\lfloor \lambda(H)(1-1/s) \rfloor}$ .

Here  $\lambda(H) = \min_B \max_{C \in B} |E(C)|$ , where  $B$  denotes any basis of the cycle space of  $H$ .

**Sharp!** when  $H$  is an odd cycle and  $m$  is large:

**Thm.** If  $m \geq 3k + 1$ , then  $\chi'_c(C_{2k+1} \square C_{2m+1}) = 4 + \frac{1}{\lceil 3k/2 \rceil}$ .

(In this case  $\lambda(H) = 2k + 1$  and  $1 - 1/s = 3/4$ , so denominator =  $\lfloor (6k + 3)/4 \rfloor = \lceil 3k/2 \rceil$ .)

- Always  $\chi'_c(H \square C_{2m+1})$  descends to a limit as  $m$  grows.

# Idea of Lower Bound

Study  $G$  such that every edge lies in a  $K_s$ .

**Ex.**  $G = L(H \square C_{2m+1})$ , where  $H$  is  $(s-2)$ -regular.

# Idea of Lower Bound

Study  $G$  such that every edge lies in a  $K_s$ .

**Ex.**  $G = L(H \square C_{2m+1})$ , where  $H$  is  $(s-2)$ -regular.

Also let  $\lambda^*(G, s) = \frac{1}{\lfloor \lambda(G)(1-1/s) \rfloor}$ .

# Idea of Lower Bound

Study  $G$  such that every edge lies in a  $K_s$ .

**Ex.**  $G = L(H \square C_{2m+1})$ , where  $H$  is  $(s-2)$ -regular.

Also let  $\lambda^*(G, s) = \frac{1}{\lfloor \lambda(G)(1-1/s) \rfloor}$ .

**Lem.** Given an  $r$ -coloring  $f$  of  $G$ , with  $r < s + \lambda^*(G, s)$ , fix a vertex  $v^* \in V(G)$ . Let  $g(x) = \lfloor (f(x) - f(v^*))_r \rfloor$ ; this is a proper  $s$ -coloring of  $G$ , and  $g$  satisfies . . .

# Idea of Lower Bound

Study  $G$  such that every edge lies in a  $K_s$ .

**Ex.**  $G = L(H \square C_{2m+1})$ , where  $H$  is  $(s-2)$ -regular.

Also let  $\lambda^*(G, s) = \frac{1}{\lfloor \lambda(G)(1-1/s) \rfloor}$ .

**Lem.** Given an  $r$ -coloring  $f$  of  $G$ , with  $r < s + \lambda^*(G, s)$ , fix a vertex  $v^* \in V(G)$ . Let  $g(x) = \lfloor (f(x) - f(v^*))_r \rfloor$ ; this is a proper  $s$ -coloring of  $G$ , and  $g$  satisfies . . .

Since  $H \square C_{2m+1}$  is Class 2,  $G$  has no proper  $s$ -coloring.

Hence  $\chi'_c(H \square C_{2m+1}) \geq s + \lambda^*(G, s)$ . Done?

# Idea of Lower Bound

Study  $G$  such that every edge lies in a  $K_s$ .

**Ex.**  $G = L(H \square C_{2m+1})$ , where  $H$  is  $(s-2)$ -regular.

Also let  $\lambda^*(G, s) = \frac{1}{\lfloor \lambda(G)(1-1/s) \rfloor}$ .

**Lem.** Given an  $r$ -coloring  $f$  of  $G$ , with  $r < s + \lambda^*(G, s)$ , fix a vertex  $v^* \in V(G)$ . Let  $g(x) = \lfloor (f(x) - f(v^*))_r \rfloor$ ; this is a proper  $s$ -coloring of  $G$ , and  $g$  satisfies . . .

Since  $H \square C_{2m+1}$  is Class 2,  $G$  has no proper  $s$ -coloring.

Hence  $\chi'_c(H \square C_{2m+1}) \geq s + \lambda^*(G, s)$ . Done?

This is the **wrong bound!**, since maybe  $\lambda(G) > \lambda(H)$ .

# Idea of Lower Bound

Study  $G$  such that every edge lies in a  $K_s$ .

**Ex.**  $G = L(H \square C_{2m+1})$ , where  $H$  is  $(s-2)$ -regular.

Also let  $\lambda^*(G, s) = \frac{1}{\lfloor \lambda(G)(1-1/s) \rfloor}$ .

**Lem.** Given an  $r$ -coloring  $f$  of  $G$ , with  $r < s + \lambda^*(G, s)$ , fix a vertex  $v^* \in V(G)$ . Let  $g(x) = \lfloor (f(x) - f(v^*))_r \rfloor$ ; this is a proper  $s$ -coloring of  $G$ , and  $g$  satisfies . . .

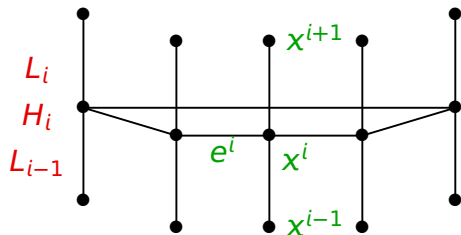
Since  $H \square C_{2m+1}$  is Class 2,  $G$  has no proper  $s$ -coloring.

Hence  $\chi'_c(H \square C_{2m+1}) \geq s + \lambda^*(G, s)$ . Done?

This is the **wrong bound!**, since maybe  $\lambda(G) > \lambda(H)$ .

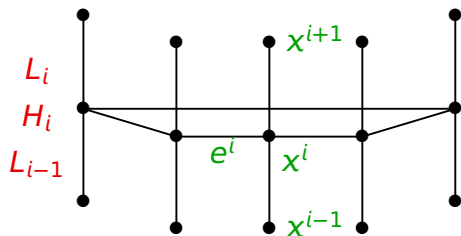
We need graphs  $G_i$  with  $\lambda(G_i) = \lambda(H)$ .

# Layers and Links



$i$ th link, vertical edges  
 $i$ th layer, horizontal edges  
 $(i-1)$ th link, vertical edges

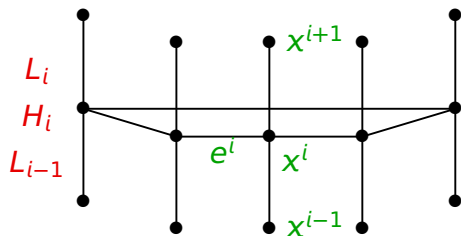
# Layers and Links



$i$ th link, vertical edges  
 $i$ th layer, horizontal edges  
 $(i-1)$ th link, vertical edges

Let  $G_i = L(L_{i-1} \cup H_i \cup L_i)$ , with  $s$ -coloring  $g_i$ .

# Layers and Links

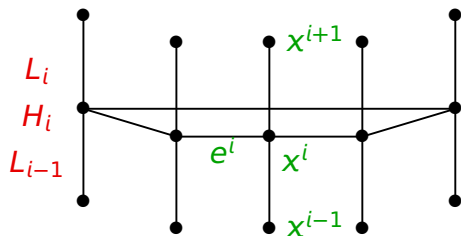


$i$ th link, vertical edges  
 $i$ th layer, horizontal edges  
 $(i-1)$ th link, vertical edges

$$\lambda(G_i) = \lambda(H).$$

Let  $G_i = L(L_{i-1} \cup H_i \cup L_i)$ , with  $s$ -coloring  $g_i$ .

# Layers and Links



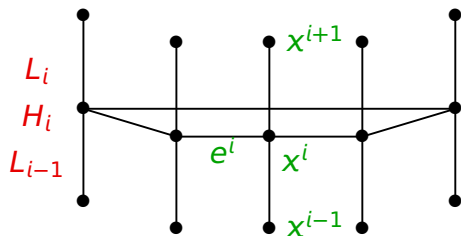
$i$ th link, vertical edges  
 $i$ th layer, horizontal edges  
 $(i-1)$ th link, vertical edges

$$\lambda(G_i) = \lambda(H).$$

Let  $G_i = L(L_{i-1} \cup H_i \cup L_i)$ , with  $s$ -coloring  $g_i$ .

**Lem.** Given an  $r$ -coloring  $f$  of  $G$ , with  $r < s + \lambda^*(G, s)$ , fix a vertex  $v^* \in V(G)$ . Let  $g(x) = \lfloor (f(x) - f(v^*))_r \rfloor$ ; this is a proper  $s$ -coloring of  $G$ , with  $g(x) = g(x') \Leftrightarrow x$  gets to  $x'$  by steps of length 2 in  $G$  that change  $f$  by  $< 1/2$ .

# Layers and Links



$i$ th link, vertical edges  
 $i$ th layer, horizontal edges  
 $(i-1)$ th link, vertical edges

$$\lambda(G_i) = \lambda(H).$$

Let  $G_i = L(L_{i-1} \cup H_i \cup L_i)$ , with  $s$ -coloring  $g_i$ .

**Lem.** Given an  $r$ -coloring  $f$  of  $G$ , with  $r < s + \lambda^*(G, s)$ , fix a vertex  $v^* \in V(G)$ . Let  $g(x) = \lfloor (f(x) - f(v^*))_r \rfloor$ ; this is a proper  $s$ -coloring of  $G$ , with  $g(x) = g(x') \Leftrightarrow x$  gets to  $x'$  by steps of length 2 in  $G$  that change  $f$  by  $< 1/2$ .

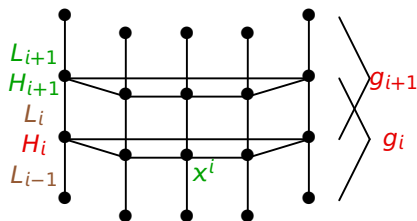
- The color ptn of  $V(G)$  under  $g$  does not depend on  $v^*$ .

# Completion of Lower Bound

**Thm.**  $\chi'_c(H \square C_{2m+1}) \geq s + \lambda^*(H, s)$ .

# Completion of Lower Bound

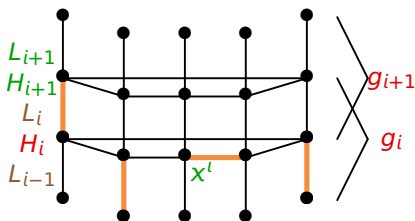
**Thm.**  $\chi'_c(H \square C_{2m+1}) \geq s + \lambda^*(H, s)$ .



If not,  $\lambda(G_i) = \lambda(H)$  and lemmas  $\Rightarrow$   $s$ -coloring  $g_i$  of  $G_i$ .

# Completion of Lower Bound

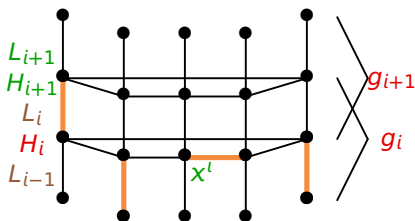
**Thm.**  $\chi'_c(H \square C_{2m+1}) \geq s + \lambda^*(H, s)$ .



If not,  $\lambda(G_i) = \lambda(H)$  and lemmas  $\Rightarrow$   $s$ -coloring  $g_i$  of  $G_i$ .  
 Each color covers  $V(H_i)$ : even # horiz., odd # vertical.

# Completion of Lower Bound

**Thm.**  $\chi'_c(H \square C_{2m+1}) \geq s + \lambda^*(H, s)$ .



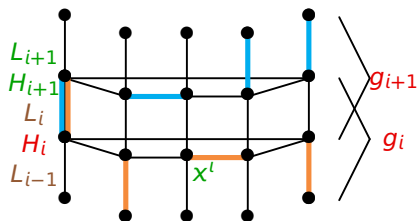
If not,  $\lambda(G_i) = \lambda(H)$  and lemmas  $\Rightarrow$   $s$ -coloring  $g_i$  of  $G_i$ .

Each color covers  $V(H_i)$ : even # horiz., odd # vertical.

Let  $c_i$  be # colors w odd usage in  $L_i$  under  $g_i$ ; it is odd.

# Completion of Lower Bound

**Thm.**  $\chi'_c(H \square C_{2m+1}) \geq s + \lambda^*(H, s)$ .



If not,  $\lambda(G_i) = \lambda(H)$  and lemmas  $\Rightarrow$   $s$ -coloring  $g_i$  of  $G_i$ .  
 Each color covers  $V(H_i)$ : even # horiz., odd # vertical.  
 Let  $c_i$  be # colors w odd usage in  $L_i$  under  $g_i$ ; it is odd.  
 Same # has odd usage under  $g_{i+1}$  (same ptn of  $L_i$ ).  
 Odd usage in  $L_i \Leftrightarrow$  even usage in  $L_{i+1}$ , so  $c_{i+1} = s - c_i$ .  
 Now  $4 \mid s \Rightarrow c_i \neq s/2$ , so  $c_i$  alternates values but can't!

## An Upper Bound for Special $H$

**Def.** **color gap** for  $v$  (in an  $r$ -edge-coloring): a maximal open interval on the circle with no colors on edges at  $v$ .

## An Upper Bound for Special $H$

**Def.** **color gap** for  $v$  (in an  $r$ -edge-coloring): a maximal open interval on the circle with no colors on edges at  $v$ .

**Thm.** If  $H$  has a  $r$ -edge-coloring  $f$  (with  $r = p/q$  and  $p$  odd) such that every vertex of  $H$  has a color gap of length  $\geq 3$ , then  $\chi'_c(H \square C_{2m+1}) \leq p/q$  when  $2m + 1 \geq p$ .

# An Upper Bound for Special $H$

**Def.** **color gap** for  $v$  (in an  $r$ -edge-coloring): a maximal open interval on the circle with no colors on edges at  $v$ .

**Thm.** If  $H$  has a  $r$ -edge-coloring  $f$  (with  $r = p/q$  and  $p$  odd) such that every vertex of  $H$  has a color gap of length  $\geq 3$ , then  $\chi'_c(H \square C_{2m+1}) \leq p/q$  when  $2m + 1 \geq p$ .

**Pf.** **Step 1:** Modify  $f$  to multiples of  $1/q$ .

If  $r = p/q$  and  $f$  is an  $r$ -coloring, then changing  $f(x)$  to  $\lfloor qf(x) \rfloor / q$  yields an  $r$ -coloring  $f'$  using multiples of  $1/q$ .

# An Upper Bound for Special $H$

**Def.** **color gap** for  $v$  (in an  $r$ -edge-coloring): a maximal open interval on the circle with no colors on edges at  $v$ .

**Thm.** If  $H$  has a  $r$ -edge-coloring  $f$  (with  $r = p/q$  and  $p$  odd) such that every vertex of  $H$  has a color gap of length  $\geq 3$ , then  $\chi'_c(H \square C_{2m+1}) \leq p/q$  when  $2m + 1 \geq p$ .

**Pf.** **Step 1:** Modify  $f$  to multiples of  $1/q$ .

If  $r = p/q$  and  $f$  is an  $r$ -coloring, then changing  $f(x)$  to  $\lfloor qf(x) \rfloor / q$  yields an  $r$ -coloring  $f'$  using multiples of  $1/q$ .

Difference between  $f'(x)$  and  $f'(y)$  is bounded by the same multiples of  $1/q$  as between  $f(x)$  and  $f(y)$ .

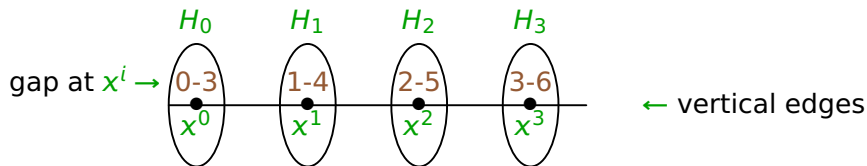
Color gaps of length  $\geq 3$  are preserved.

# Construction of Coloring

Step 2: The case  $2m + 1 = p$ .

Use  $f$  on each layer  $H_i$ , shifted cyclically by  $i$ .

Gaps  $(\alpha-1, \alpha+2)$  at  $x^i$  and  $(\alpha, \alpha+3)$  at  $x^{i+1}$  share  $[\alpha, \alpha+1]$ .



# Construction of Coloring

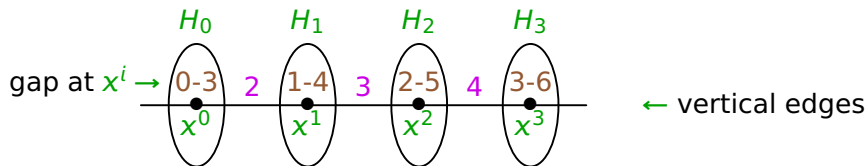
Step 2: The case  $2m + 1 = p$ .

Use  $f$  on each layer  $H_i$ , shifted cyclically by  $i$ .

Gaps  $(\alpha-1, \alpha+2)$  at  $x^i$  and  $(\alpha, \alpha+3)$  at  $x^{i+1}$  share  $[\alpha, \alpha+1]$ .

Give color  $\alpha$  to  $x^{i-1}x^i$ , color  $\alpha + 1$  to  $x^i x^{i+1}$ , etc.

The color on the  $p$ th link is one before the first.



# Construction of Coloring

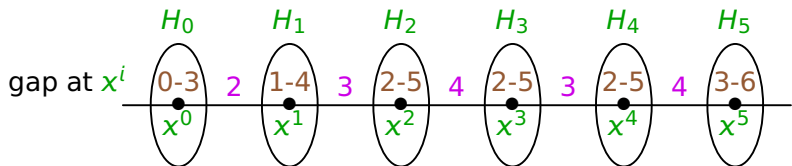
Step 2: The case  $2m + 1 = p$ .

Use  $f$  on each layer  $H_i$ , shifted cyclically by  $i$ .

Gaps  $(a-1, a+2)$  at  $x^i$  and  $(a, a+3)$  at  $x^{i+1}$  share  $[a, a+1]$ .

Give color  $a$  to  $x^{i-1}x^i$ , color  $a+1$  to  $x^i x^{i+1}$ , etc.

The color on the  $p$ th link is one before the first.



Step 3: The case  $2m + 1 > p$ .

Augment the case  $2m - 1$ : insert two new layers next to a fixed  $H^i$  with the same  $r$ -edge-coloring as  $H^i$ . Go down once between the new layers and then continue up.

# Toroidal Grids

**Cor.**  $\chi'_c(C_{2k+1} \square C_{2m+1}) = 4 + \frac{1}{\lceil 3k/2 \rceil}$  when  $m \geq 3k + 1$ .

# Toroidal Grids

**Cor.**  $\chi'_c(C_{2k+1} \square C_{2m+1}) = 4 + \frac{1}{\lceil 3k/2 \rceil}$  when  $m \geq 3k + 1$ .

**Pf. Sketch!** Apply the theorem;  
convert  $r$ -edge-coloring of  $H$  with color gaps  
to  $r$ -edge-coloring of  $H \square C_{2m+1}$ .

# Toroidal Grids

**Cor.**  $\chi'_c(C_{2k+1} \square C_{2m+1}) = 4 + \frac{1}{\lceil 3k/2 \rceil}$  when  $m \geq 3k + 1$ .

**Pf. Sketch!** Apply the theorem;  
convert  $r$ -edge-coloring of  $H$  with color gaps  
to  $r$ -edge-coloring of  $H \square C_{2m+1}$ .

With  $q = \lceil 3k/2 \rceil$ , find a  $(4 + 1/q)$ -edge-coloring of  $C_{2k+1}$   
with color gaps at least 3 at each vertex. The colors on  
successive edges change by 1 or  $1 + 1/q$ .

# Toroidal Grids

**Cor.**  $\chi'_c(C_{2k+1} \square C_{2m+1}) = 4 + \frac{1}{\lceil 3k/2 \rceil}$  when  $m \geq 3k + 1$ .

**Pf. Sketch!** Apply the theorem;  
convert  $r$ -edge-coloring of  $H$  with color gaps  
to  $r$ -edge-coloring of  $H \square C_{2m+1}$ .

With  $q = \lceil 3k/2 \rceil$ , find a  $(4 + 1/q)$ -edge-coloring of  $C_{2k+1}$   
with color gaps at least 3 at each vertex. The colors on  
successive edges change by 1 or  $1 + 1/q$ .

To get  $\chi'_c(C_{2k+1} \square C_{2m+1}) \leq 4 + 1/q$ , the theorem requires  
 $2m + 1 \geq p$ , where  $r = p/q$ . Thus we want

$$2m + 1 \geq p = 4q + 1 \geq 6k + 1,$$

so  $m \geq 3k + 1$  suffices. ■